

# Wrapping up the IP header & Reliability Concepts

Spring 2024  
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# Designing IP: two remaining topics

- IPv4 → IPv6
- Security implications of the IP header

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- Took the opportunity to do some “spring cleaning”
  - Got rid of all fields that were not absolutely necessary
- Result is an elegant, if unambitious, protocol

# What “clean up” would you do?

4-bit Version	4-bit Header Length	8-bit Type of Service	16-bit Total Length (Bytes)	
16-bit Identification			3-bit Flags	13-bit Fragment Offset
8-bit Time to Live (TTL)		8-bit Protocol	16-bit Header Checksum	
32-bit Source IP Address				
32-bit Destination IP Address				
Options (if any)				
Payload				

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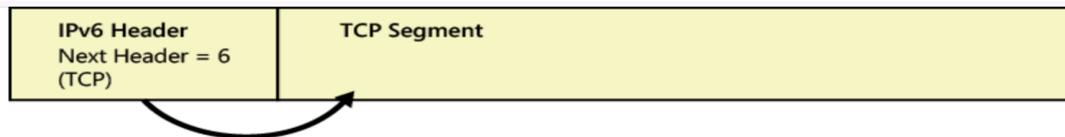
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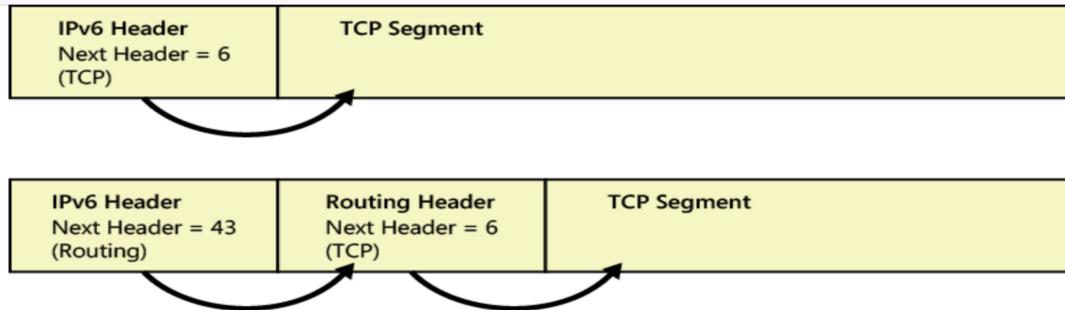
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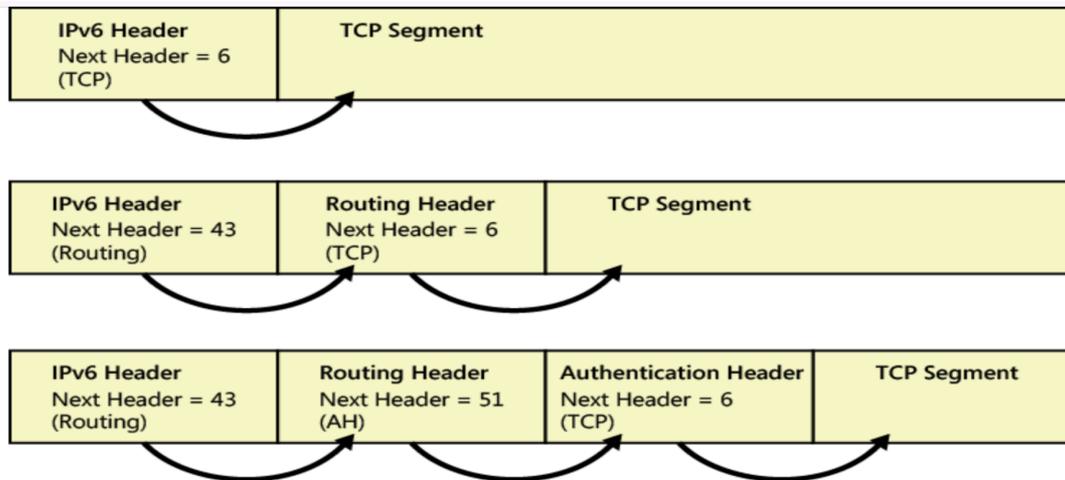
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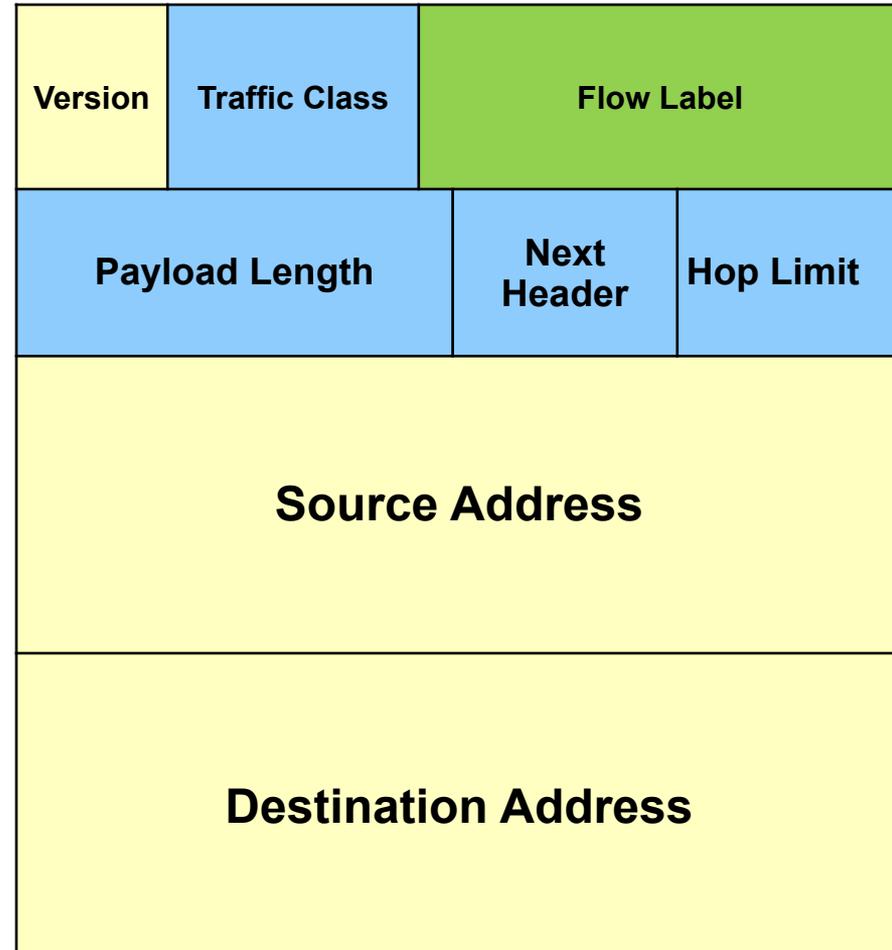
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- Added Flow Label
  - *Explicit* mechanism to denote related streams of packets

# IPv4 and IPv6 Header Comparison

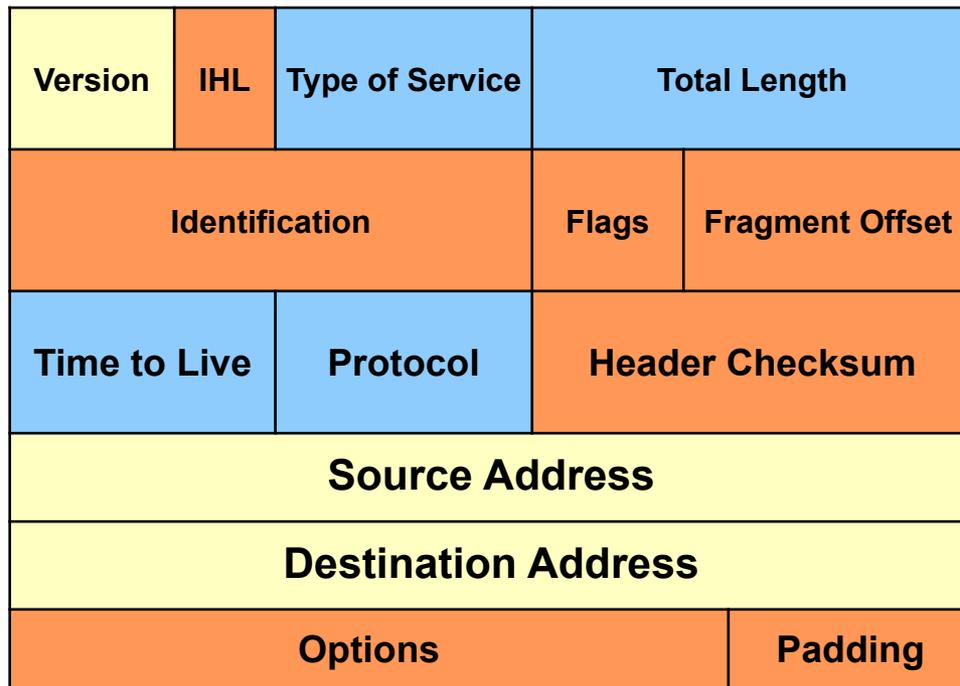
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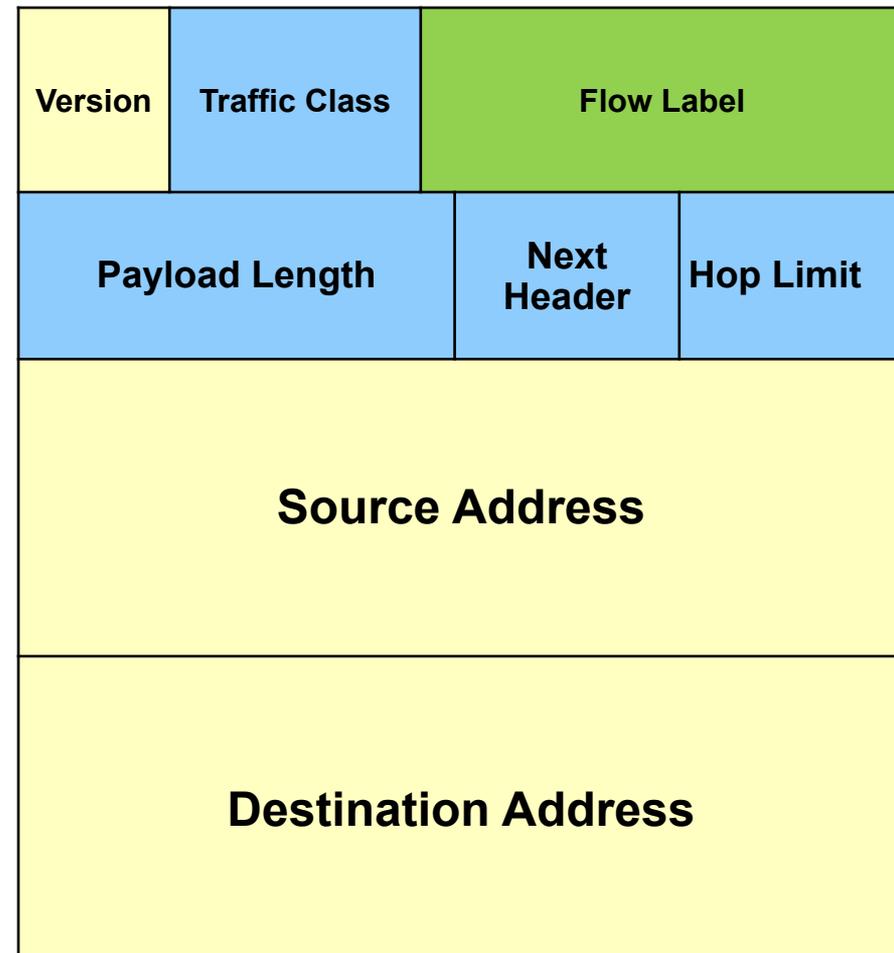


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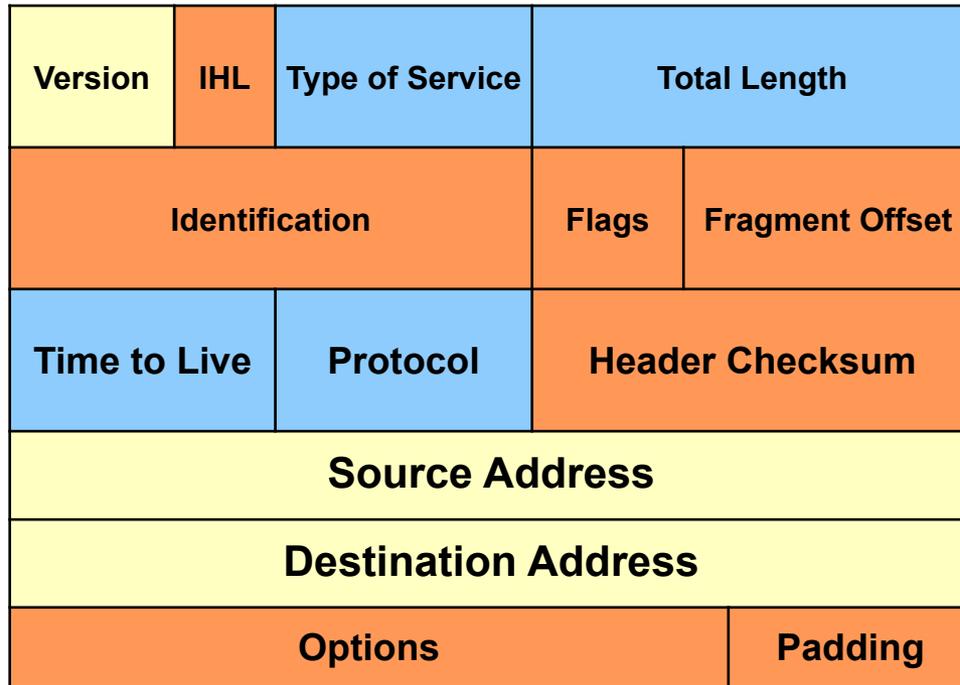


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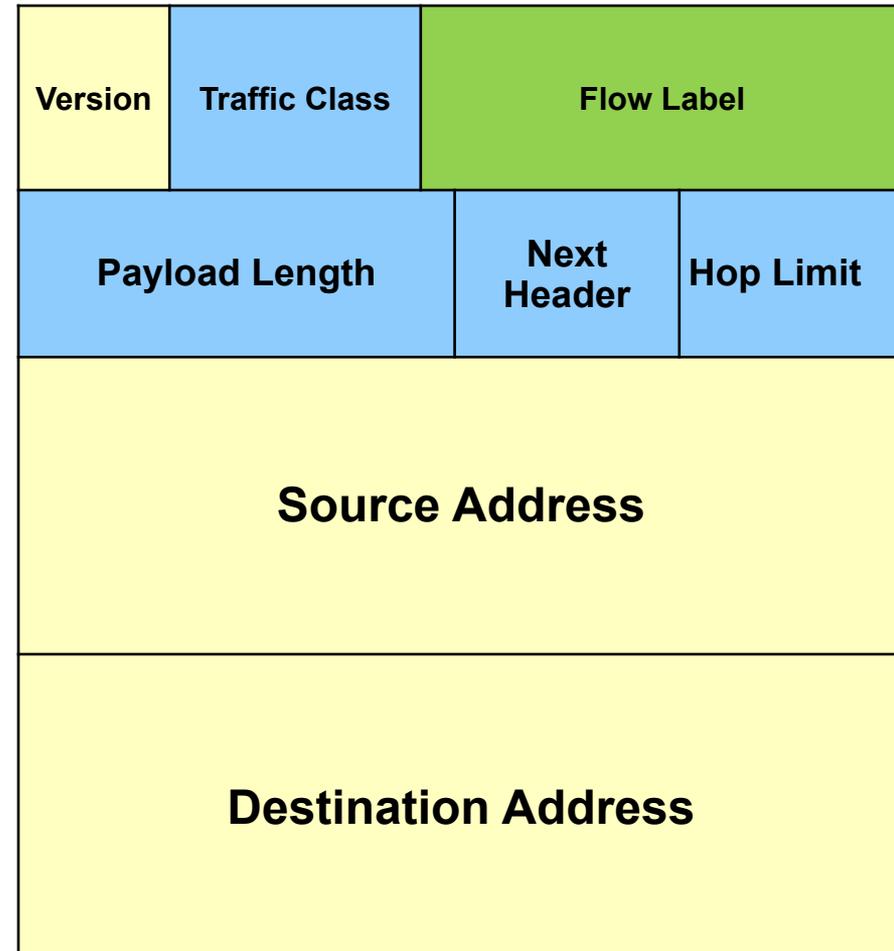


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## IPv6



-  Field name kept from IPv4 to IPv6
-  Fields not kept in IPv6
-  Name & position changed in IPv6
-  New field in IPv6

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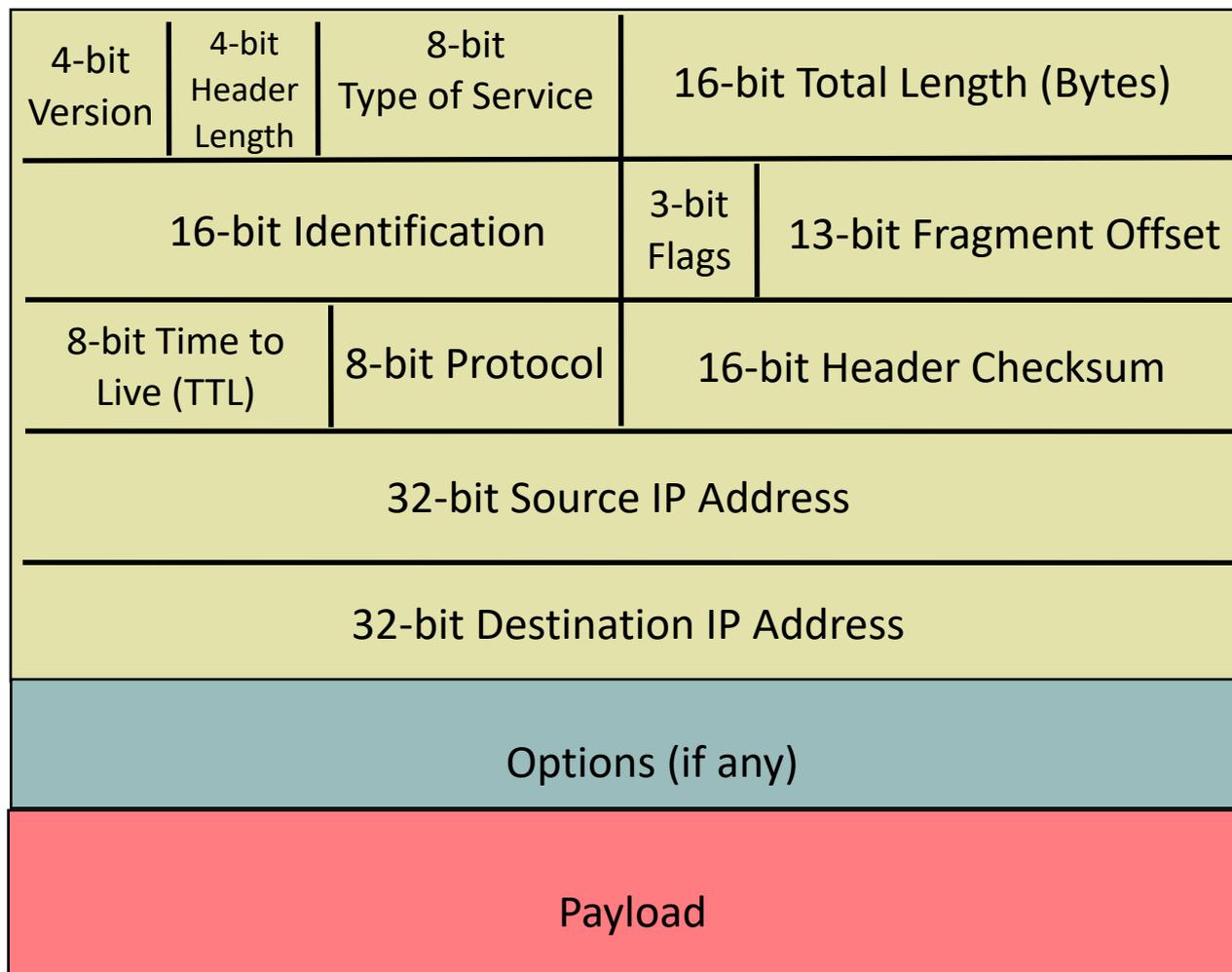
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  - general next-header approach
  - general flow label for packet

# Quick Security Analysis of IP Header

# Focus on Sender Attacks

- Vulnerabilities a sender can exploit
- Note: not a comprehensive view of potential attacks!
  - For example, we'll ignore attackers other than the sender

# IP Packet Structure



# IP Address Integrity

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- Source address should be the sending host
  - But who's checking?
  - You could send packets with any source you want

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  - Hence, evade detection by putting different source addresses in the packets you send (“spoofing”)
- Or: as a way to bother the spoofed host
  - Spoofed host is wrongly blamed
  - Spoofed host may receive return traffic from the receiver(s)

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  - Network *prefers* attack traffic
- What if the network *charges* for TOS traffic ...
  - ... and attacker spoofs the victim's source address?
- Today, mostly set/used by operators, not end-hosts

# Security Implications of Fragmentation?

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- Send packets larger than MTU → make routers do extra work
  - Can lead to resource exhaustion

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  - Processing IP options often processed in router's control plane (i.e., slow path) → attacker can try to overload routers
- Routers often ignore options / drop packets with options

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- Allows discovery of **topology** (a la *traceroute*)
- Some routers do not respond with a TTL exceeded error message

# Other Security Implications?

- No apparent problems with **protocol** field (8 bits)
  - It's just a de-muxing handle
  - If set incorrectly, next layer will find packet ill-formed
- Bad IP **checksum** field (16 bits) will cause packet to be discarded by the network
  - Not an effective attack...

# Recap: IP header design

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- More nuanced than it first seems!
- Must juggle multiple goals
  - Efficient implementation
  - Security
  - Future needs

**Questions?**

# Next topic: Reliable Transport

- **Today:** focus on concepts and mechanisms
- **Next lecture:** the design of TCP

# Reliable Delivery Is Necessary

- Many app semantics involve reliable delivery
  - E.g., file transfer

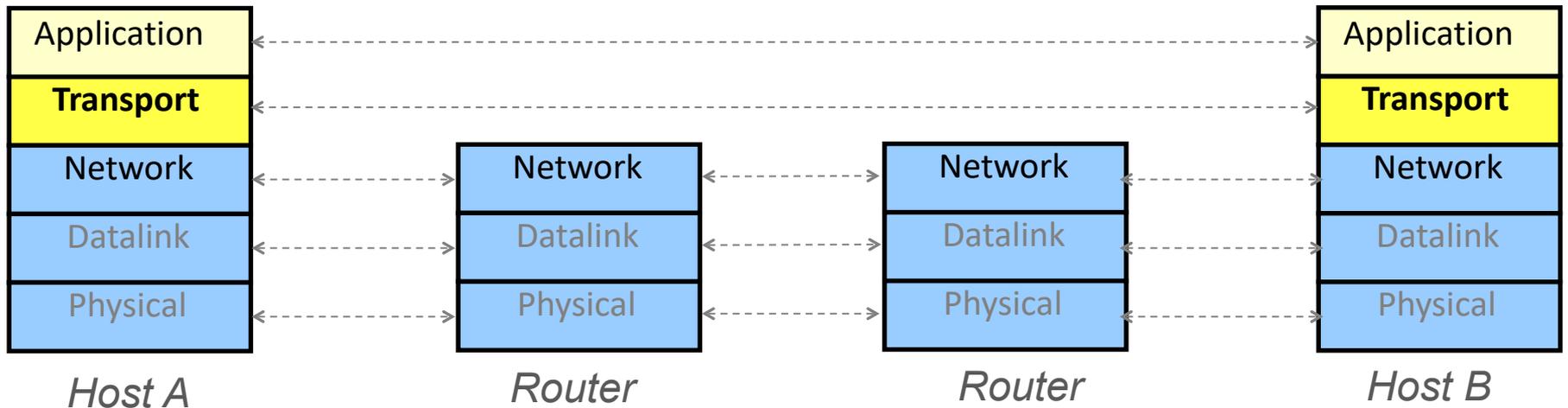
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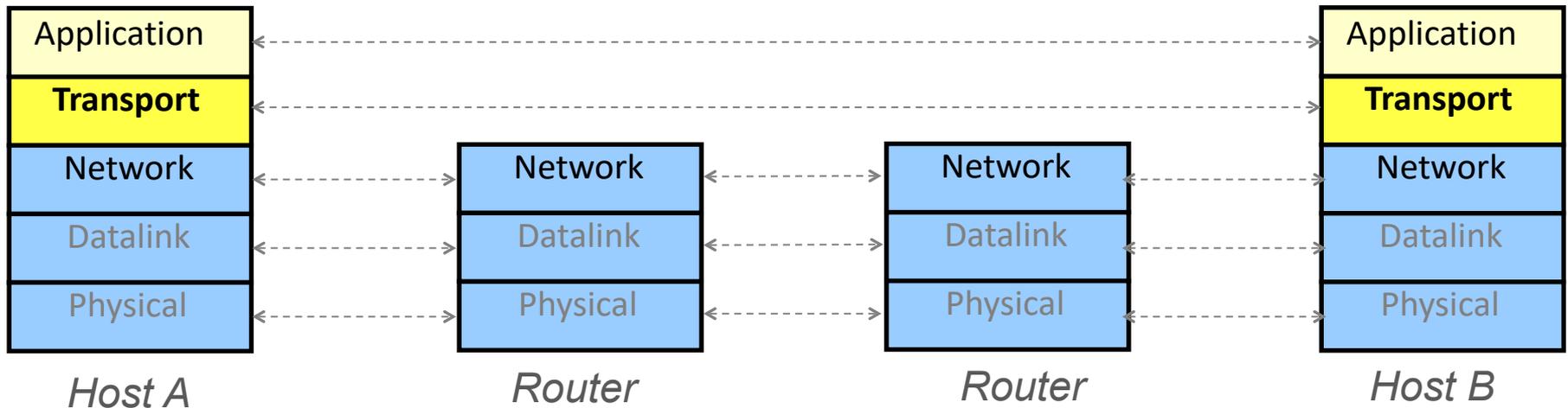
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- Challenge: building a reliable service on top of unreliable packet delivery
- Bridging the gap between
  - **the abstractions application designers want**
  - **the abstractions networks can easily support**

# Semantics of correct delivery



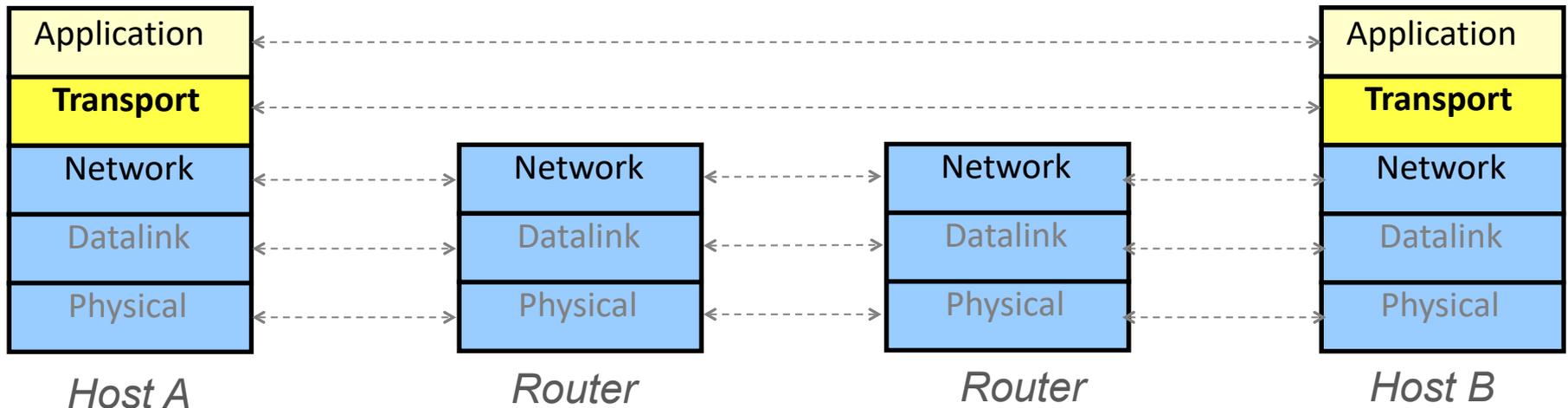
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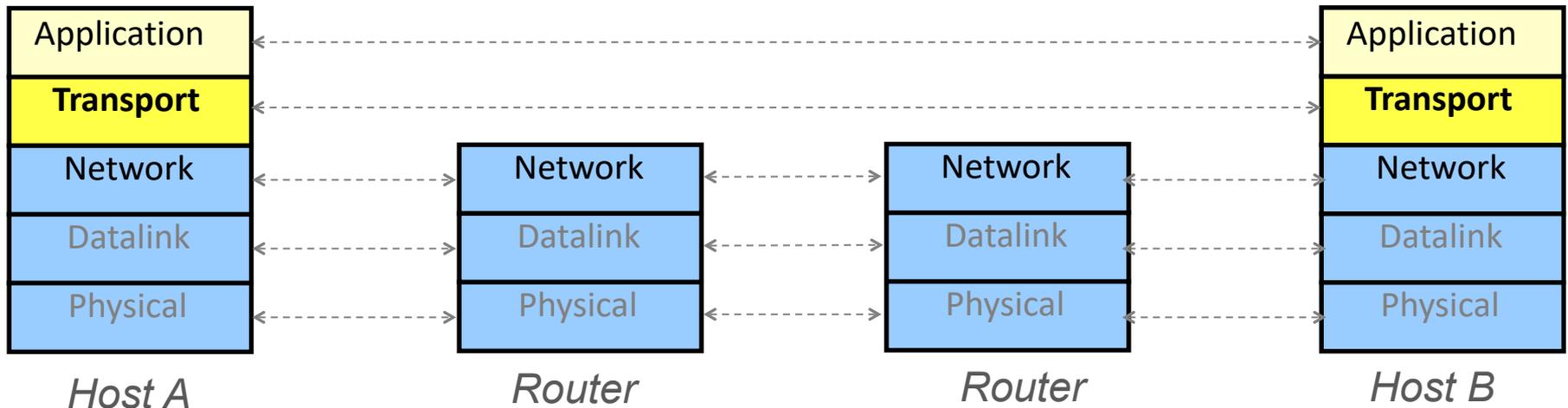
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- **Timeliness**

- Minimize time until data is transferred

- **Efficiency**

- Would like to minimize use of bandwidth
- i.e., avoid sending packets unnecessarily

# Note!

- A reliability protocol (at the transport layer) can “give up”, but must announce this to application
  - E.g., if the network is partitioned
- But it can never falsely claim to have delivered a packet

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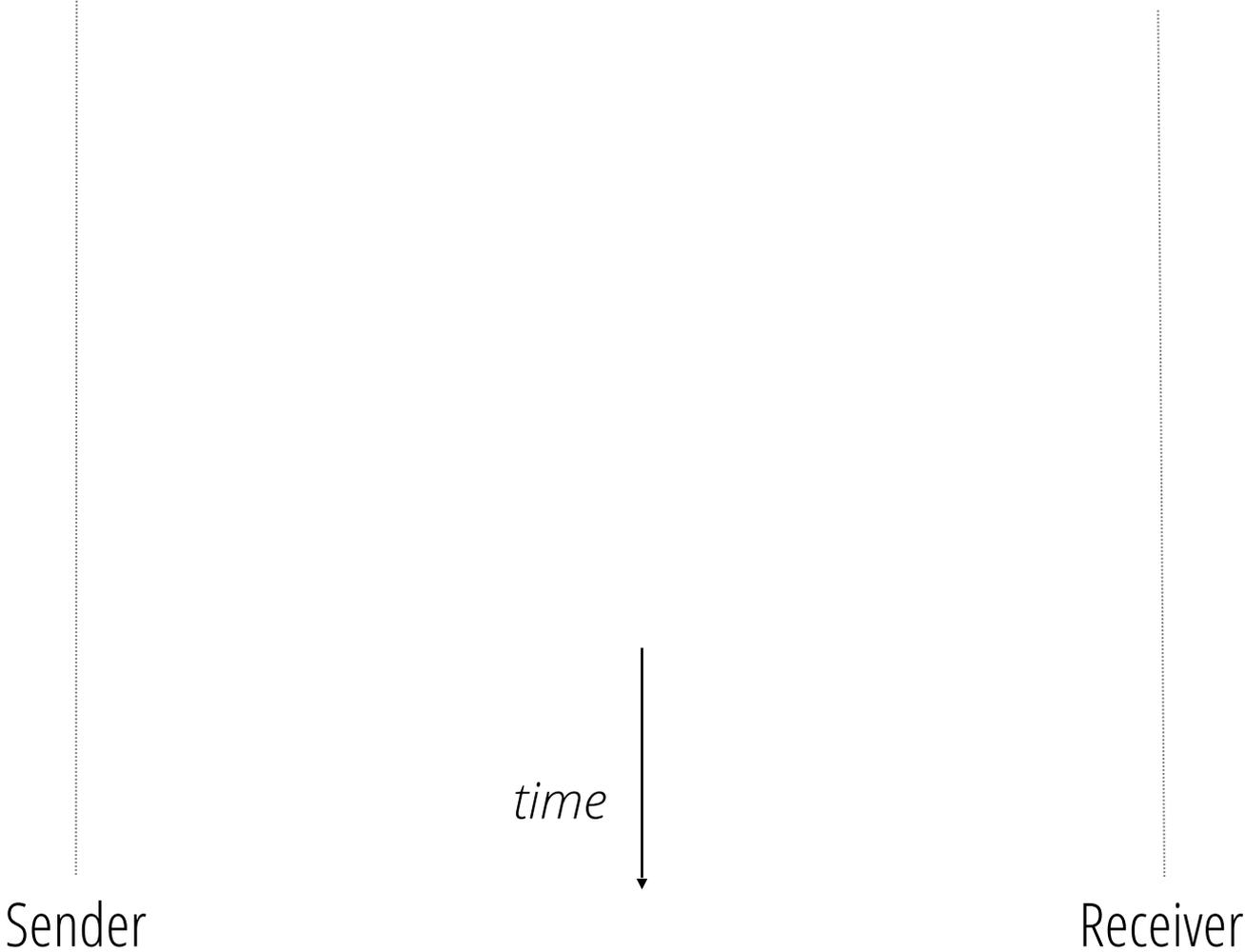
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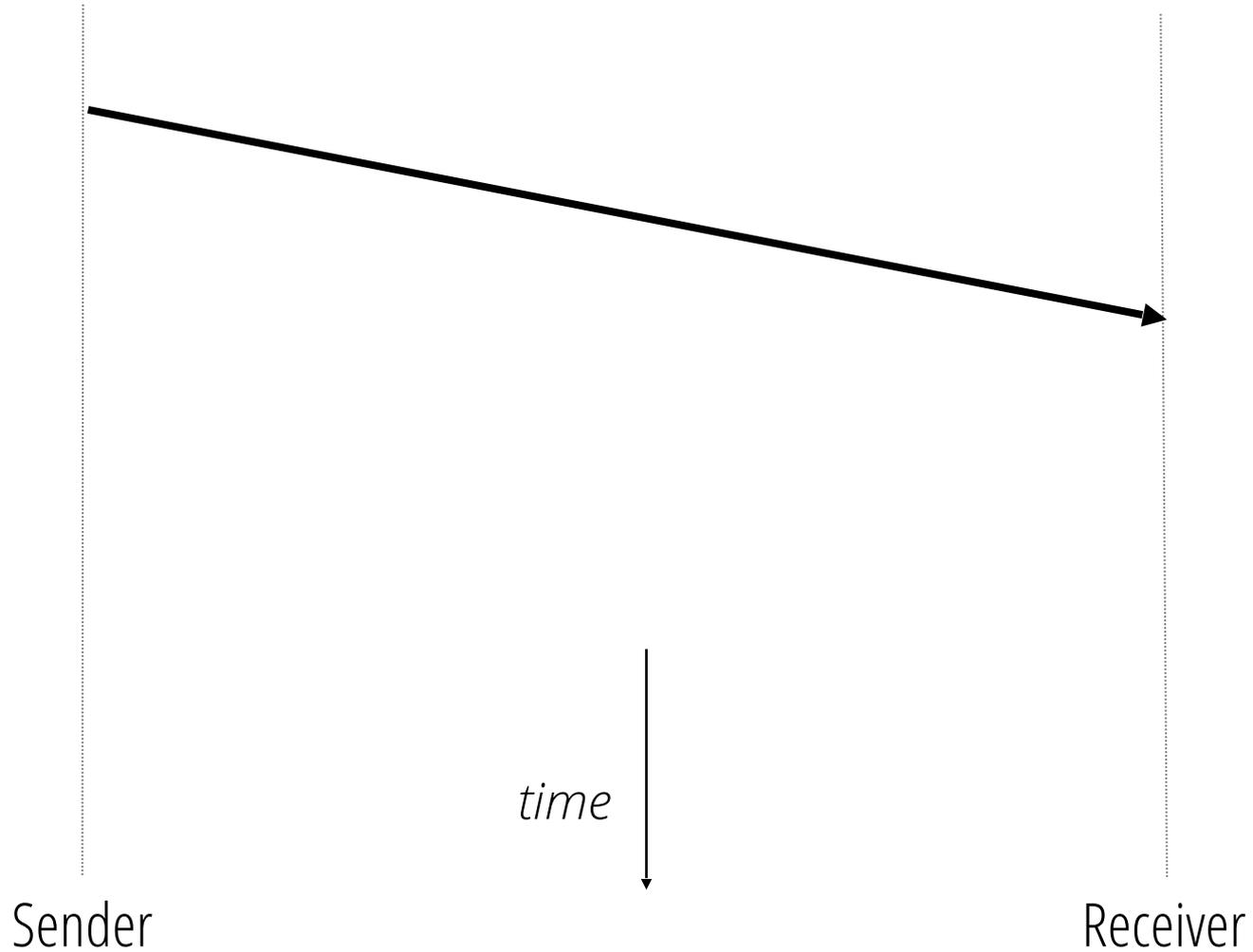
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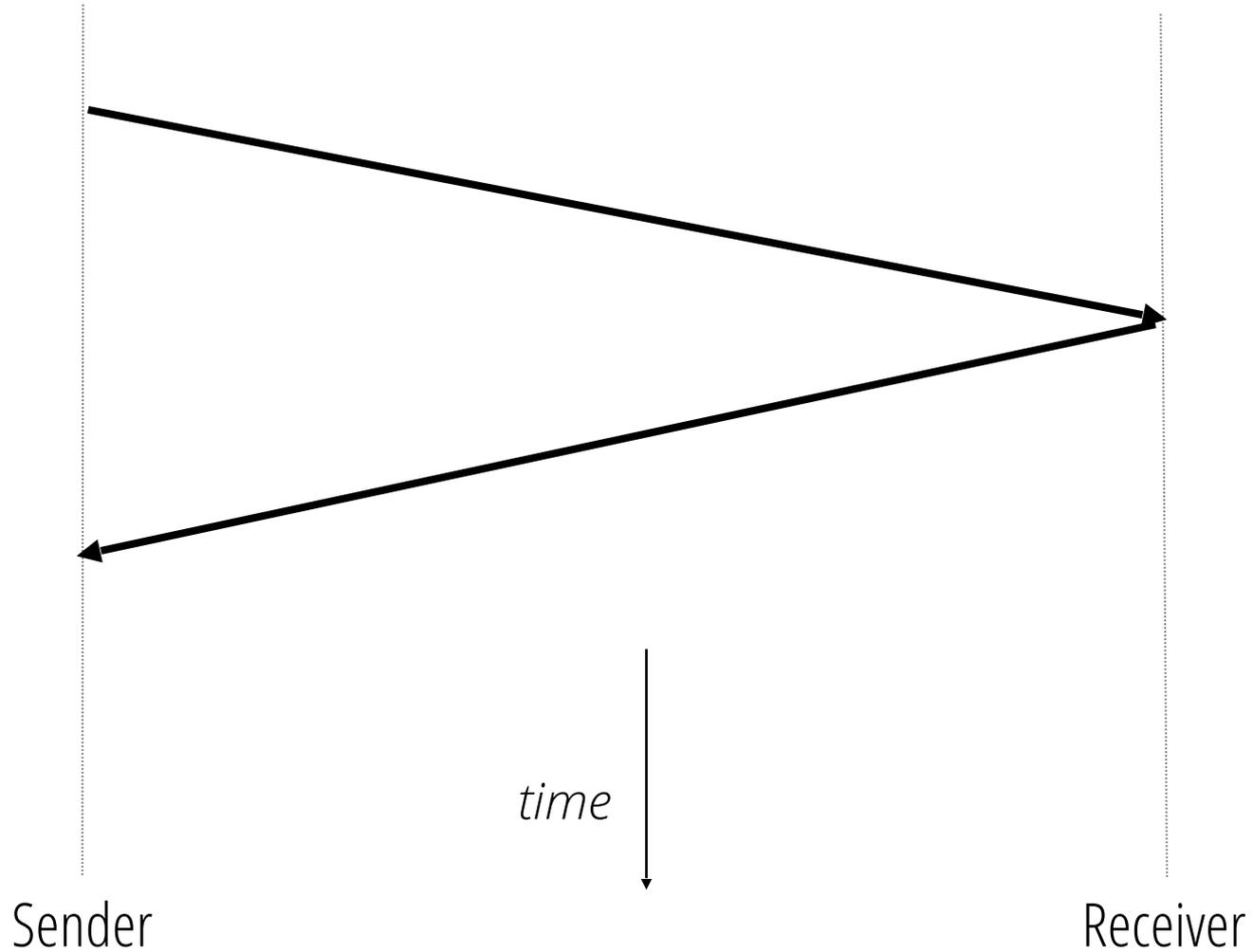
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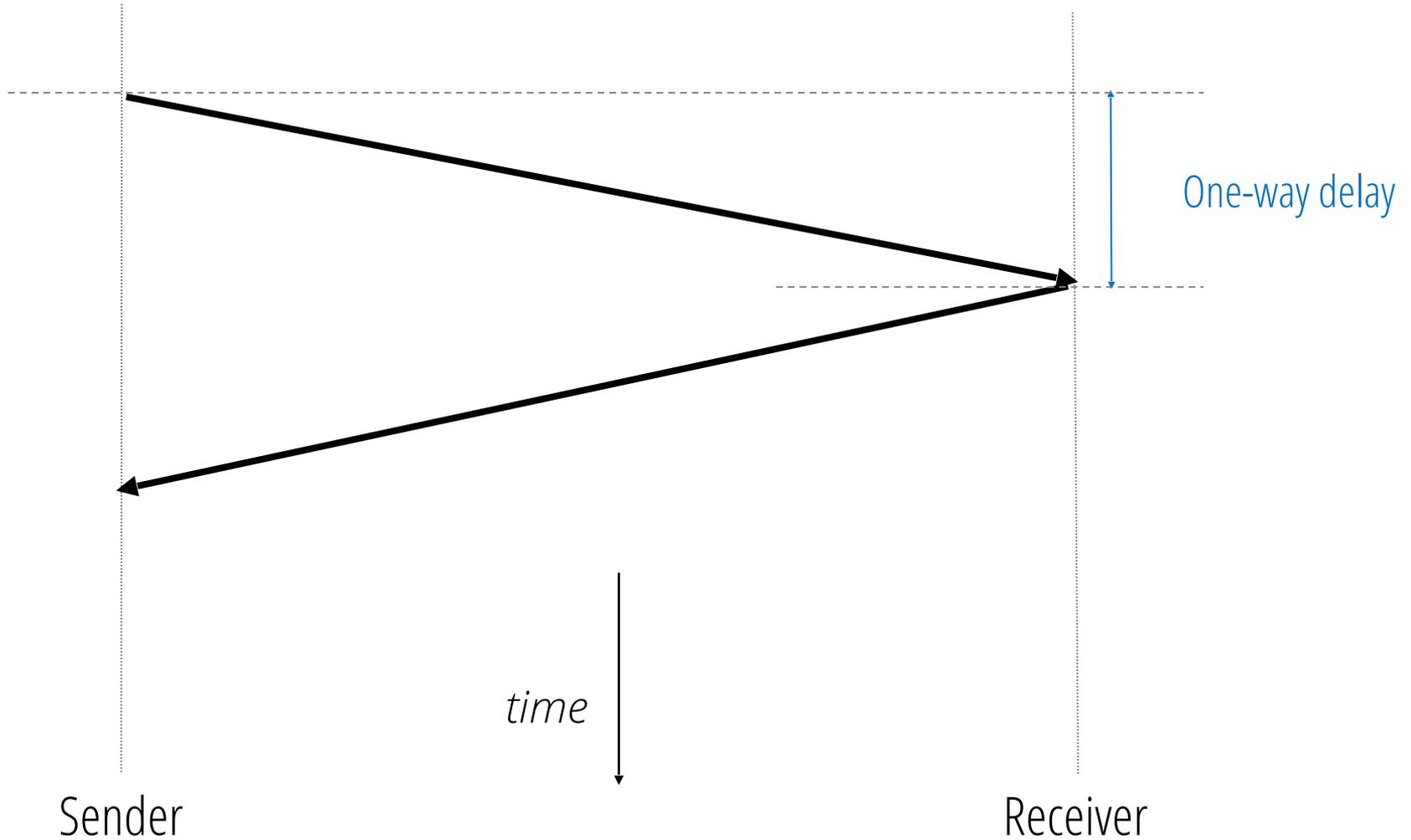
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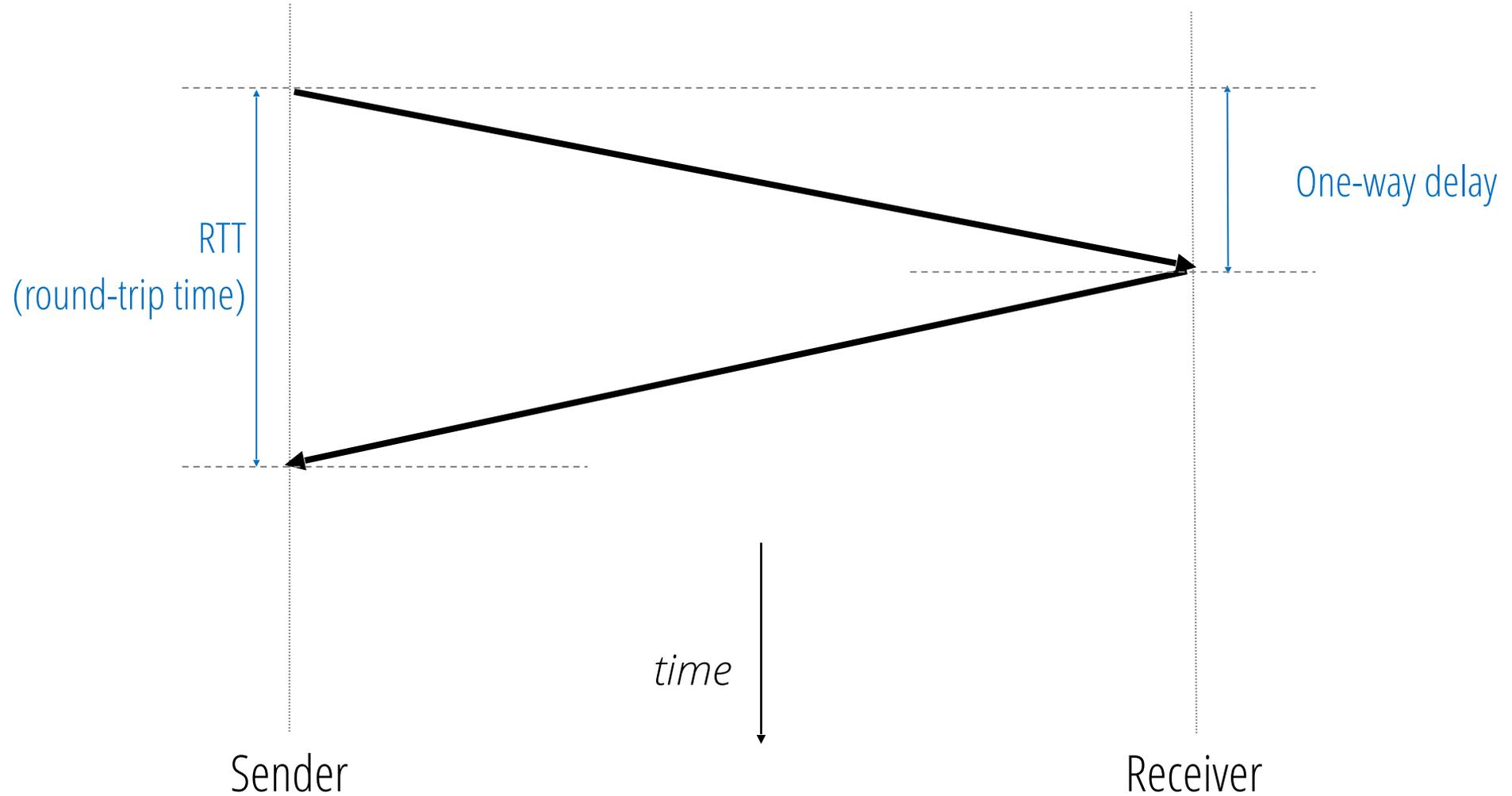
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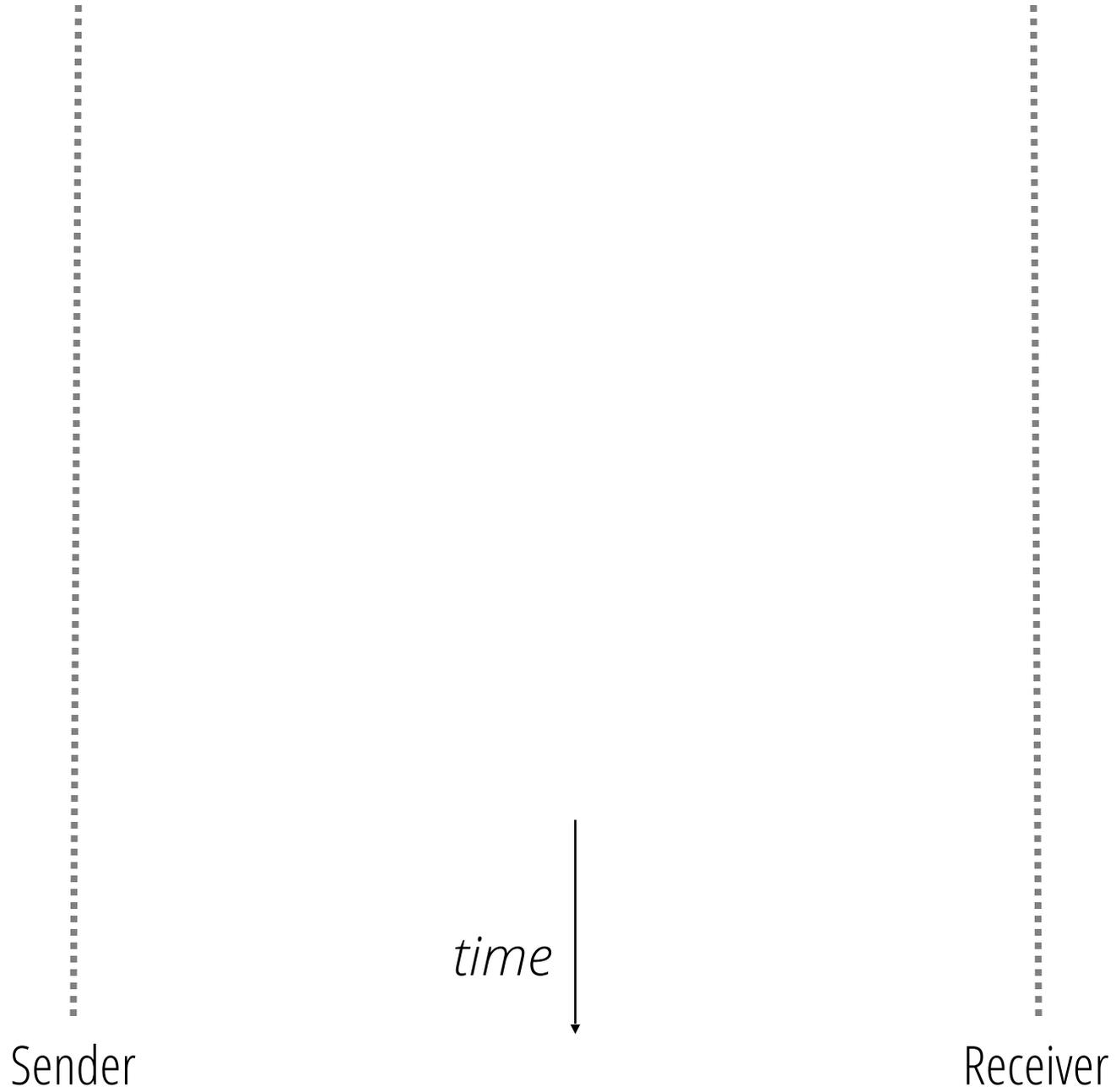
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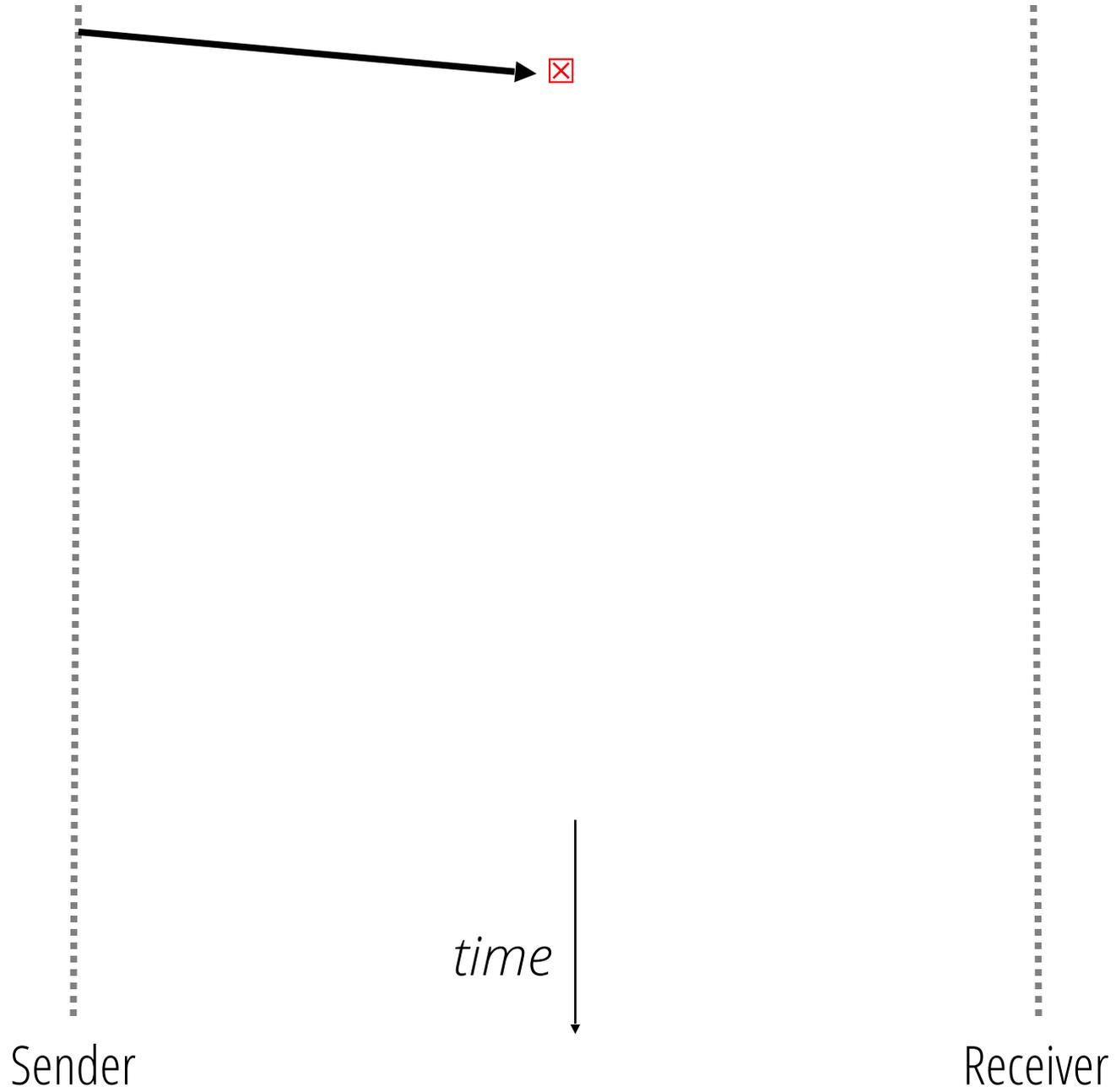
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  - ....





start timer



Sender



*time*



Receiver

start timer

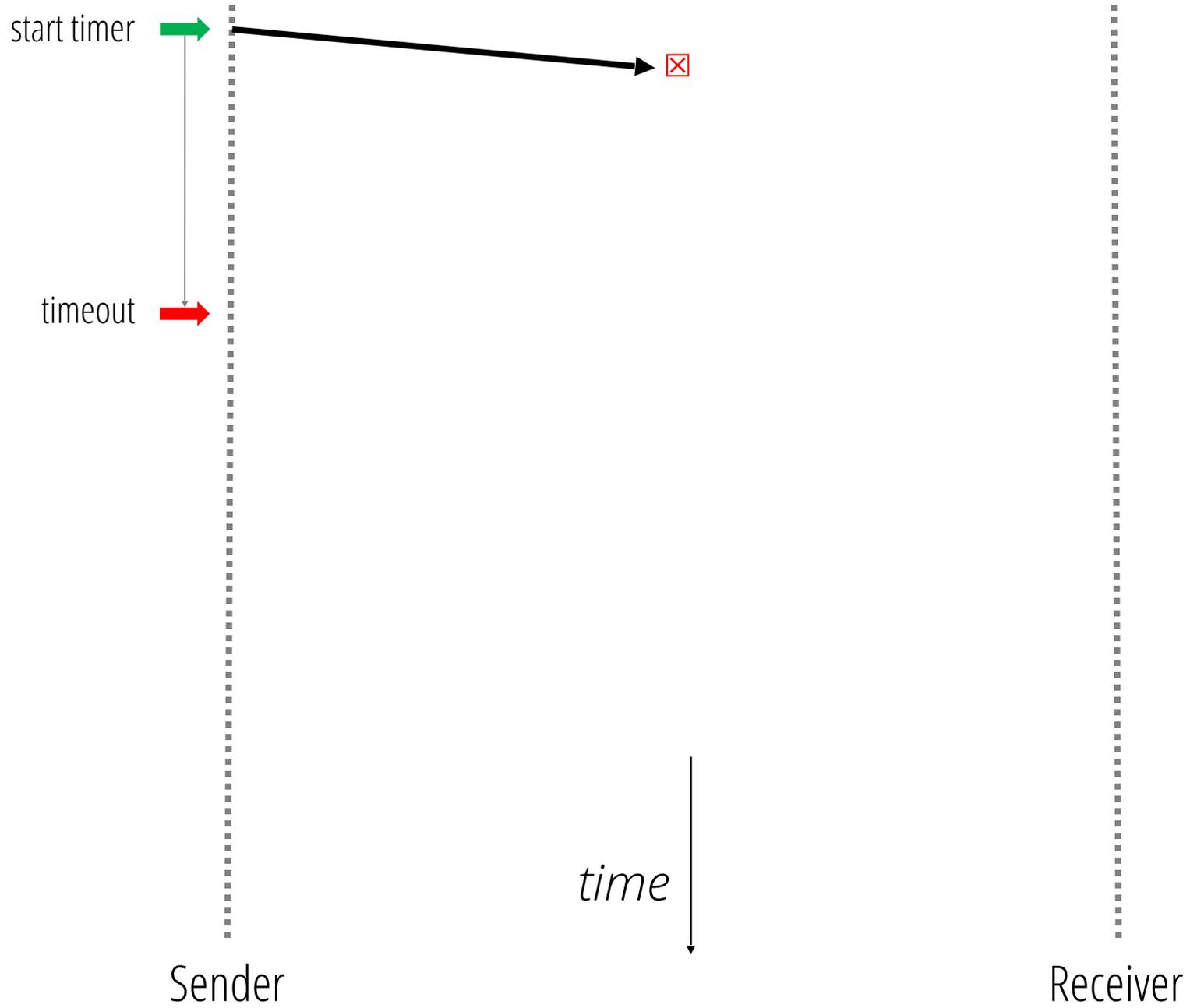


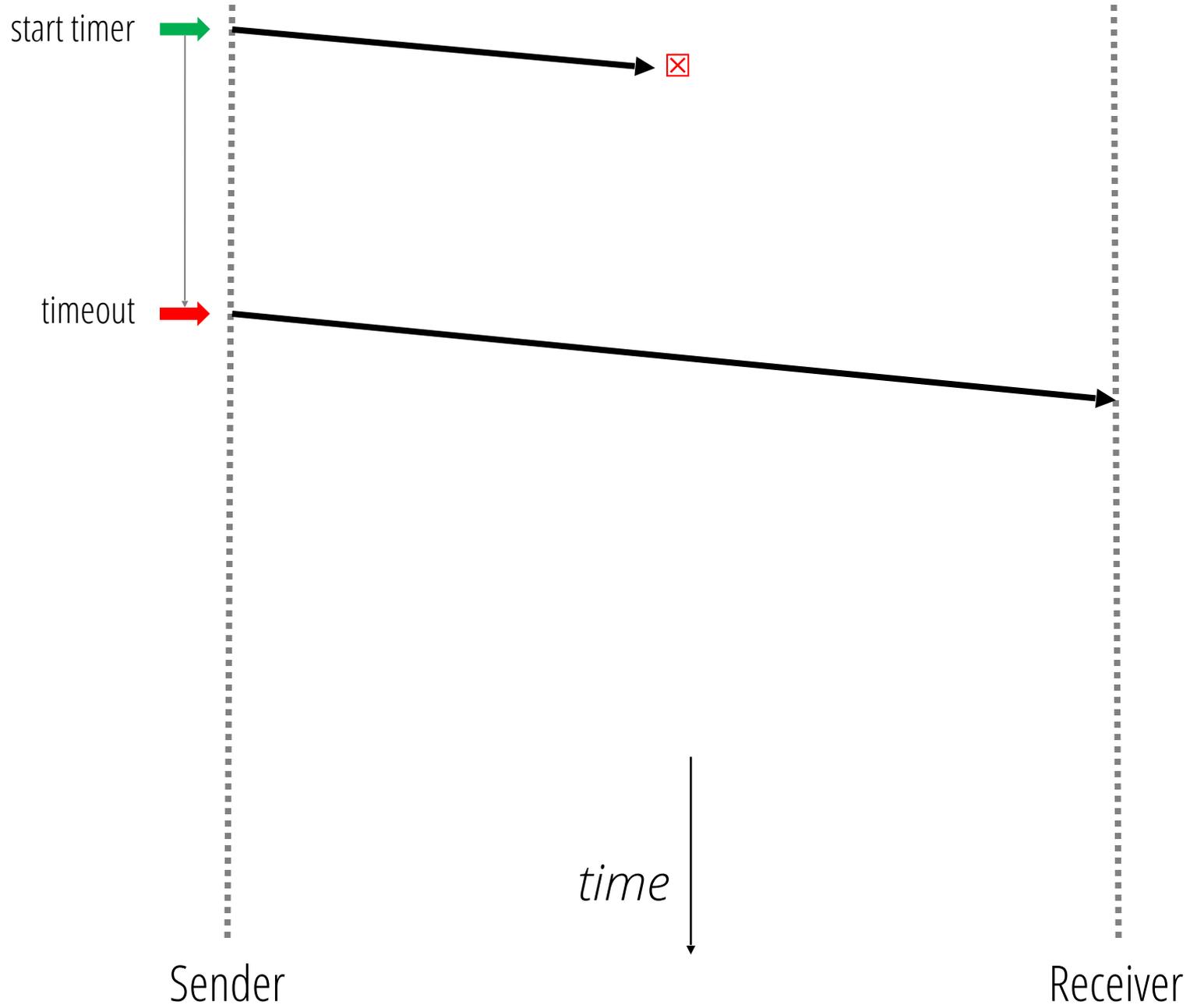
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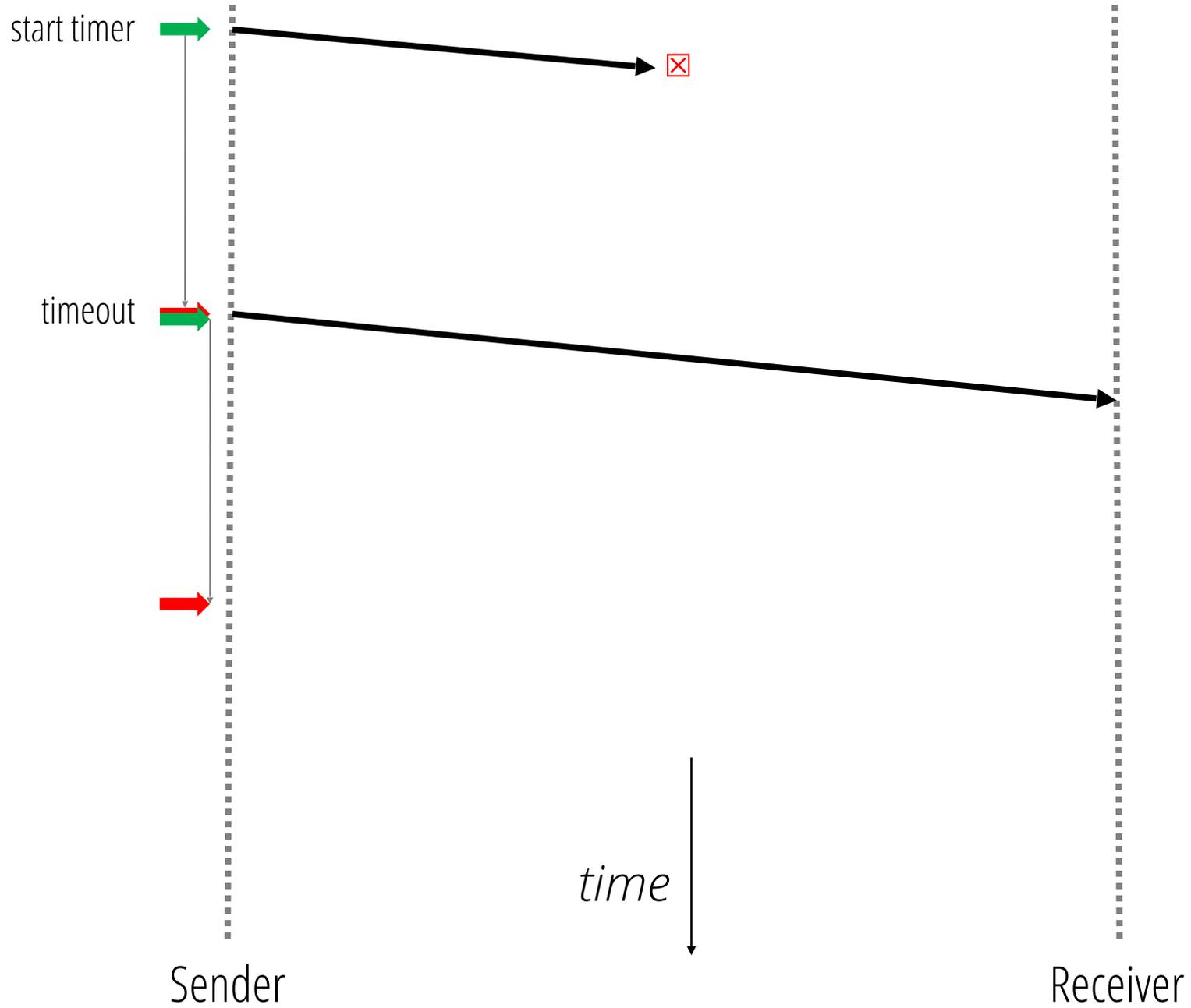
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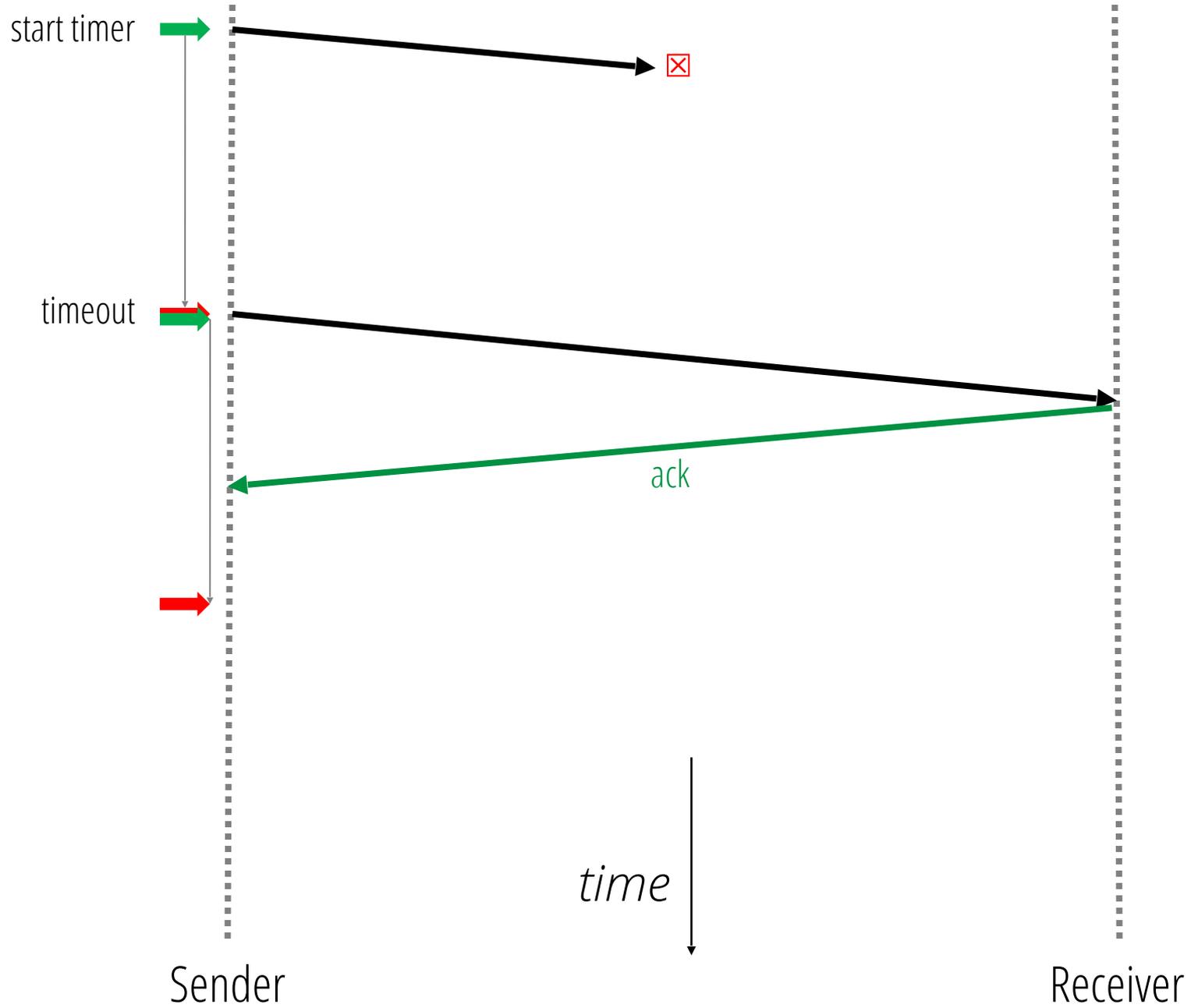


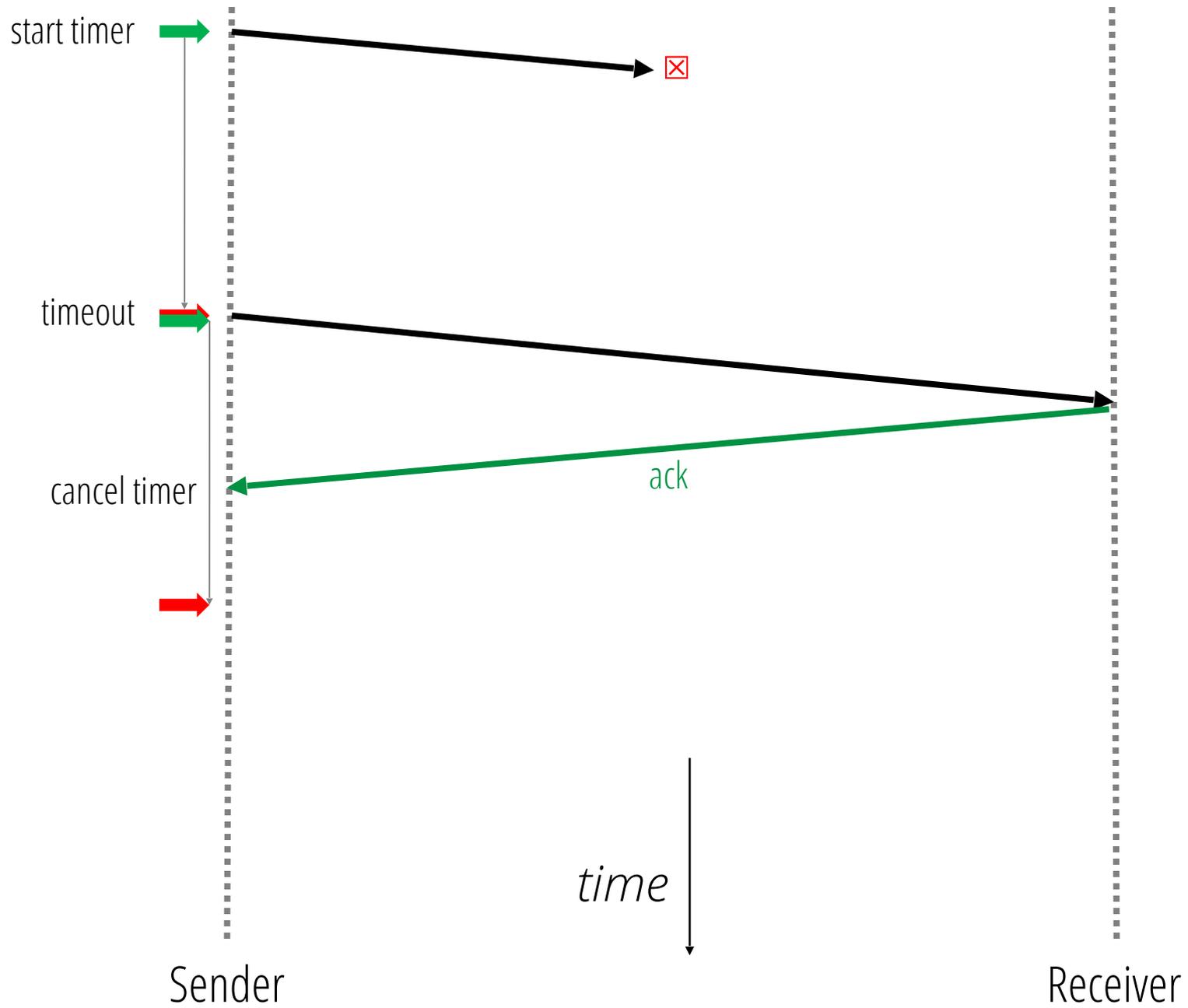
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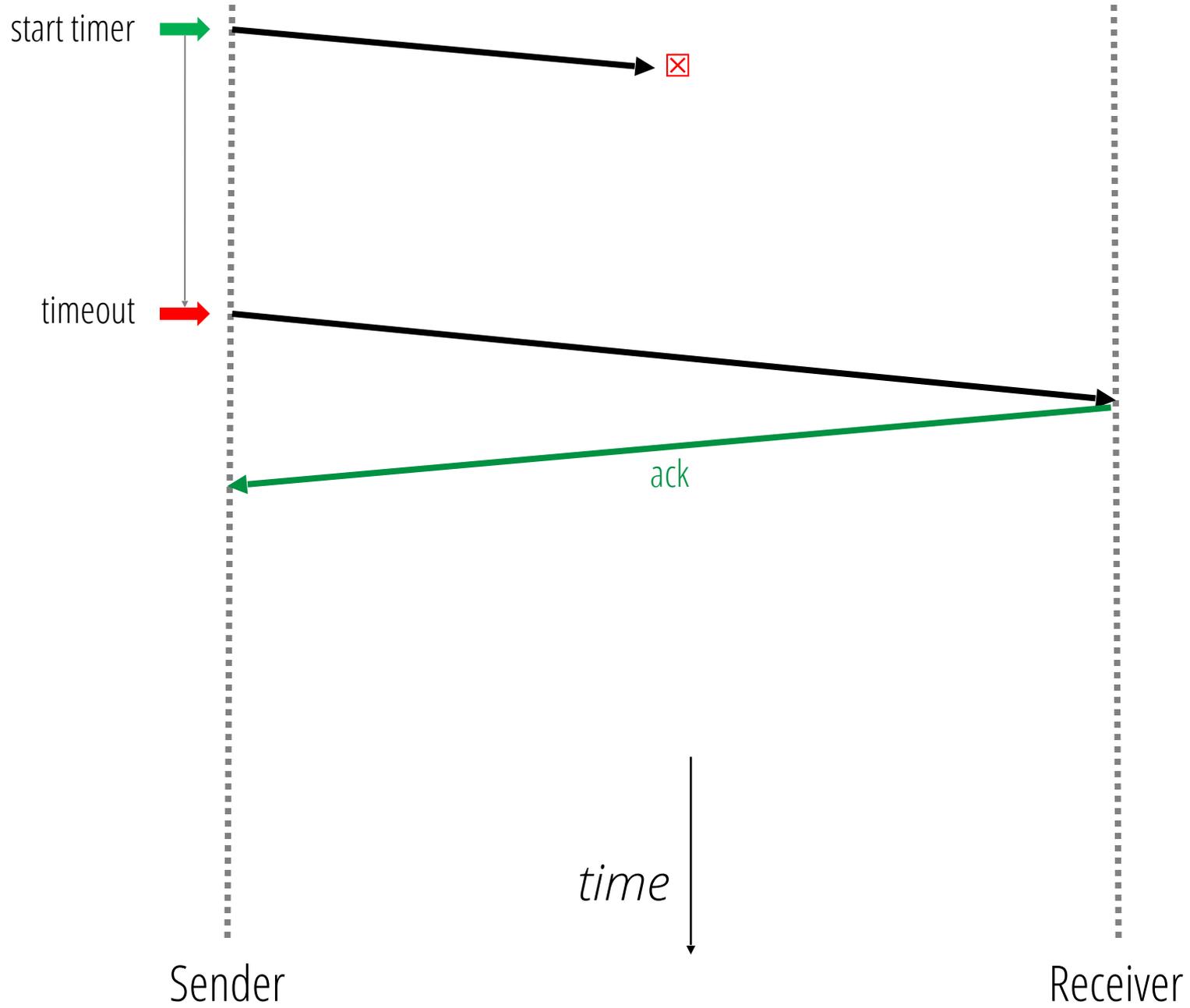


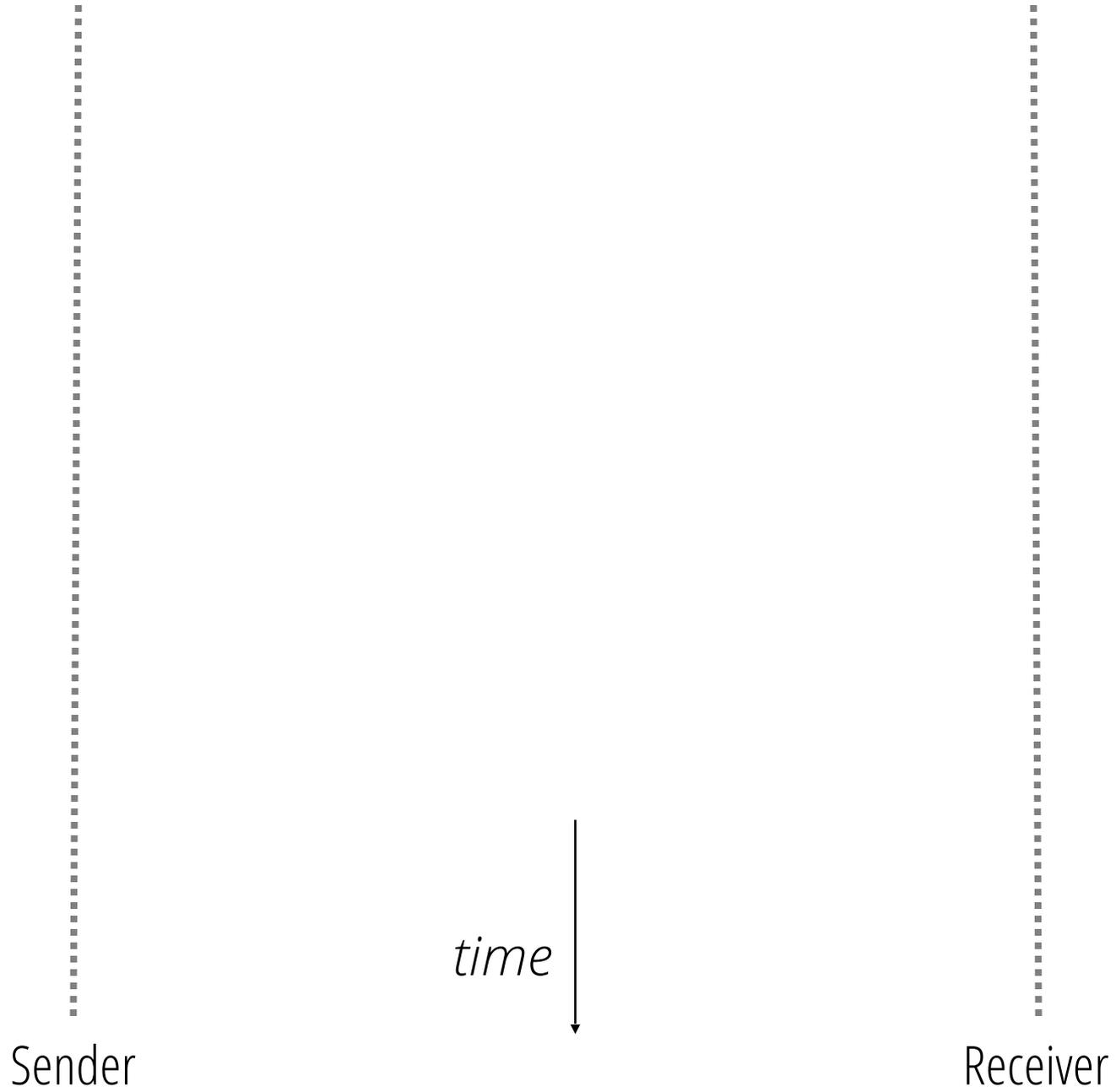


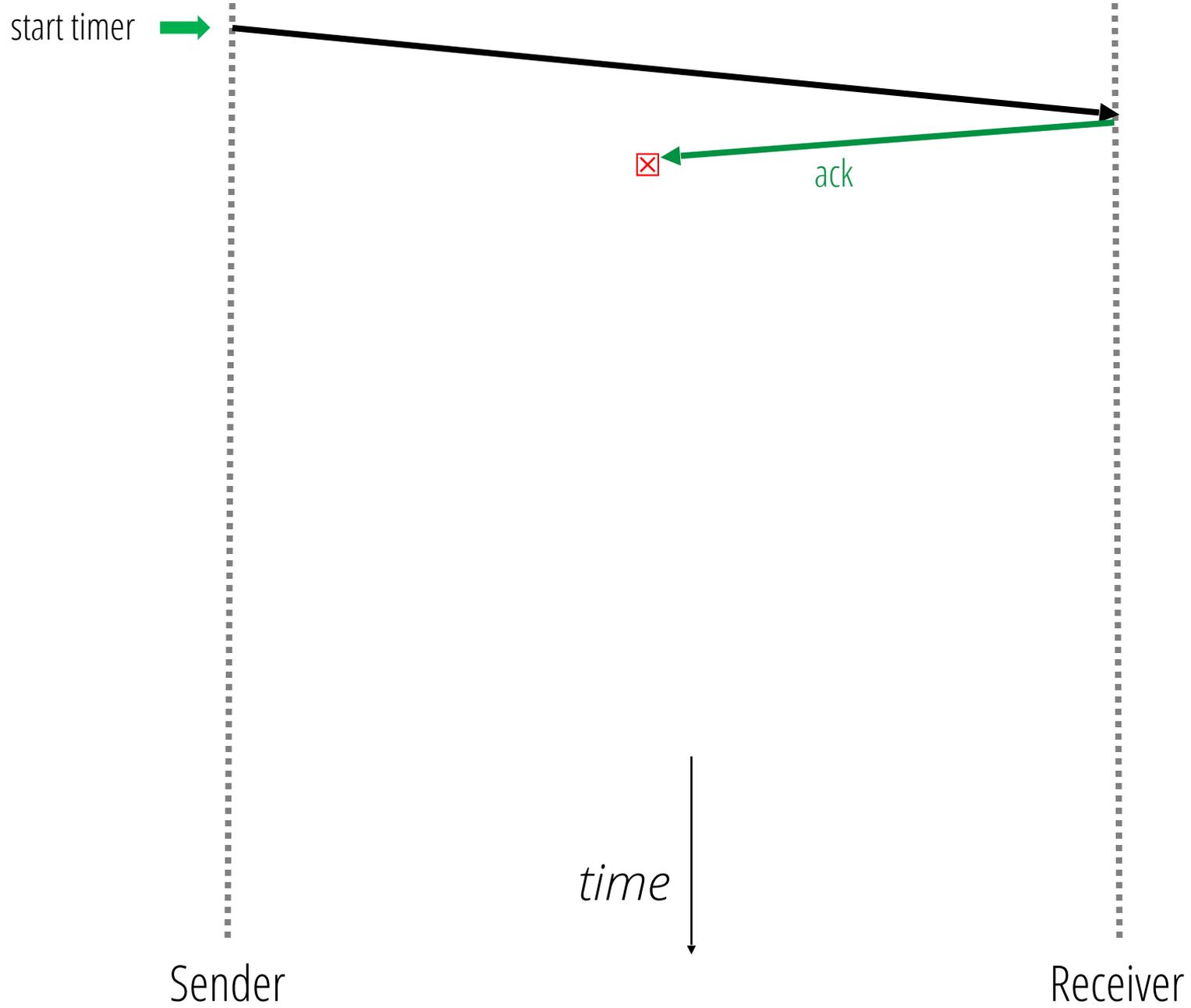


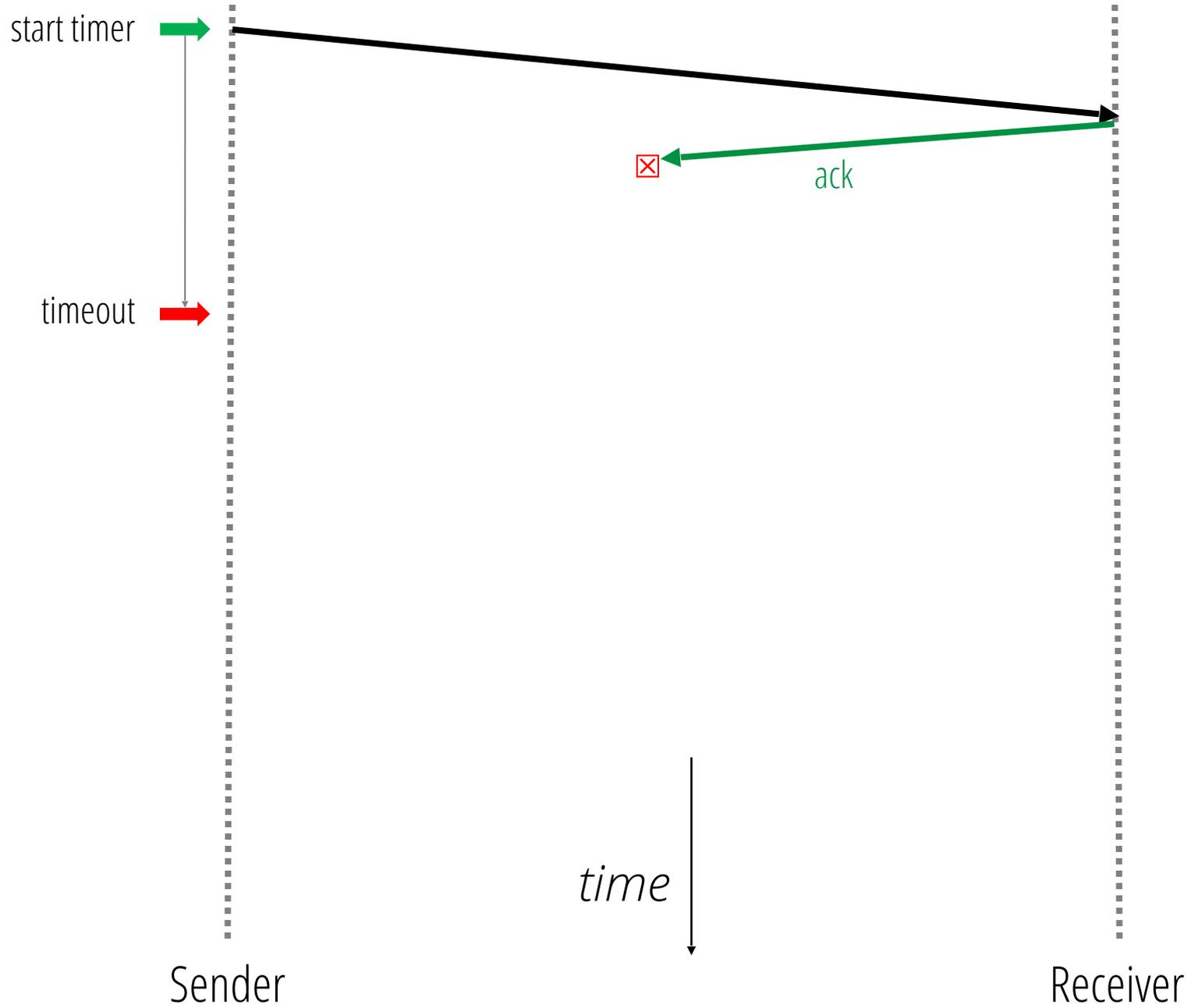


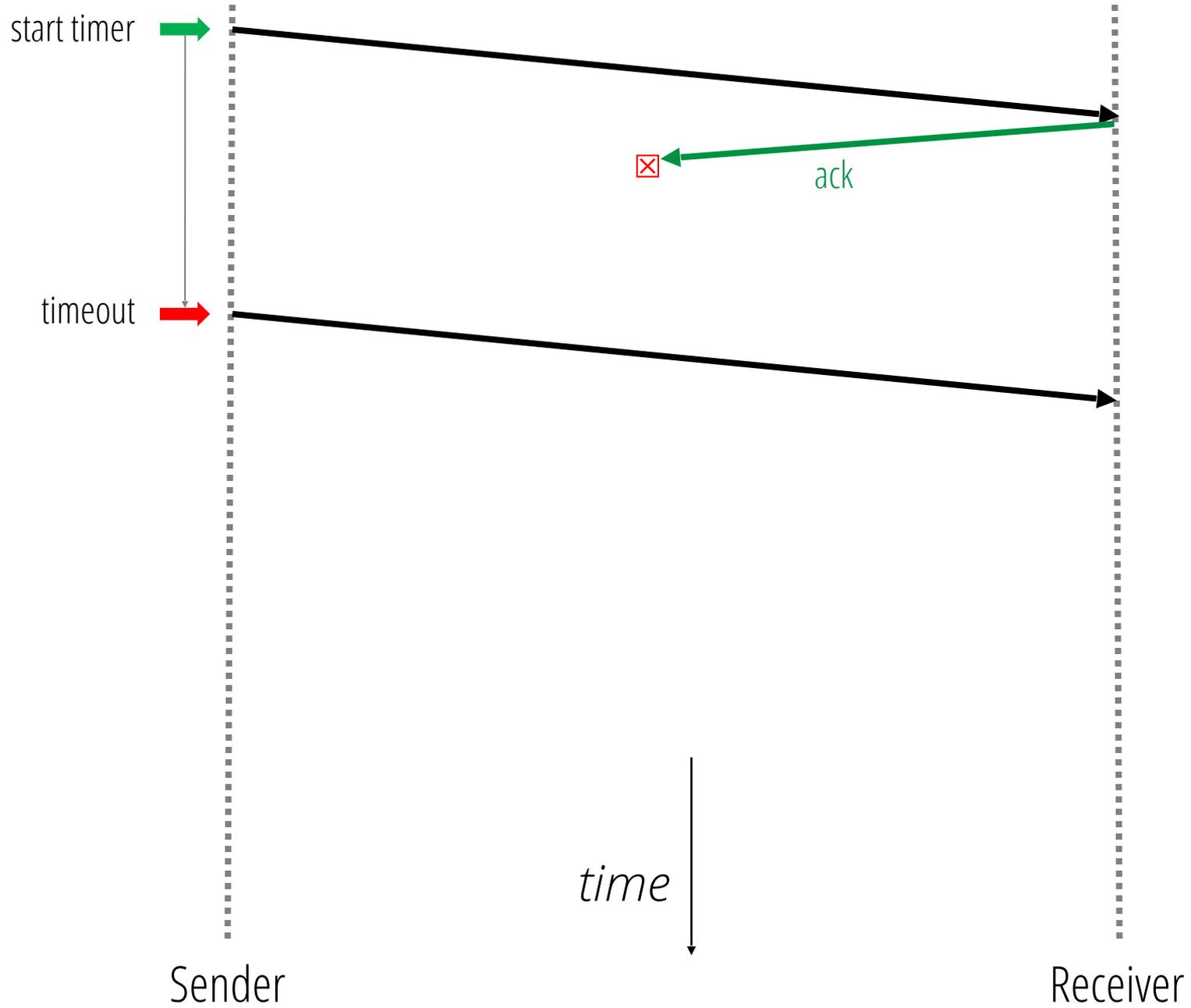


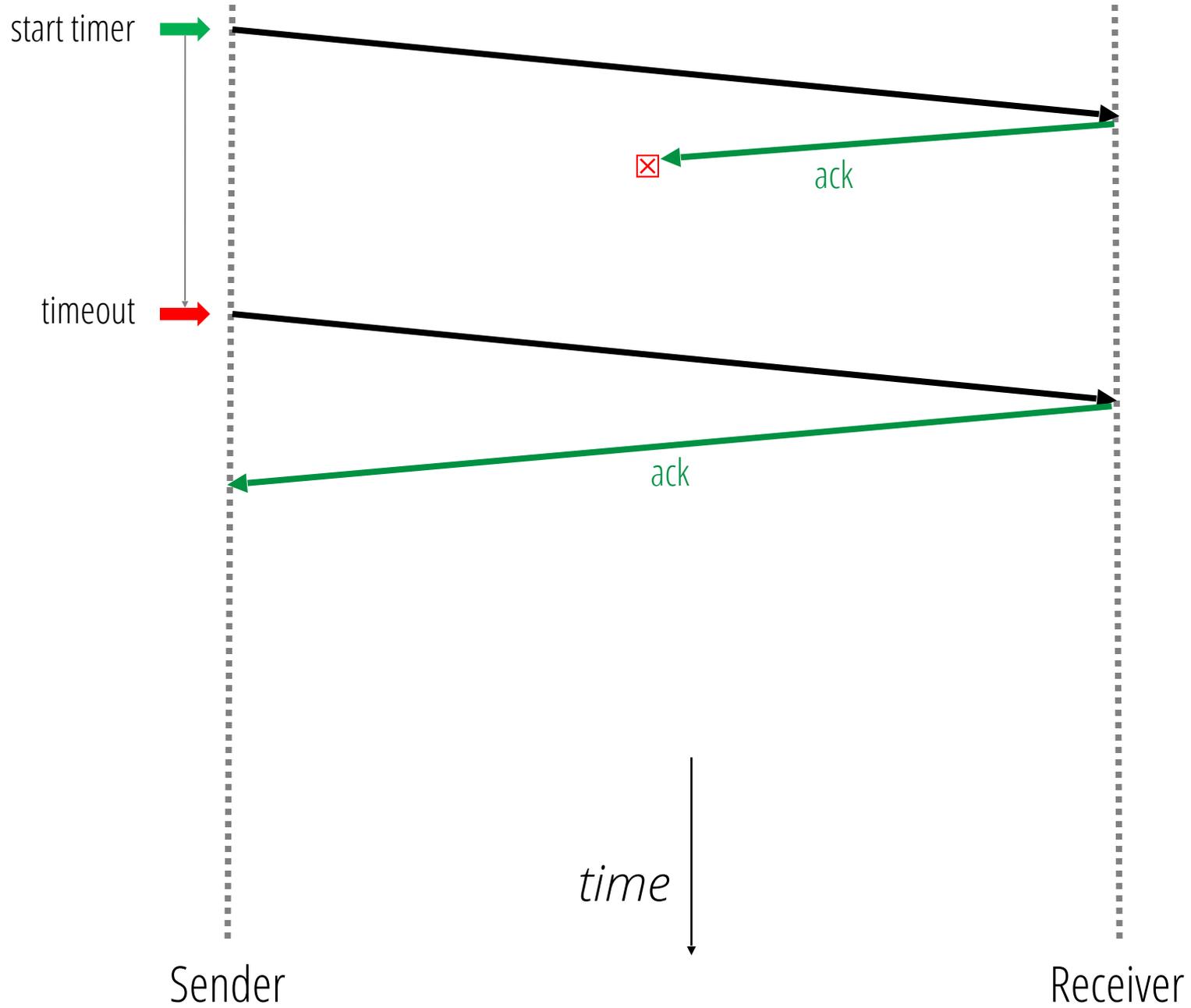


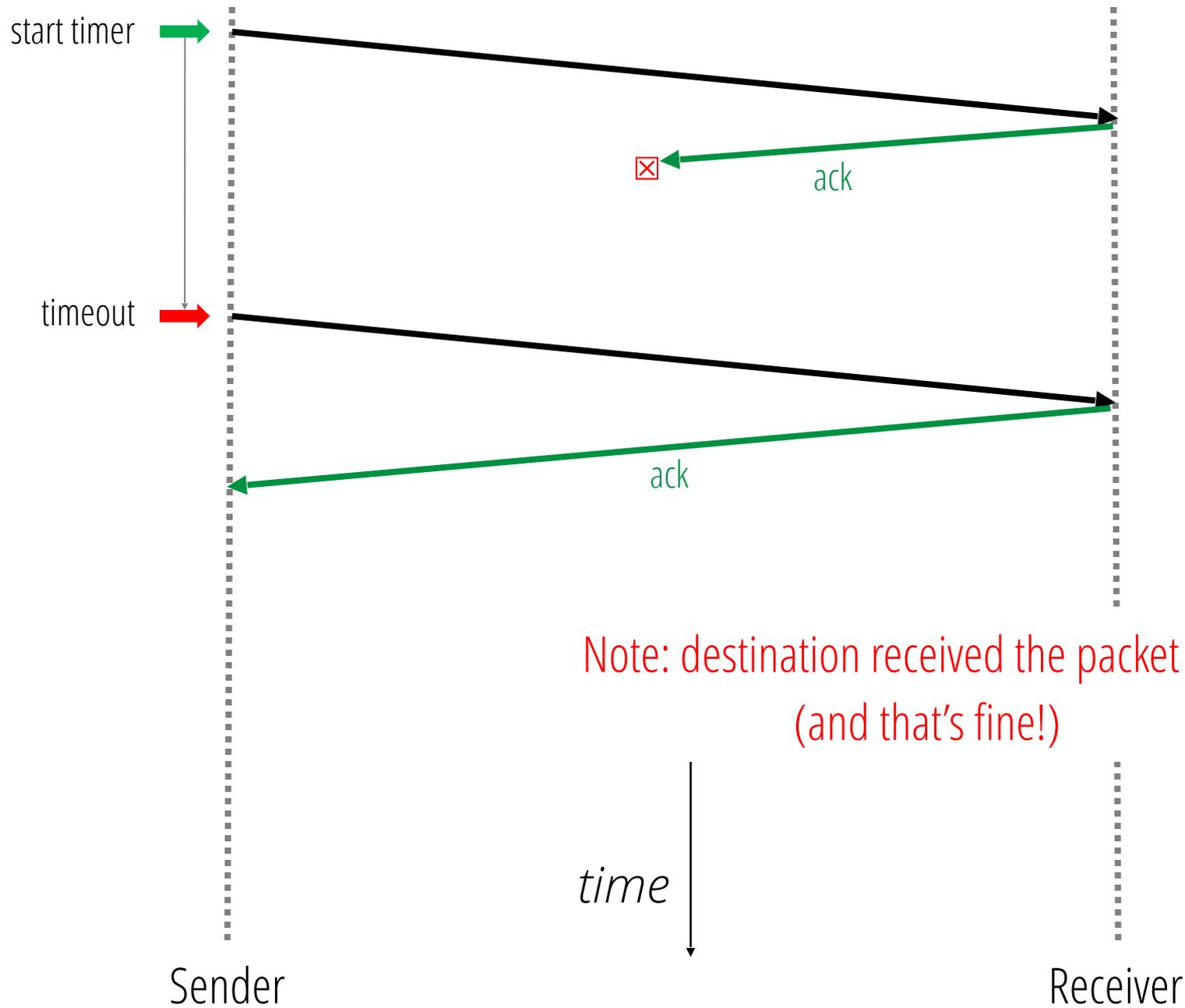












Note: destination received the packet twice  
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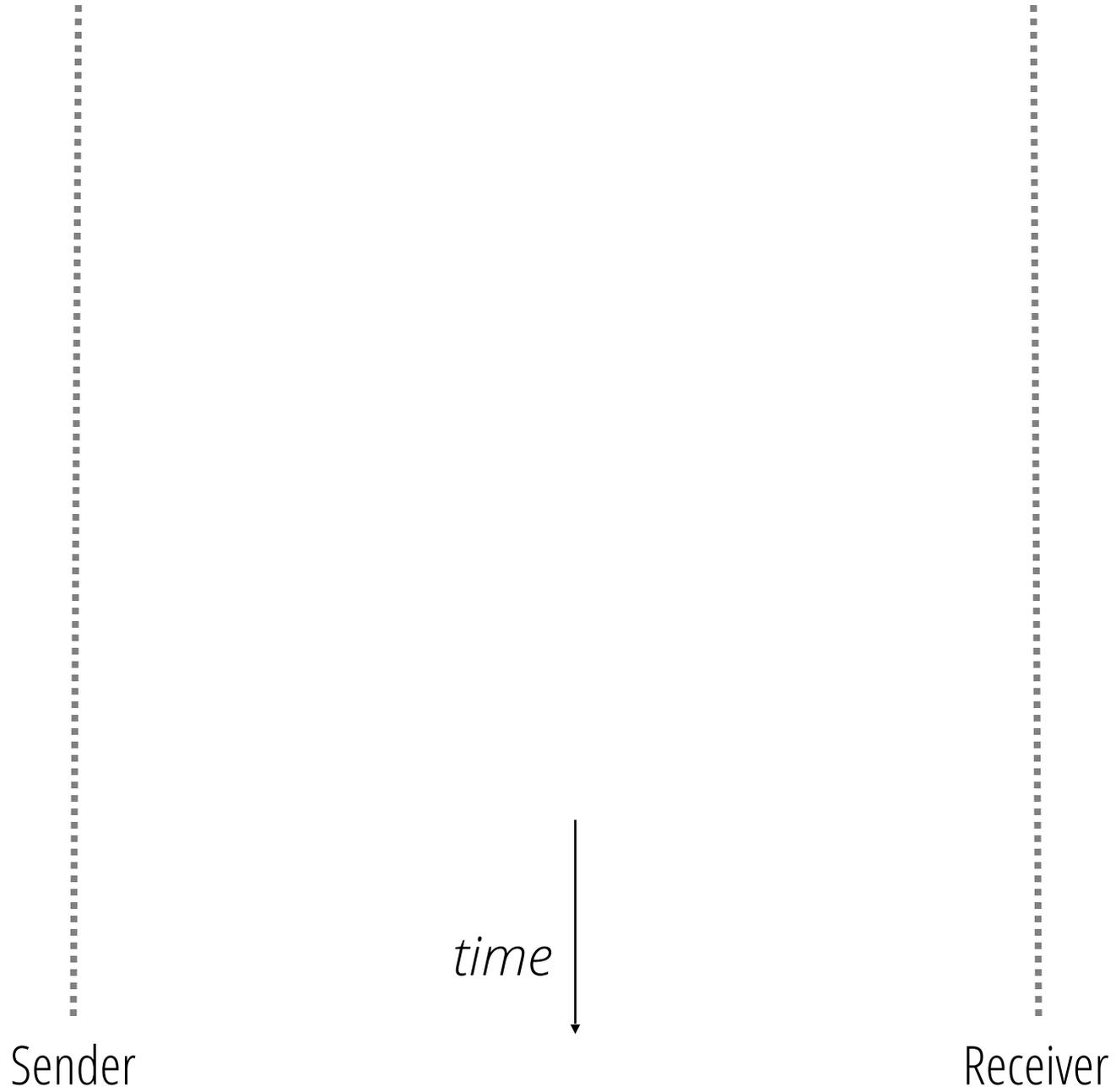
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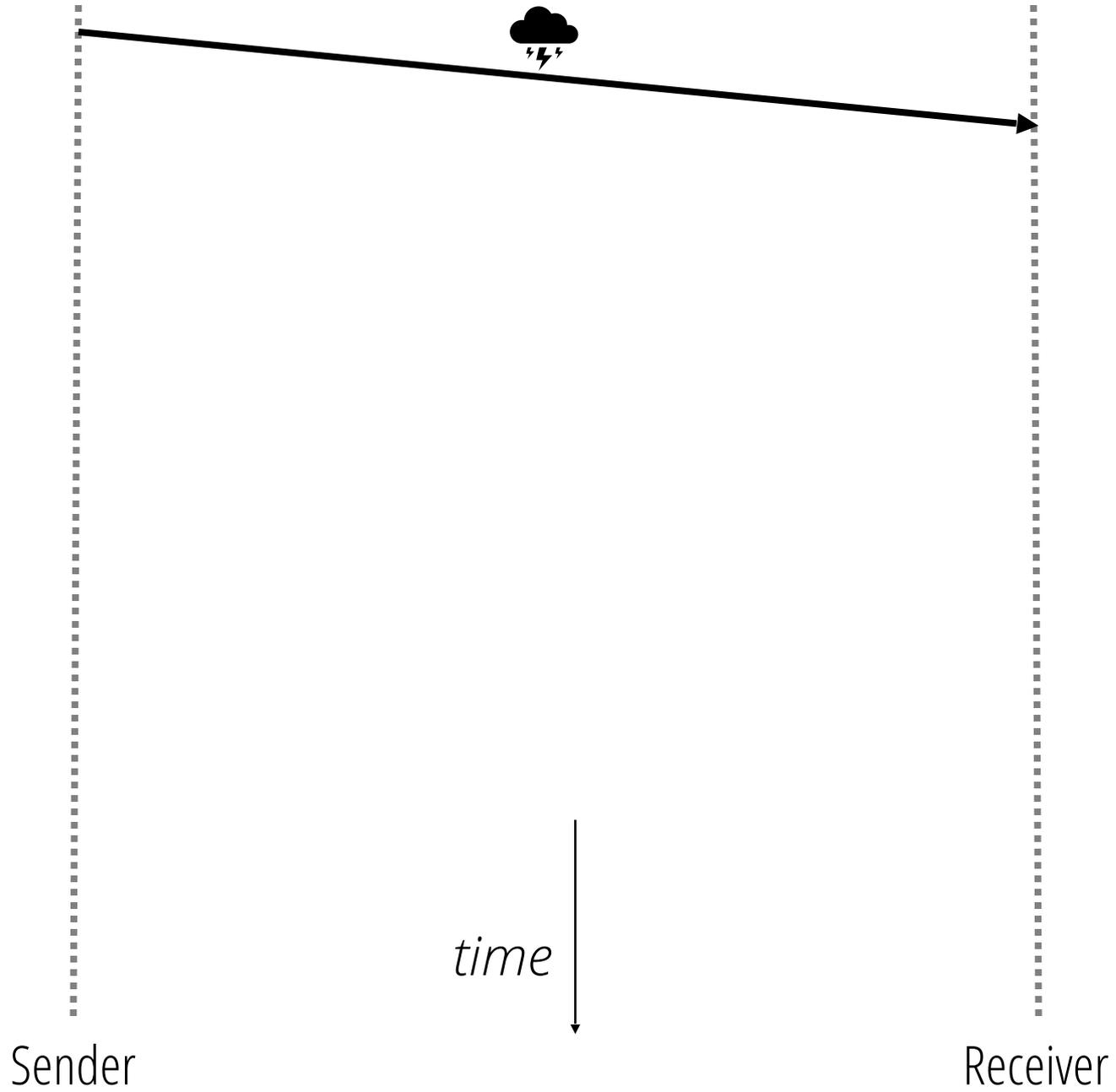
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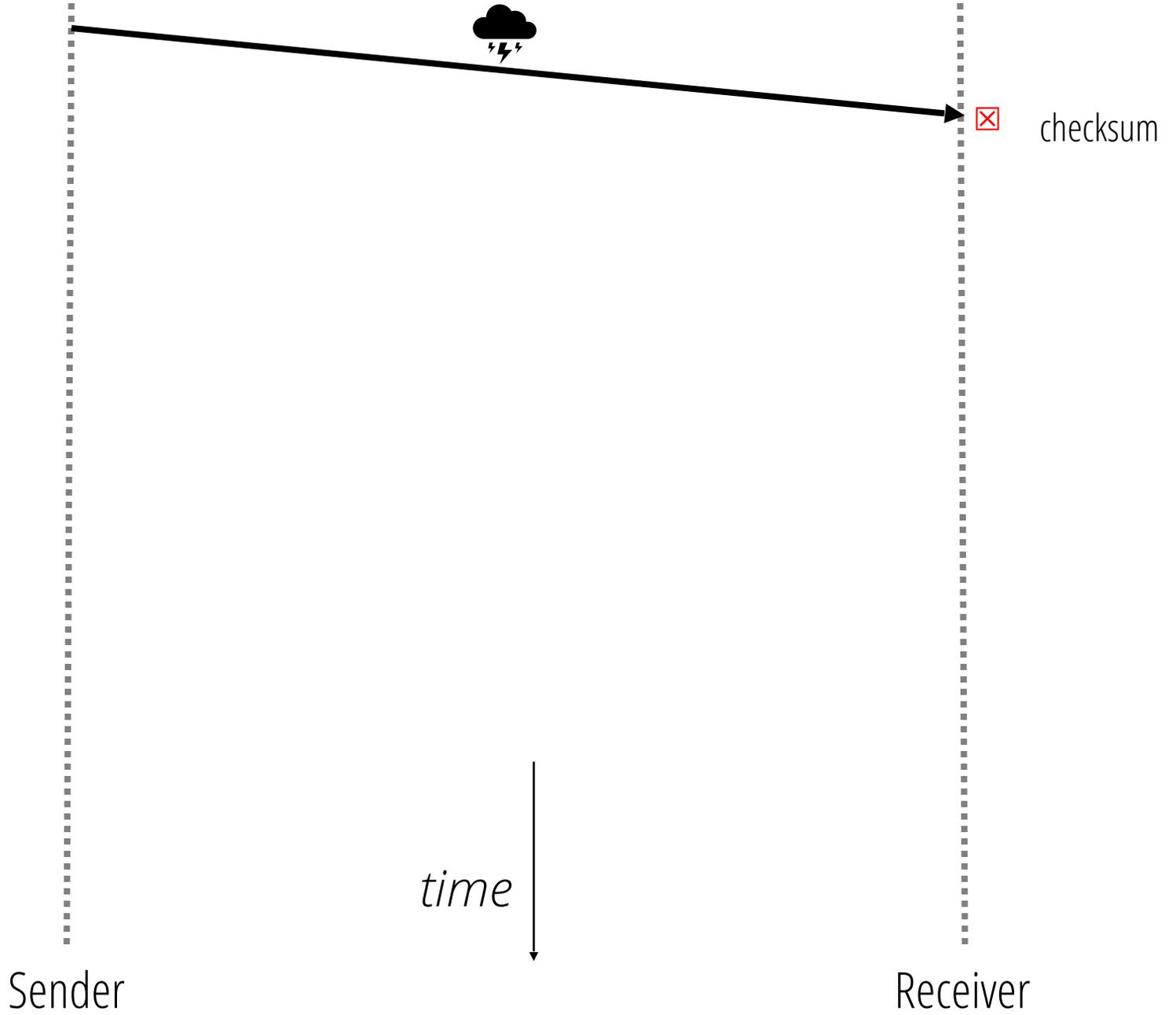
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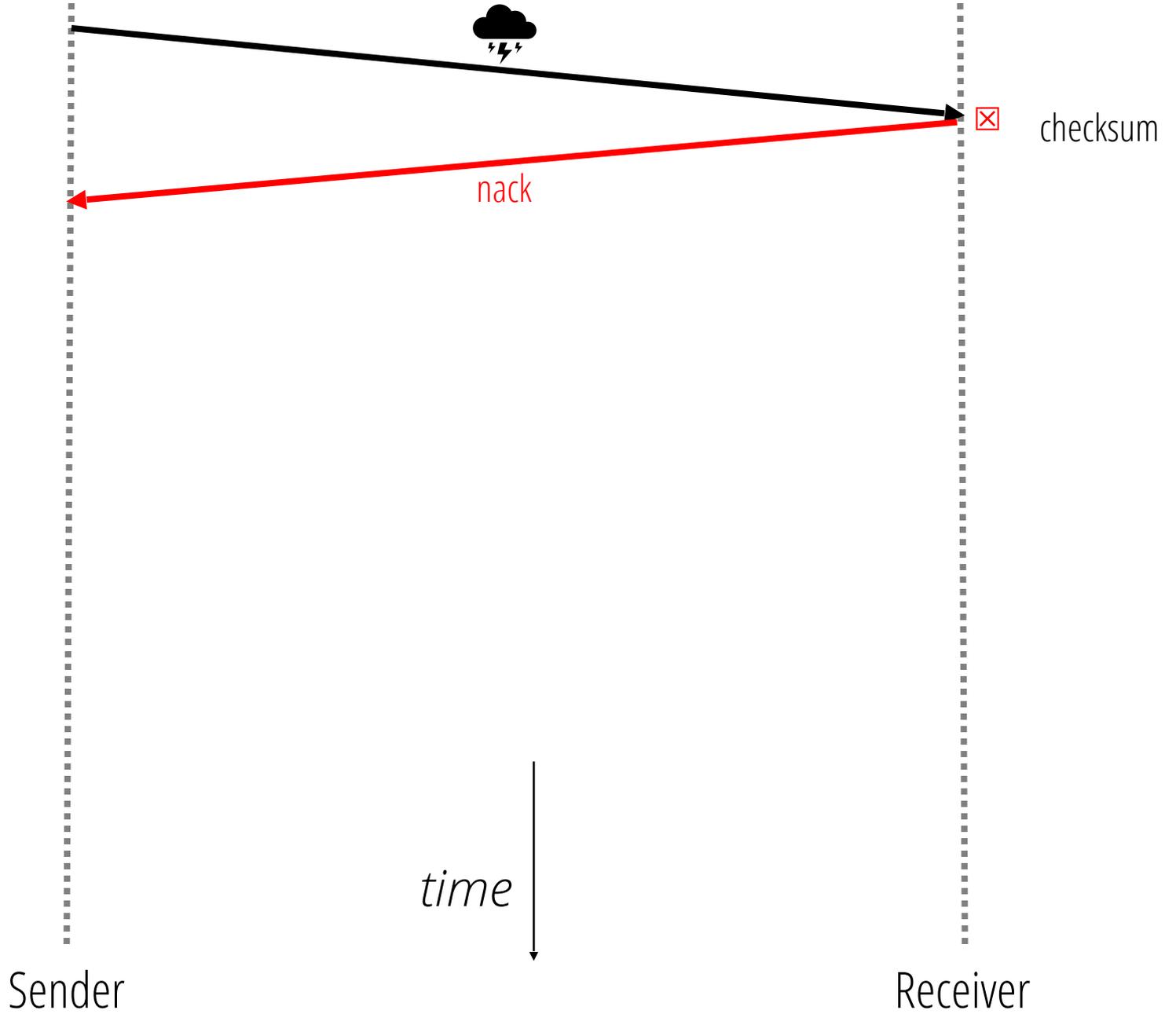
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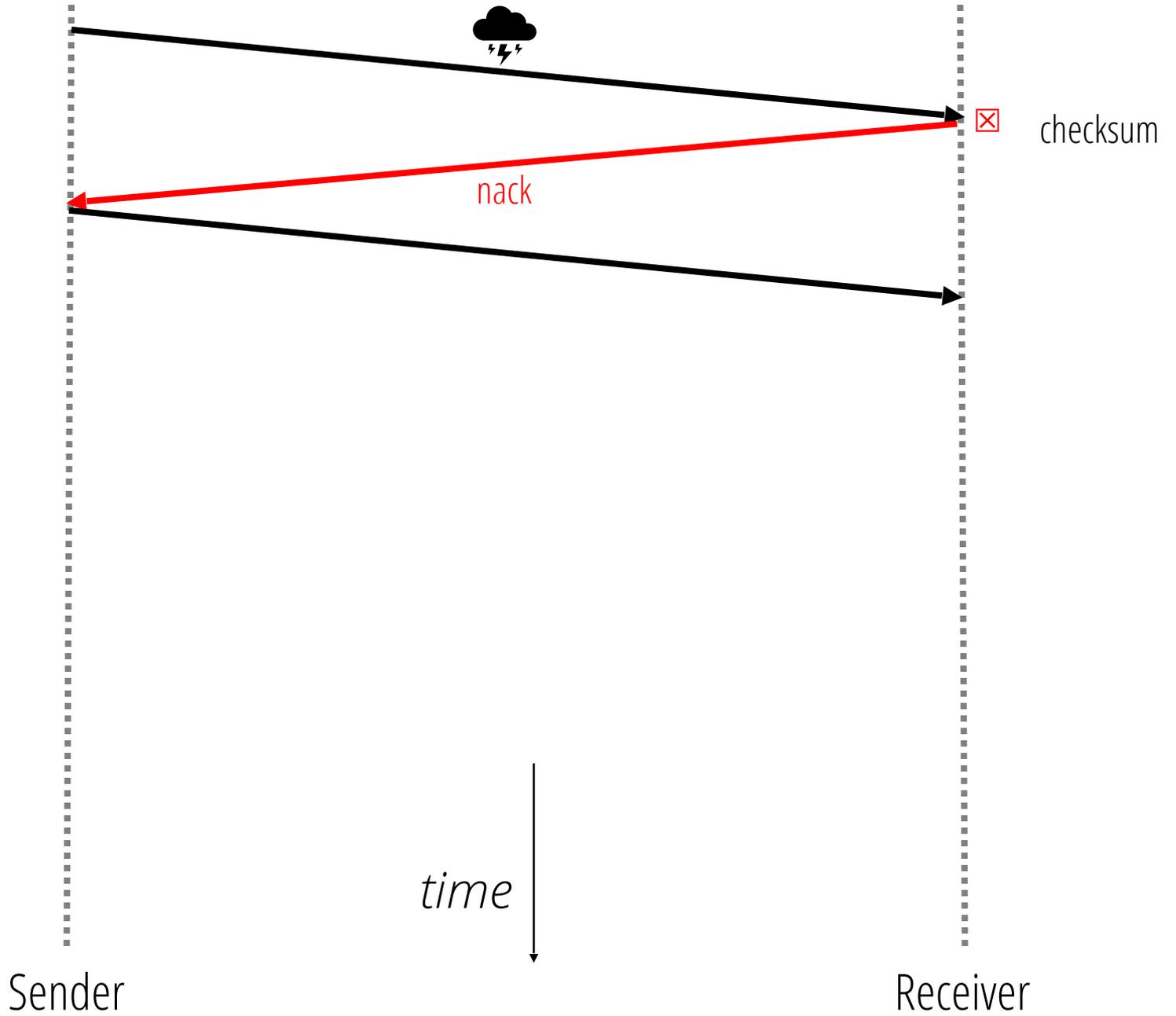
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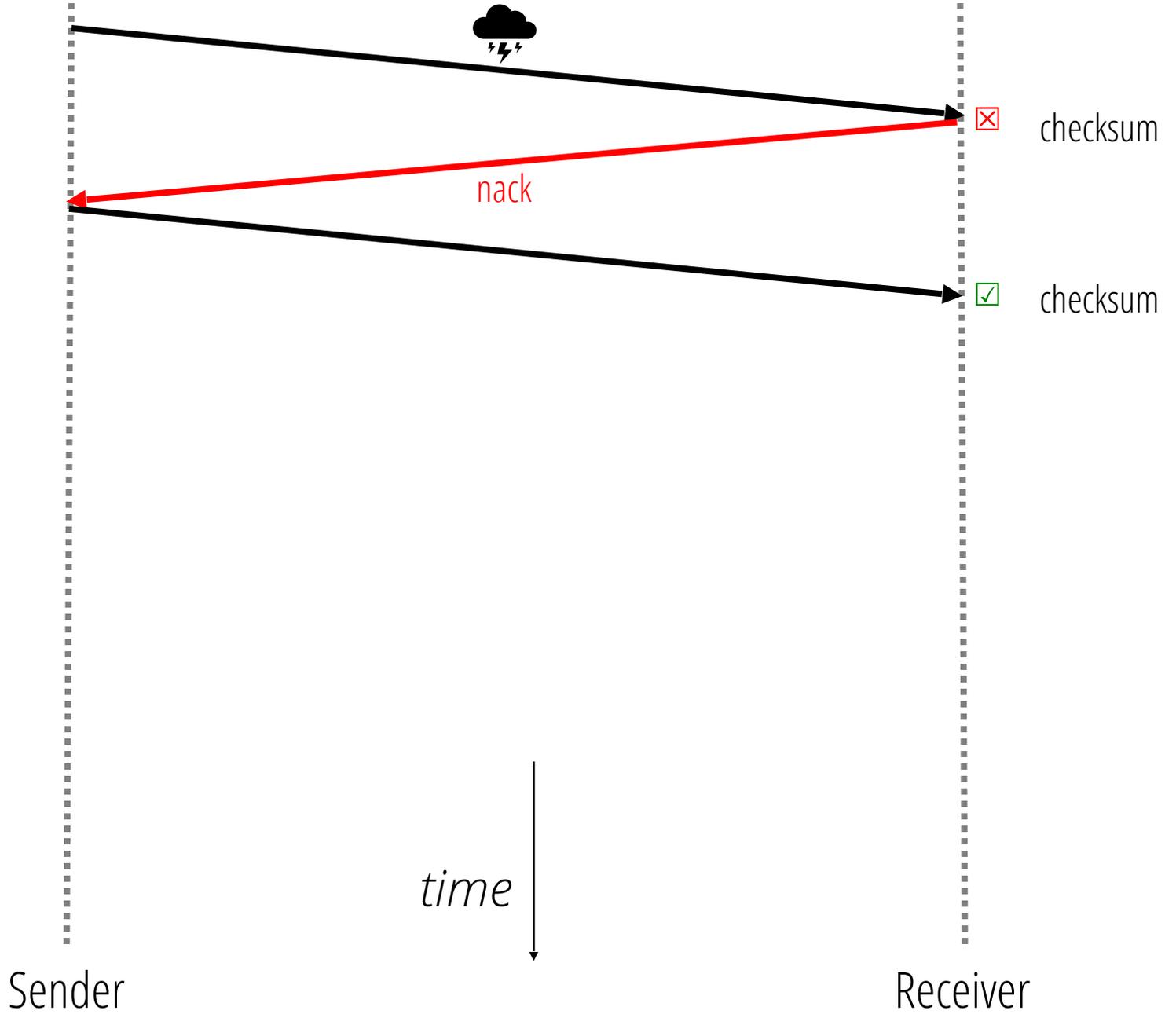


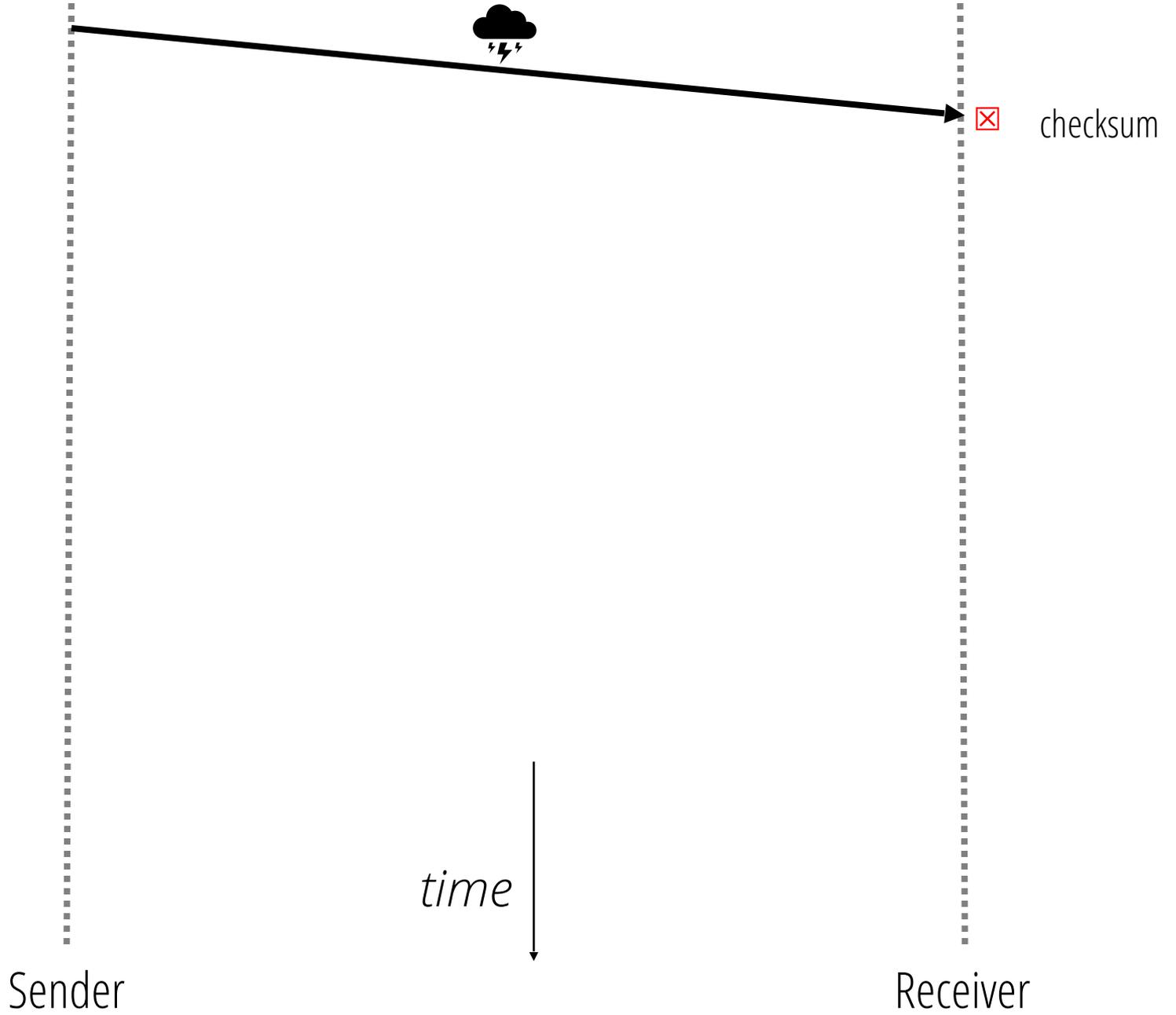


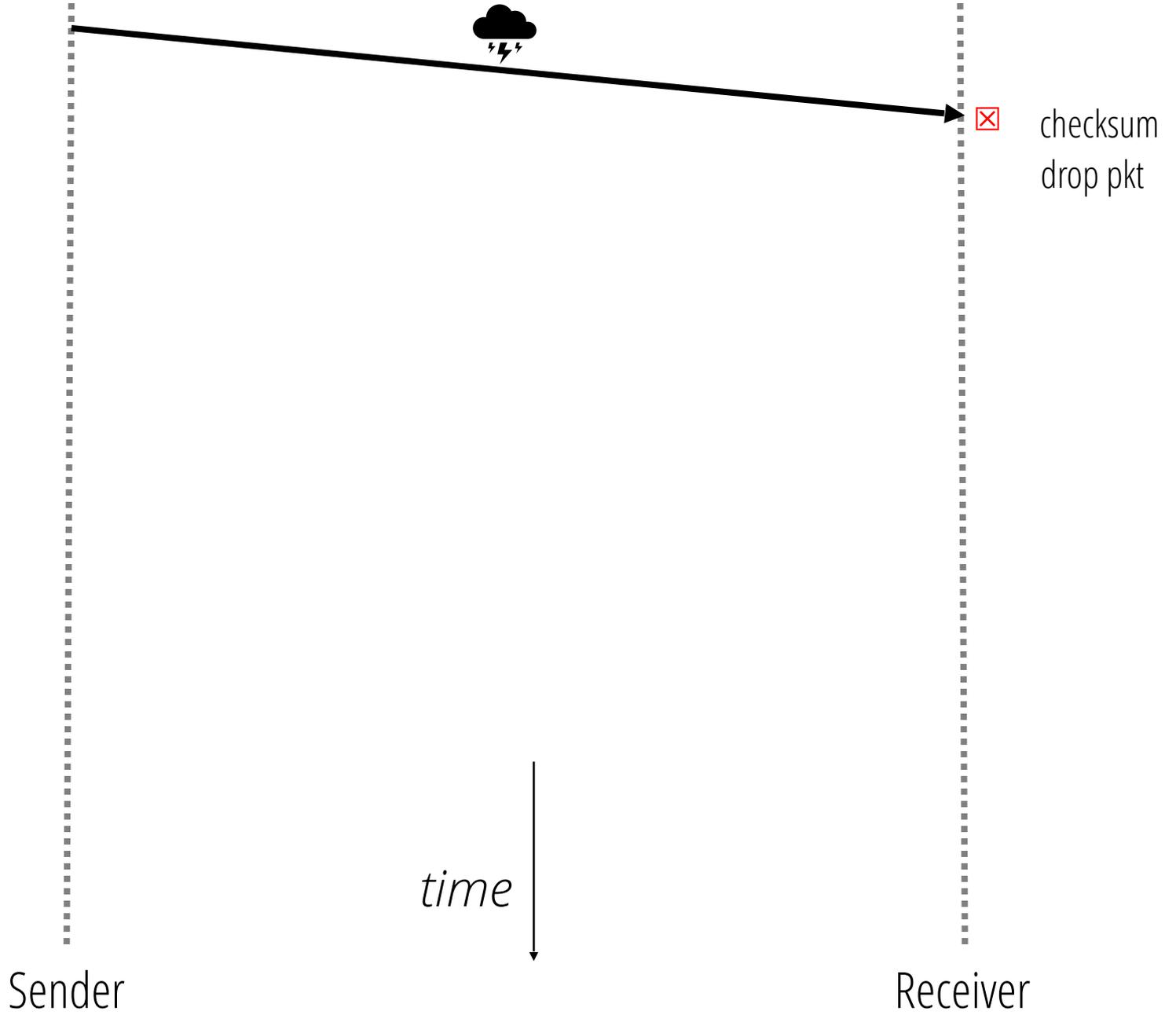


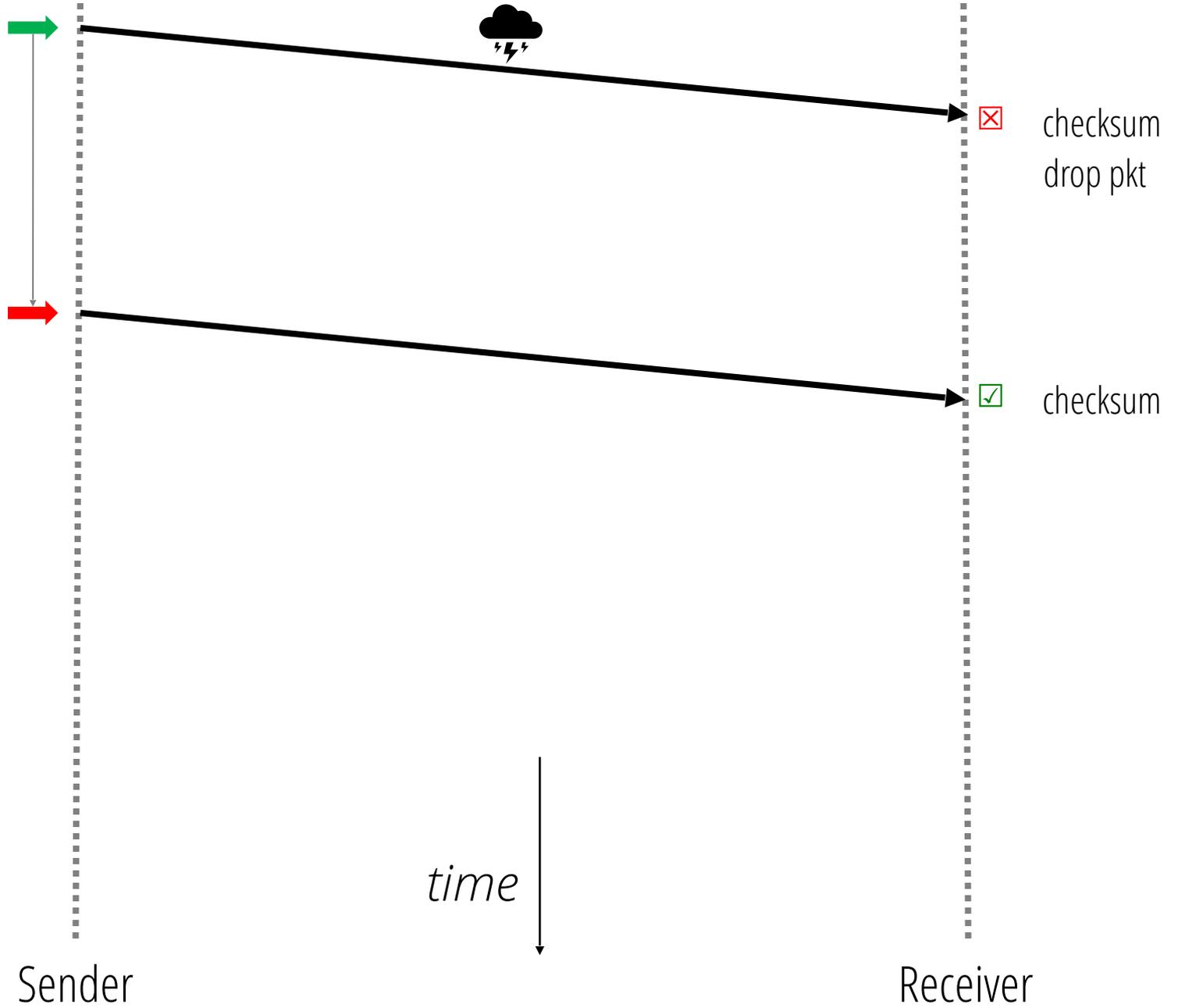




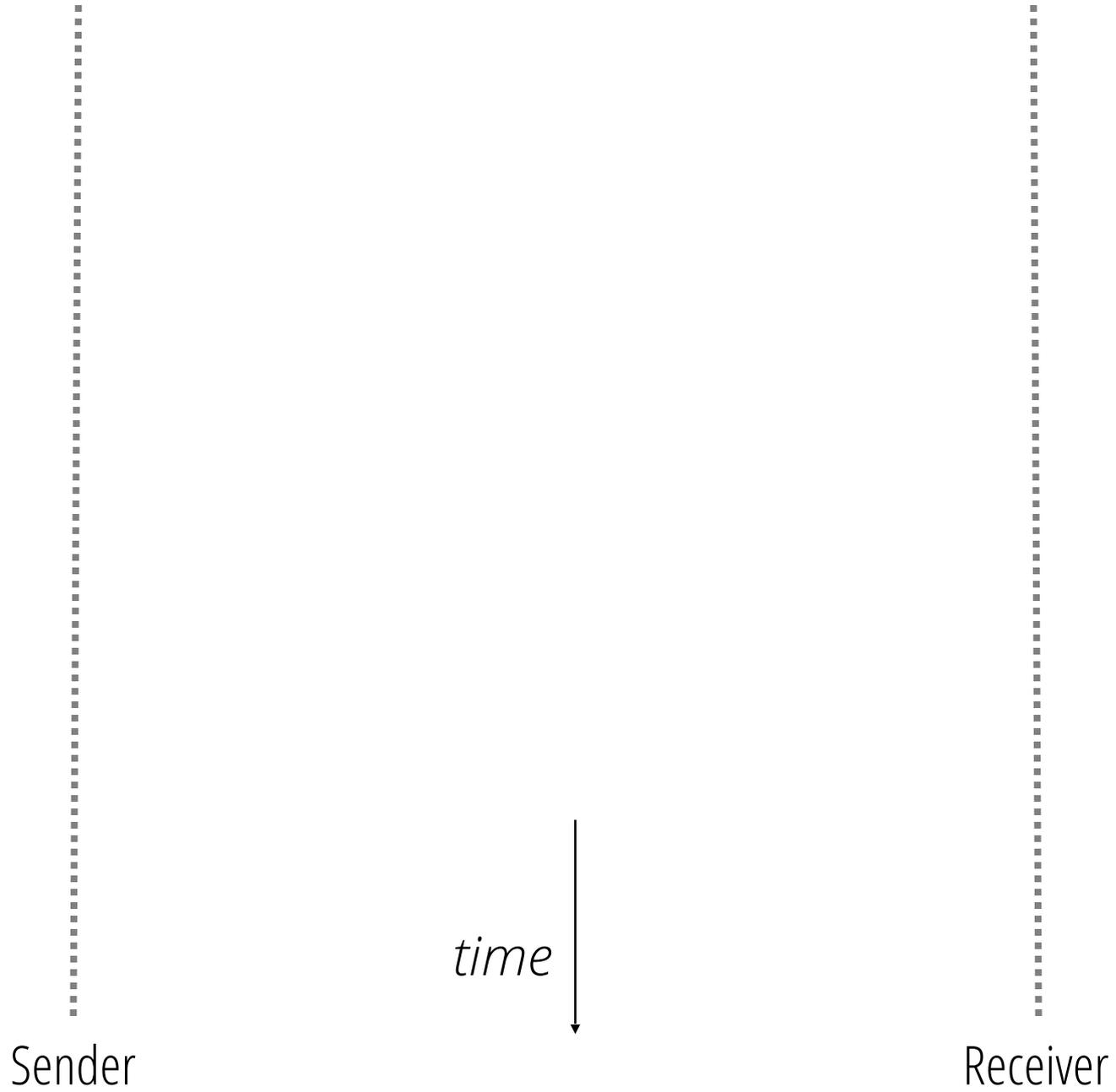


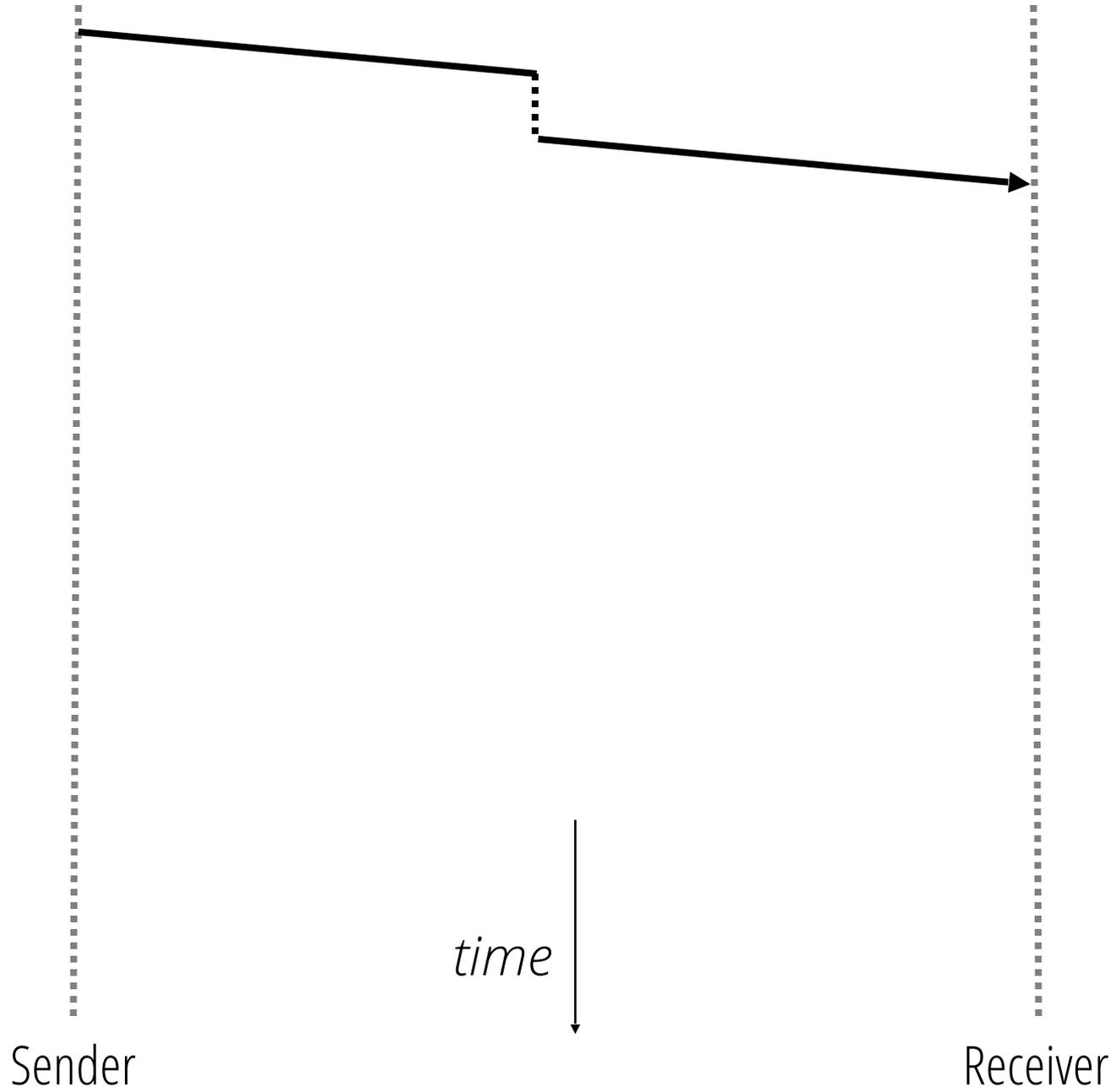


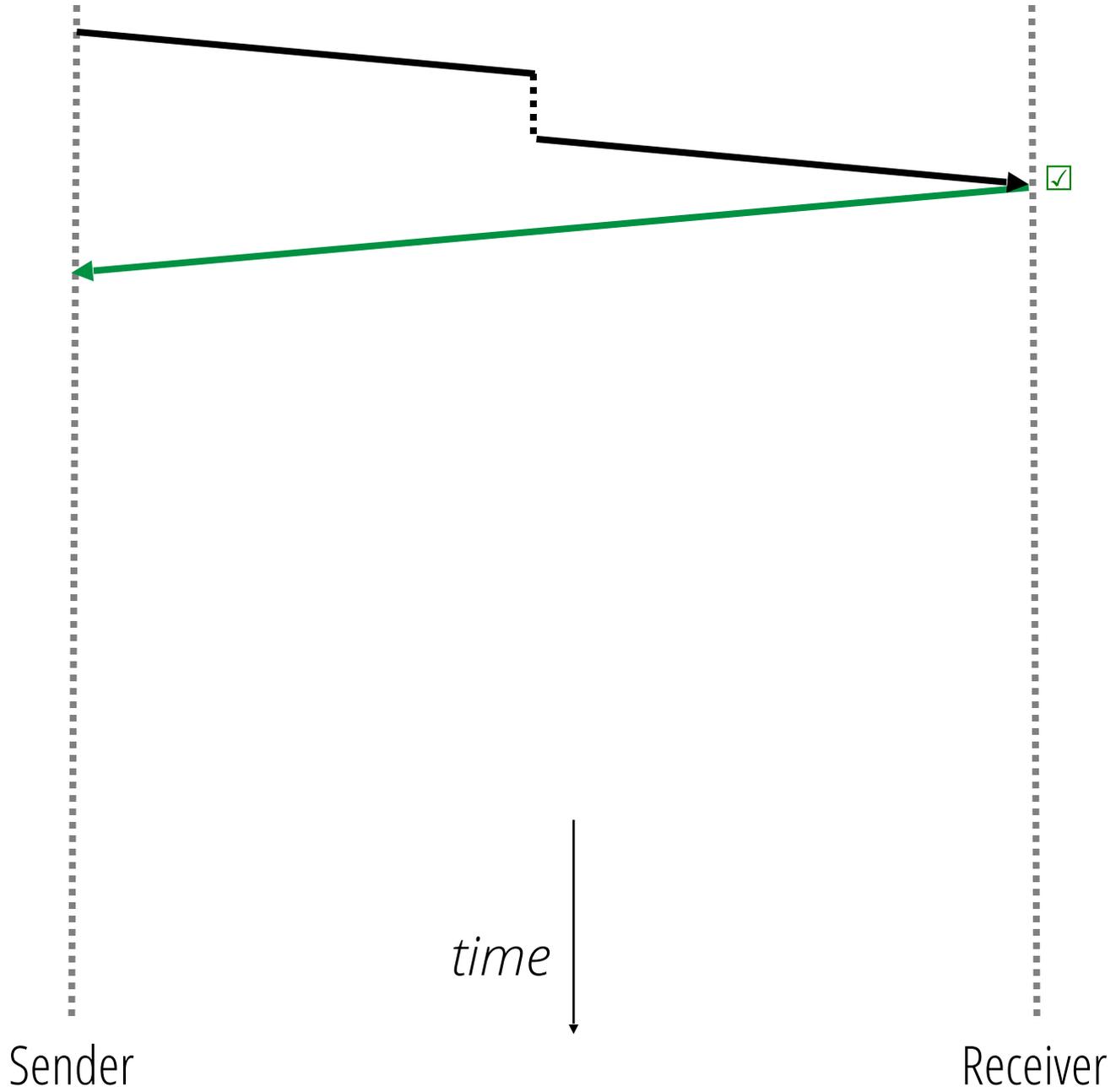


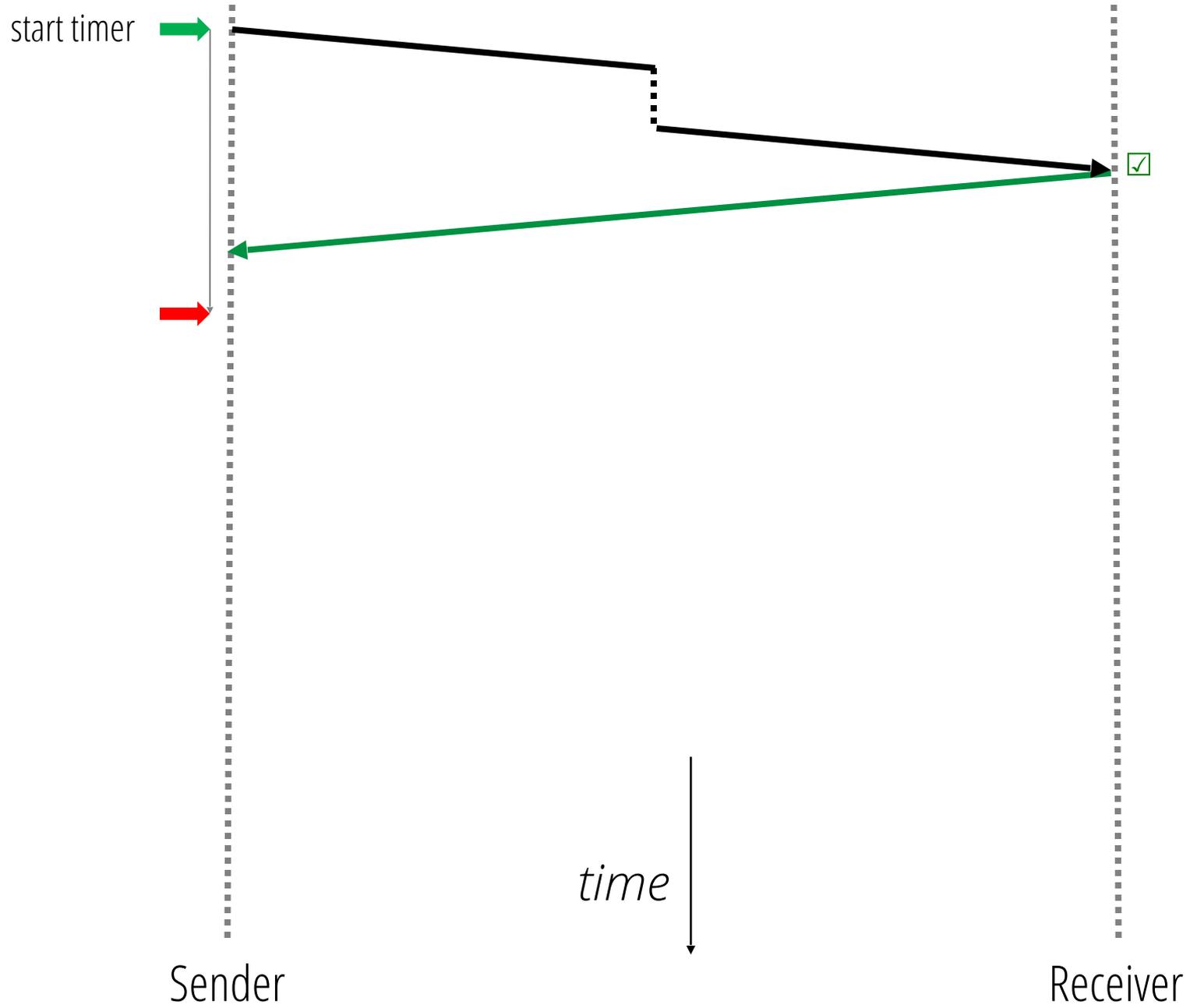


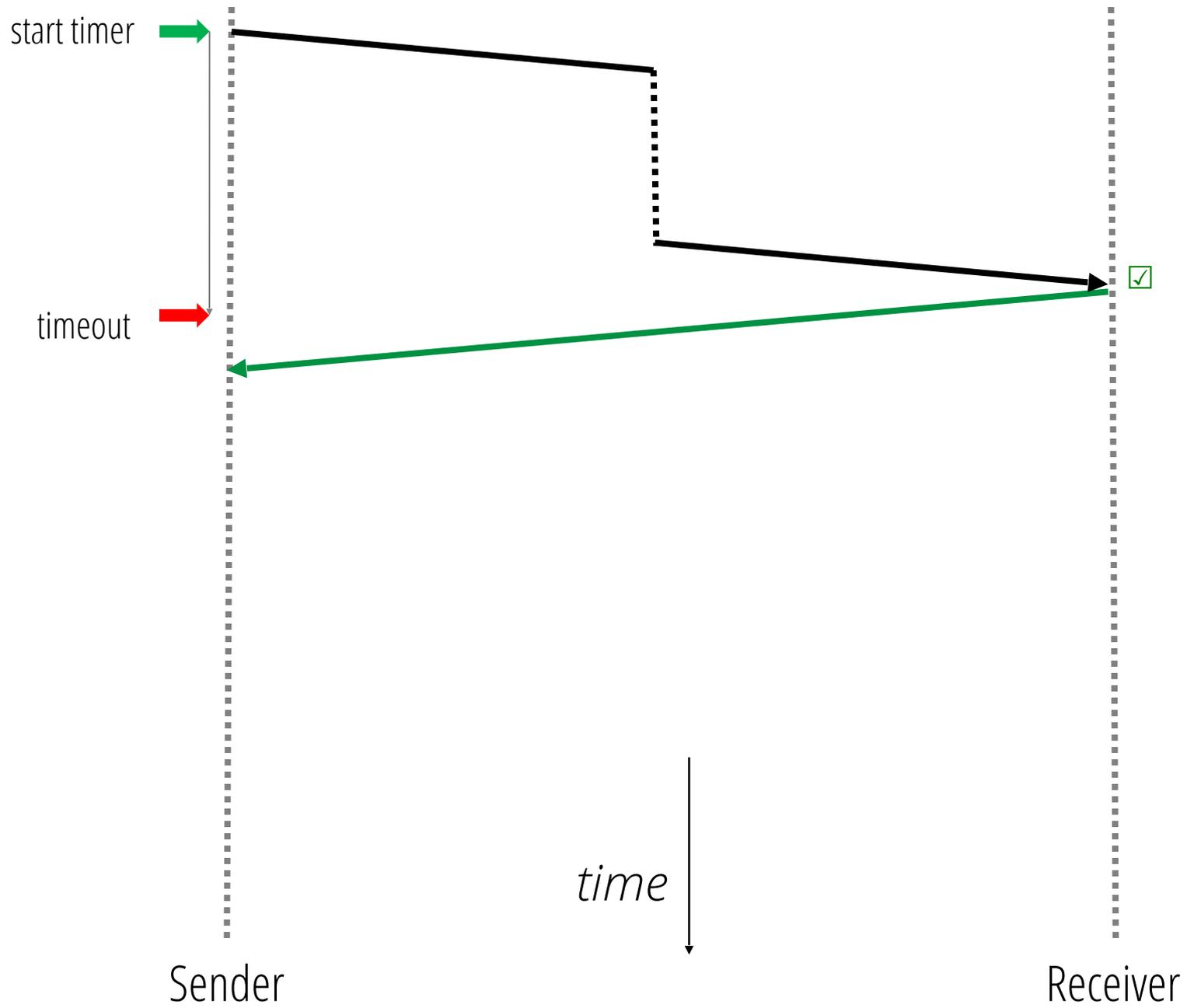
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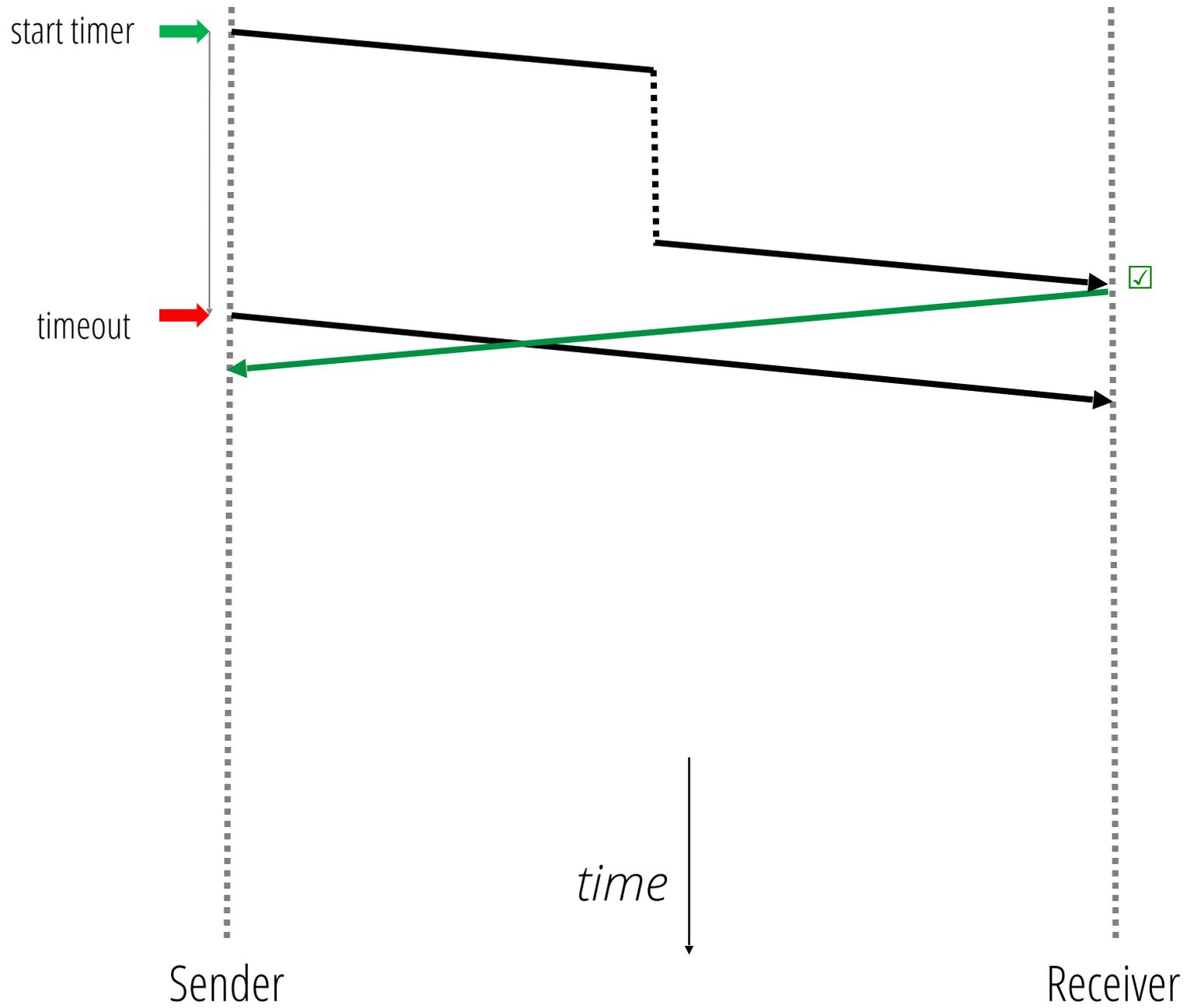


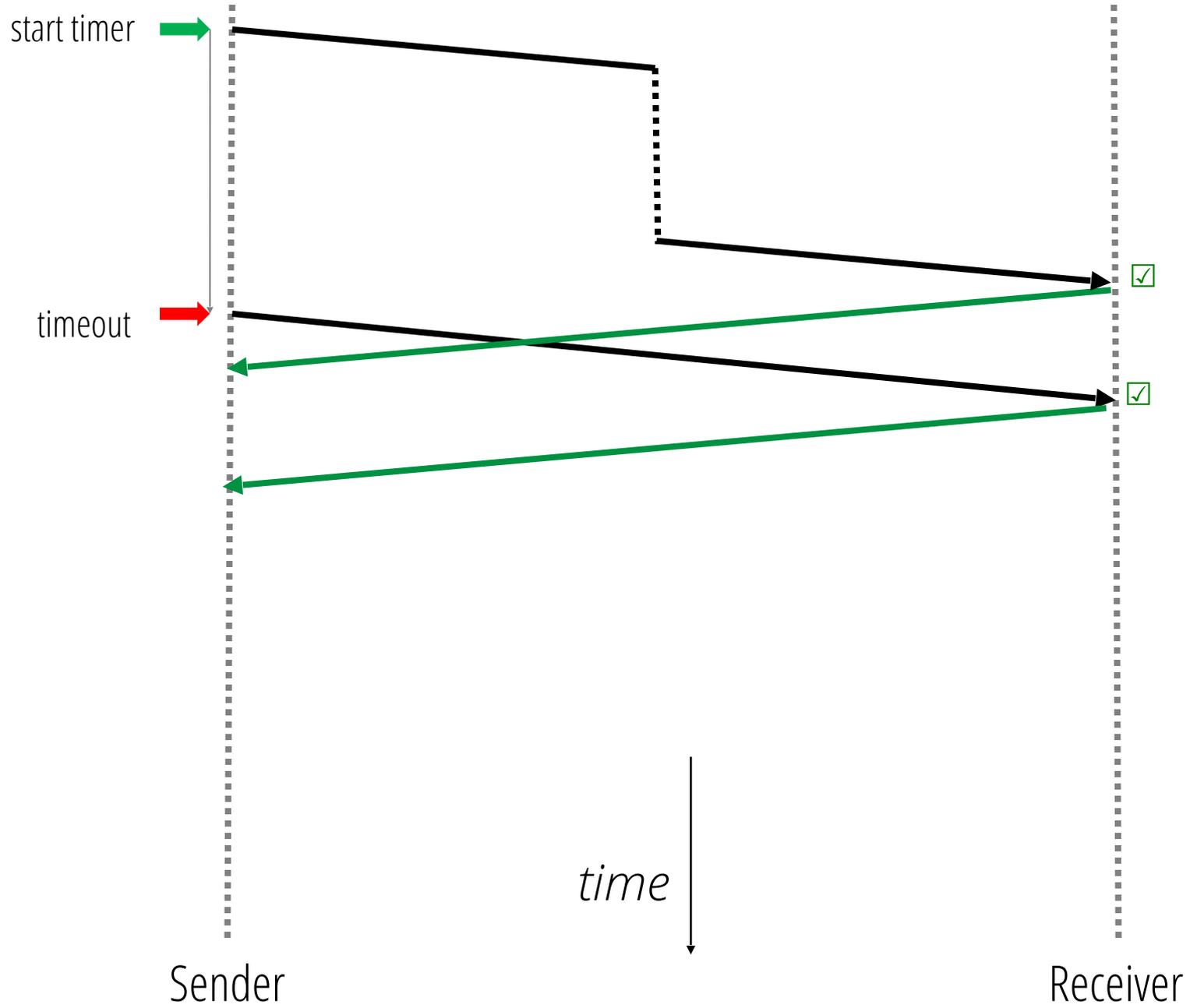


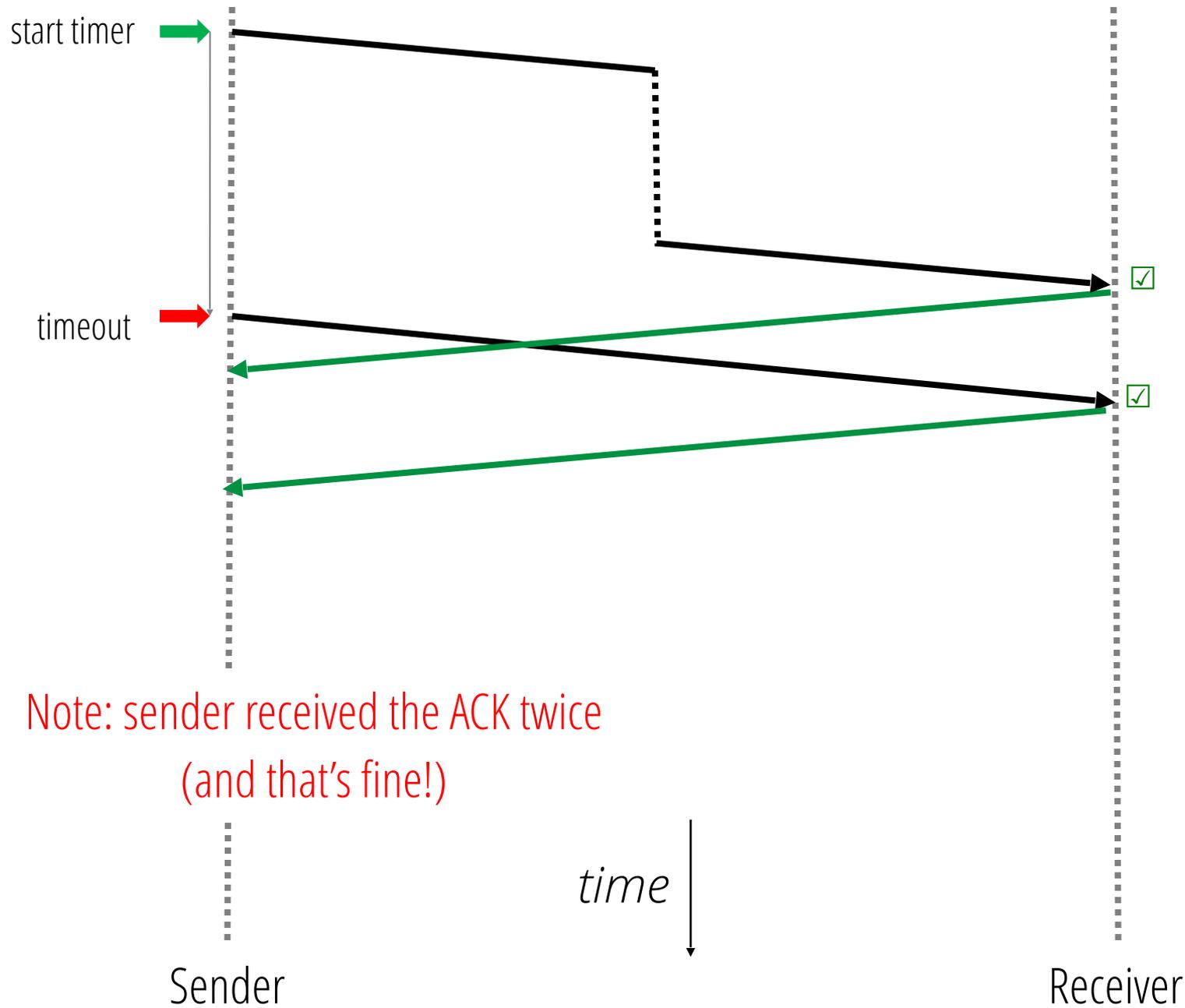


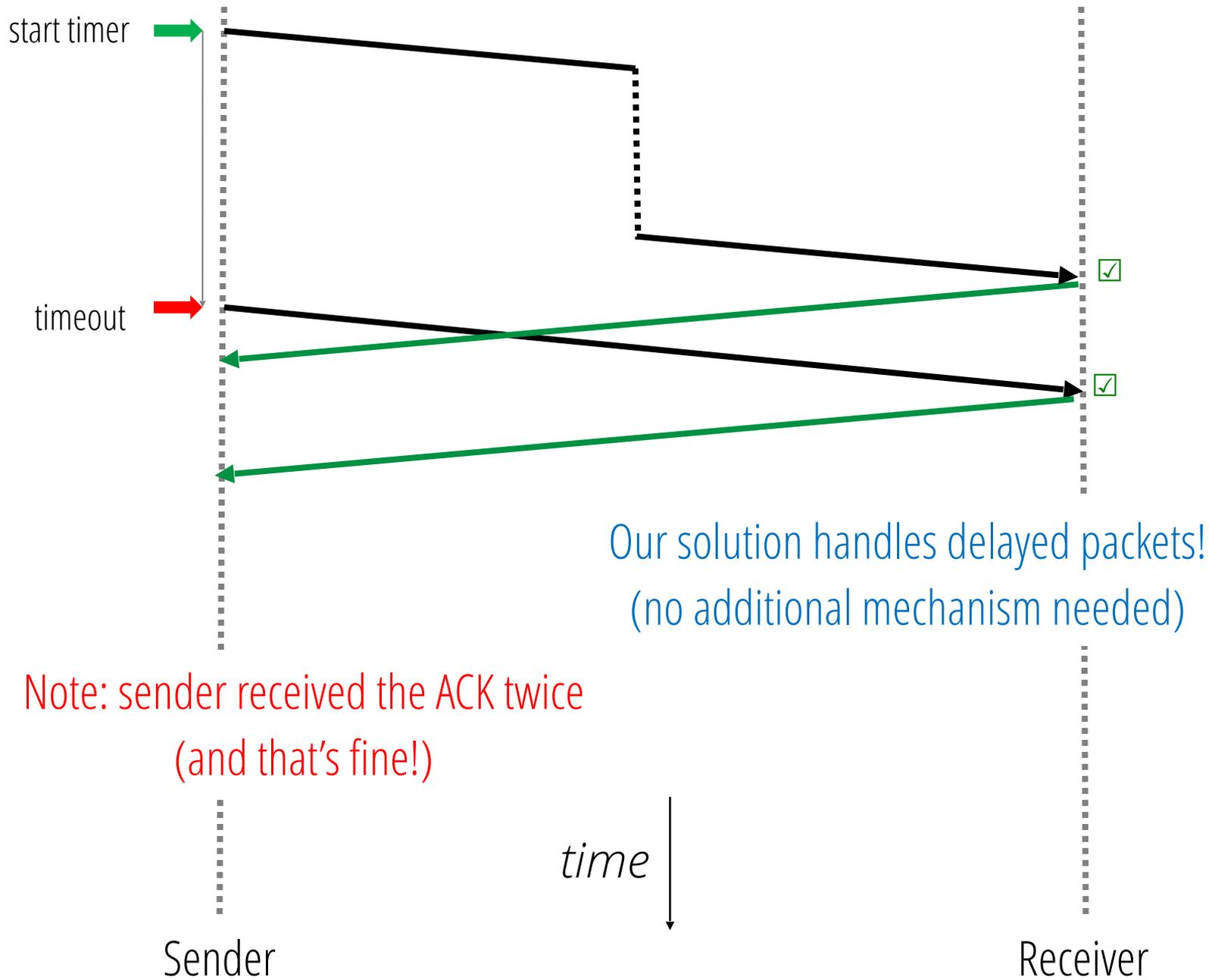












Our solution handles delayed packets!  
(no additional mechanism needed)

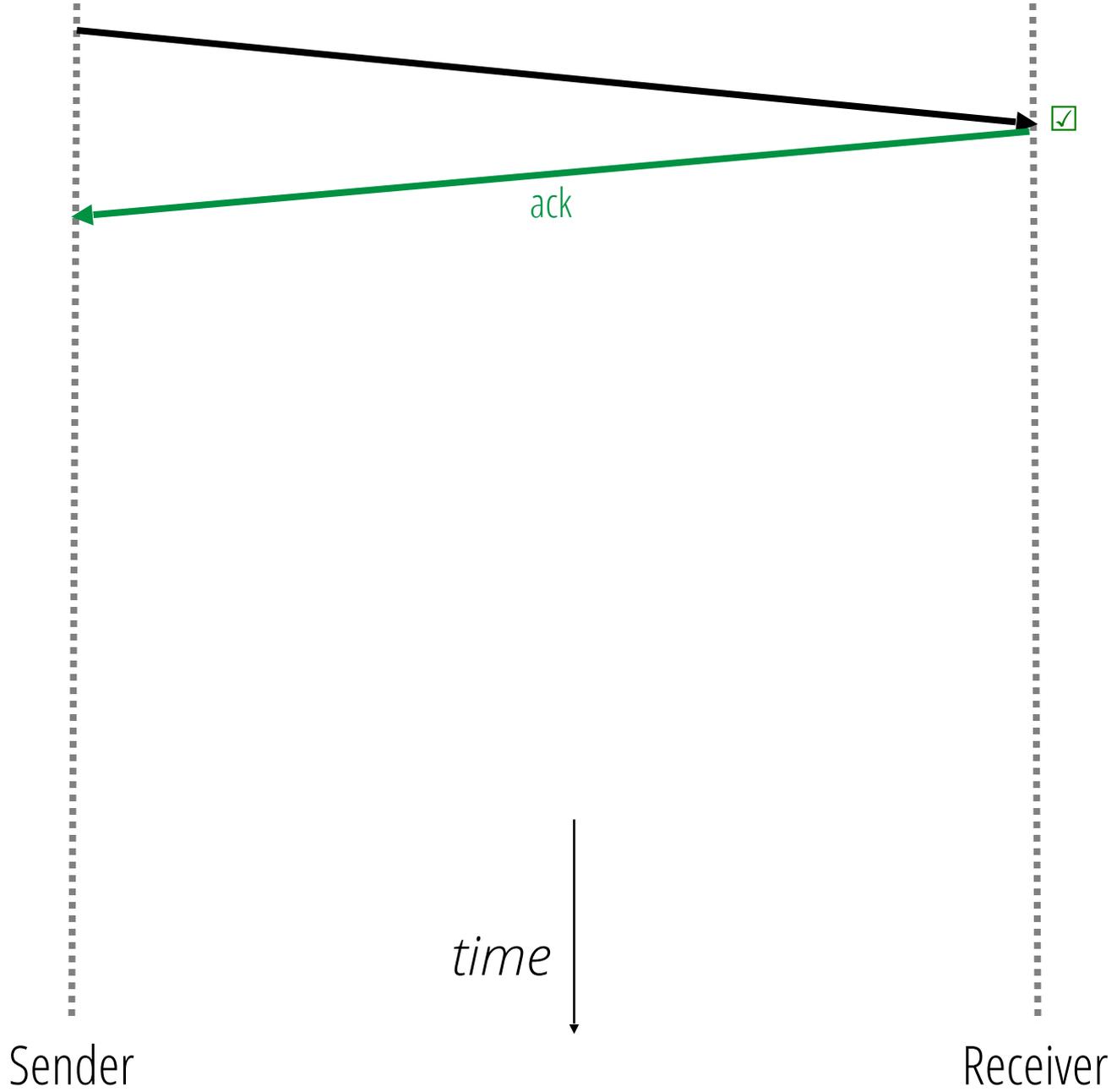
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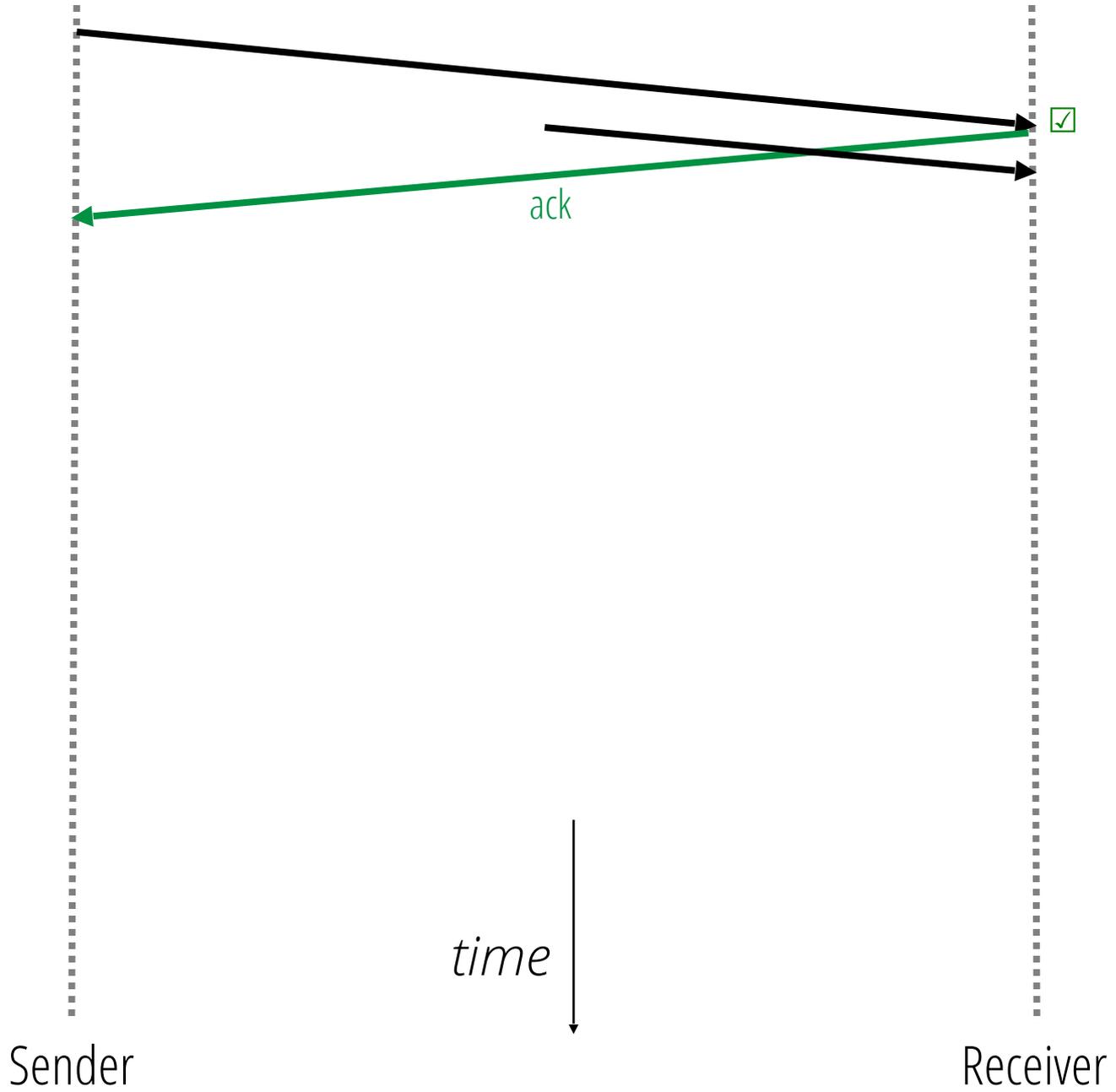
*time* ↓

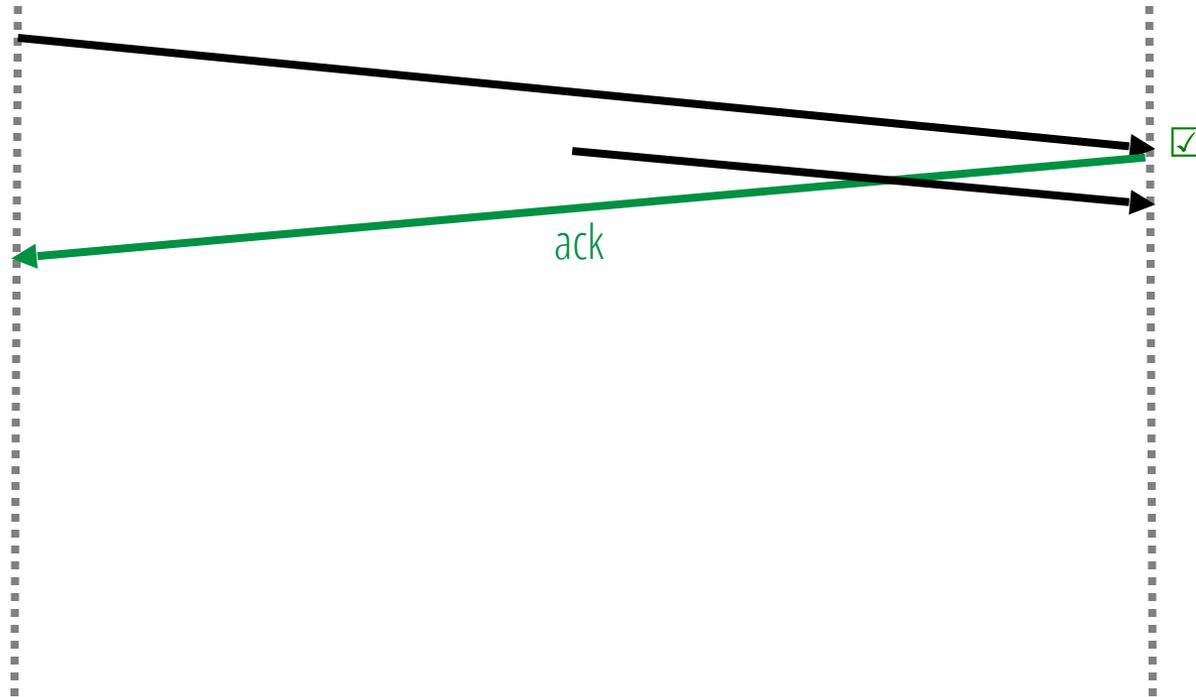
Sender

Receiver

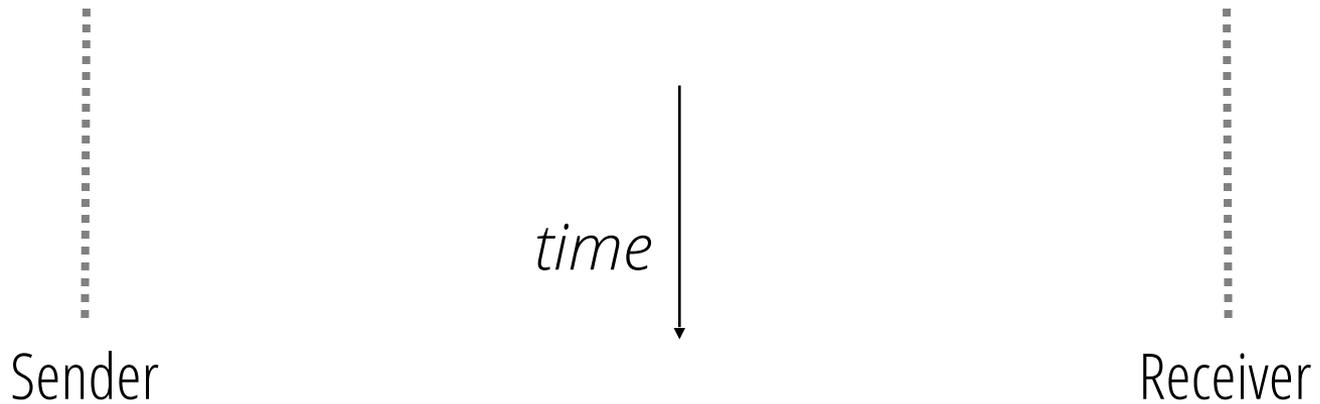
- We said
  - Packets can be lost (data or ACKs) 
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  - Packets can be delayed 
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  - Packets can be reordered

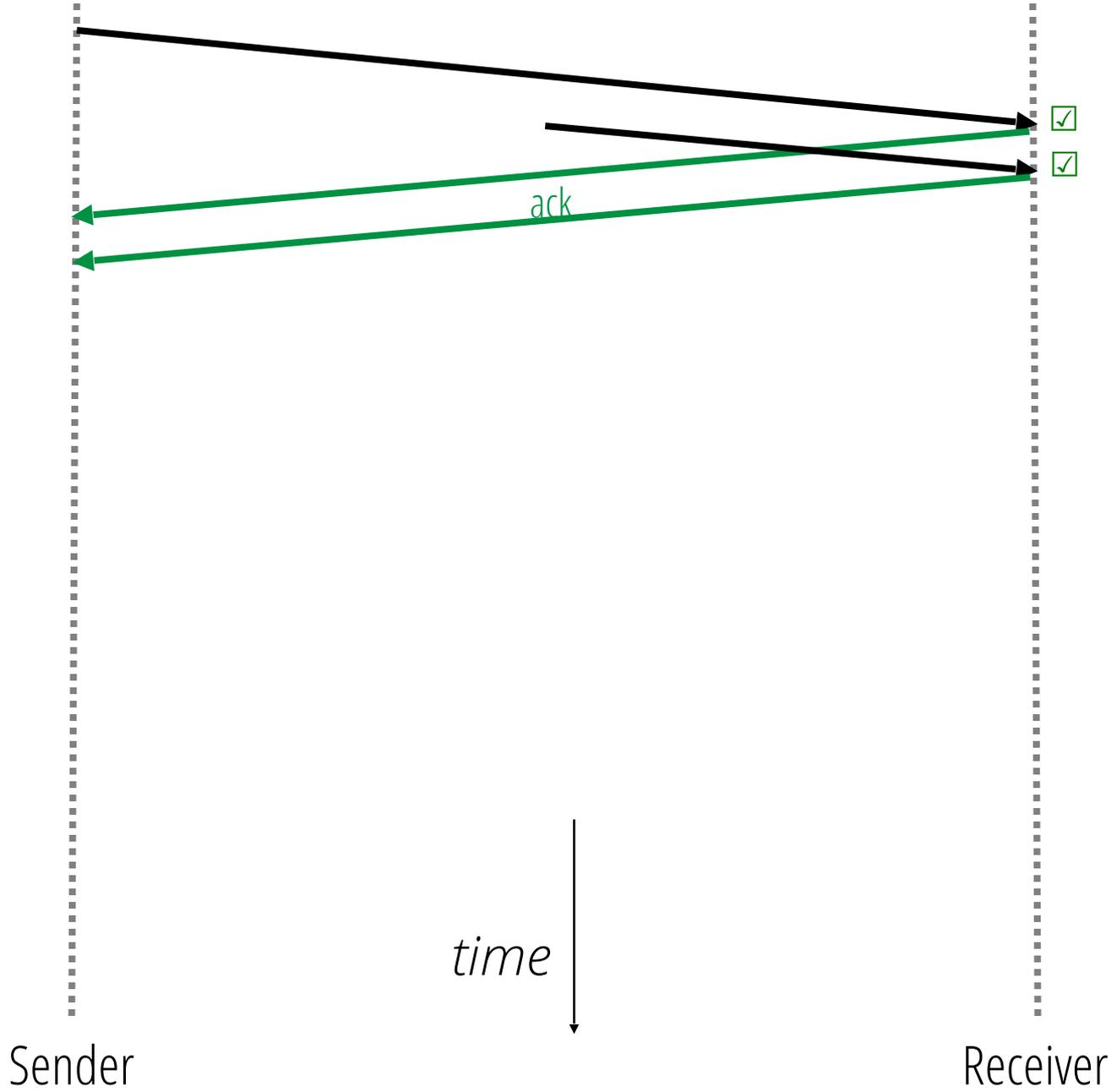


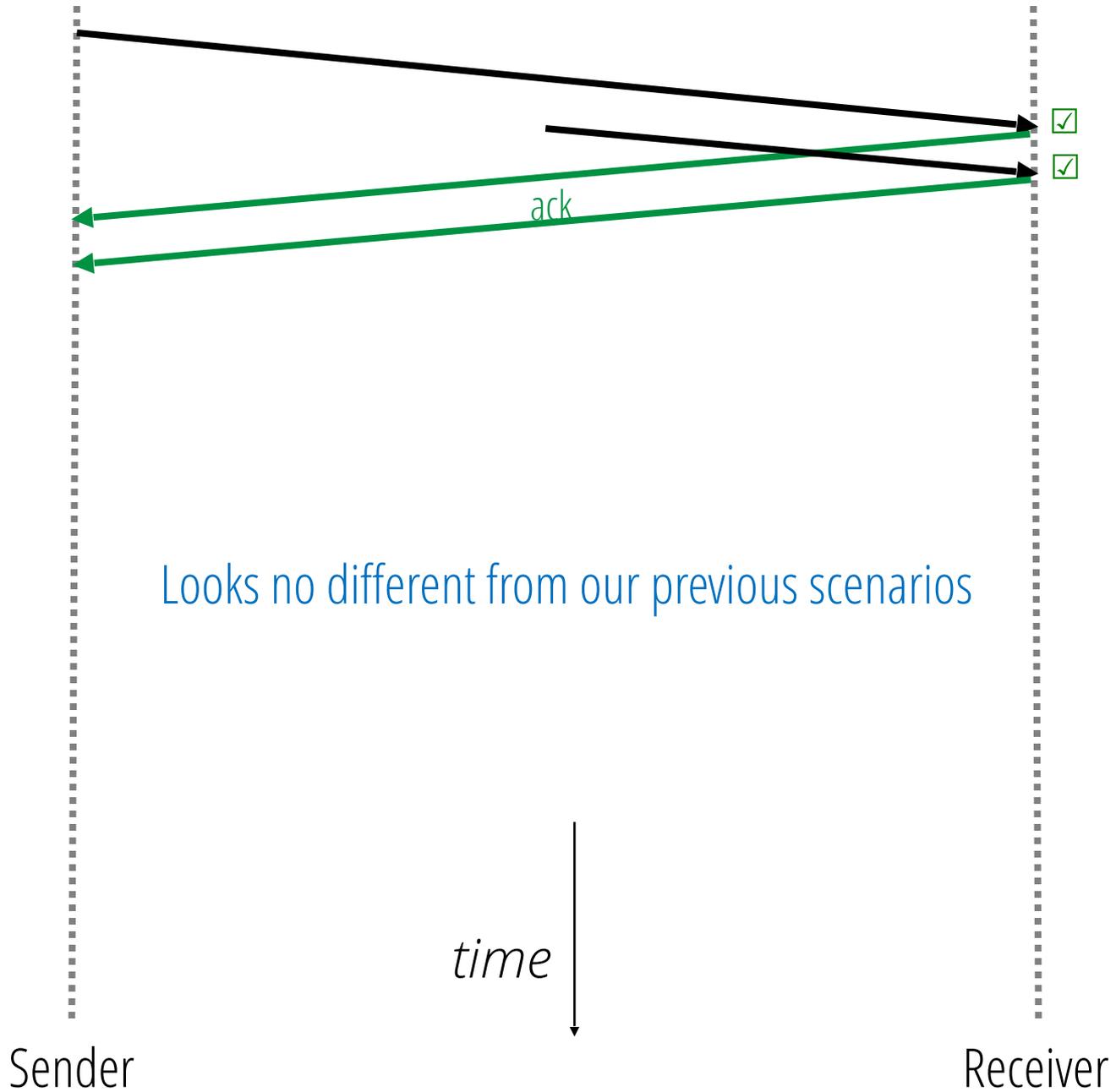


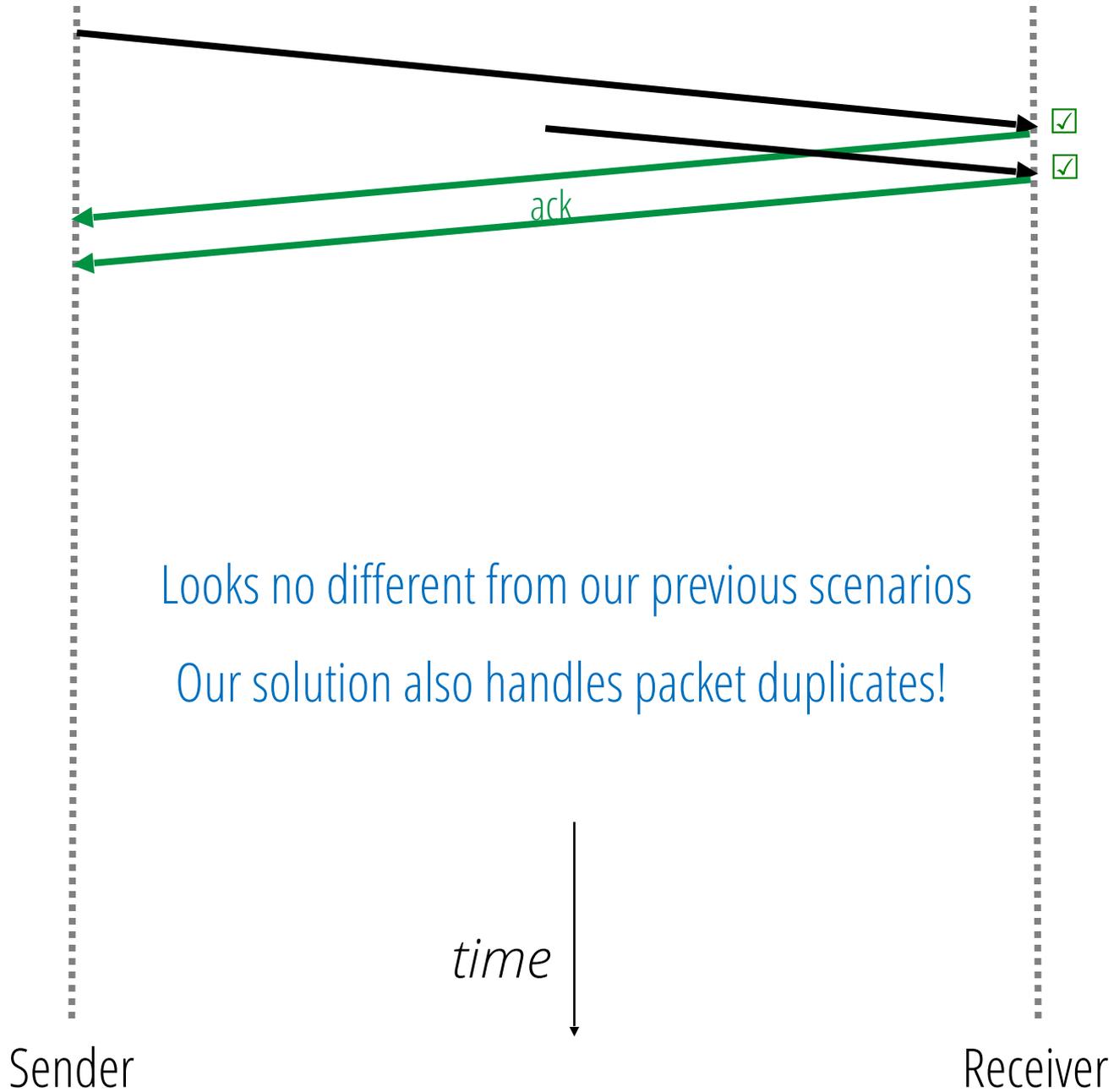


Why would the network even duplicate a packet?  
Usually, because of link-level reliability gone wrong (very rare)









Looks no different from our previous scenarios

Our solution also handles packet duplicates!

- We said
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  - Packets can be corrupted 
  - Packets can be delayed 
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  - Packets can be reordered

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  - When receiver gets packet, sends ACK

# What have we learnt?

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- Building blocks for a solution
  - **Checksums:** to detect corruption
  - **Feedback** from receiver: positive/negative (ack/nack)
  - **Retransmissions:** sender resends packets
  - **Timeouts:** when to resend a packet

# What have we learnt?

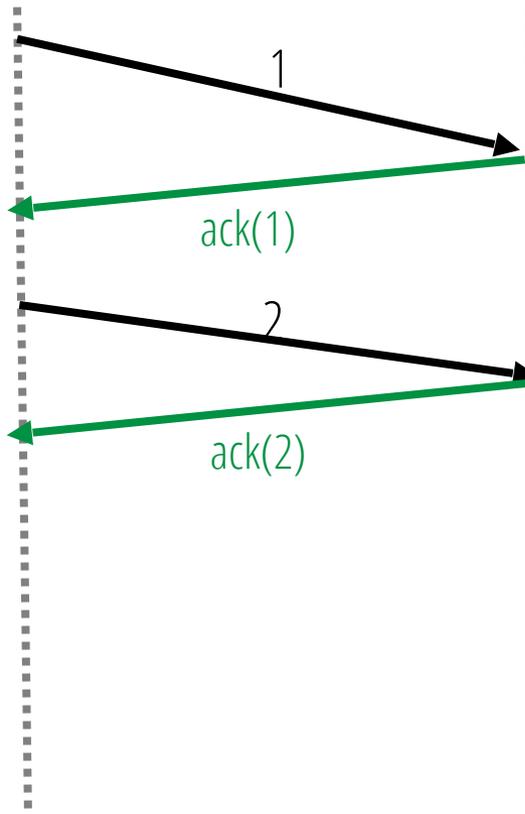
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  - **Checksums**: to detect corruption
  - **Feedback** from receiver: positive/negative (ack/nack)
  - **Retransmissions**: sender resends packets
  - **Timeouts**: when to resend a packet
- **Semantics** of a solution: “at least once”
  - Receiver can receive the same packet more than once
  - Sender can see the same ack/nack more than once

**Questions?**

**Next: reliably send multiple packets**

# Next: reliably send multiple packets

- Will need +1 design component: sequence numbers!



Data packets carry sequence numbers;  
and ACKs indicate what sequence numbers have been received

# Next: reliably send multiple packets

- Will need +1 design component: sequence numbers!
- We now have all the *necessary* building blocks!

# The “Stop and Wait” protocol

- Use our single-packet solution repeatedly

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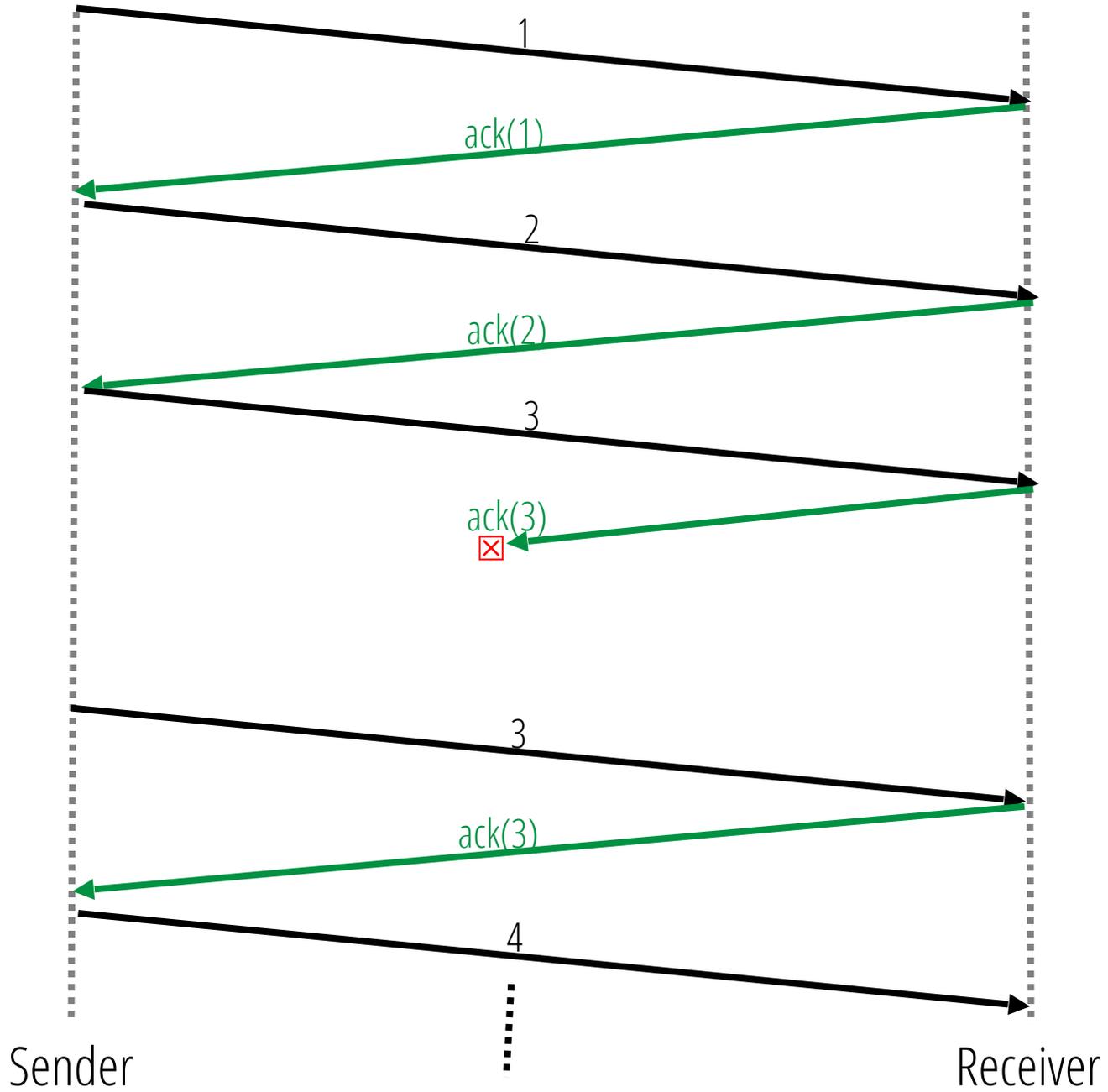
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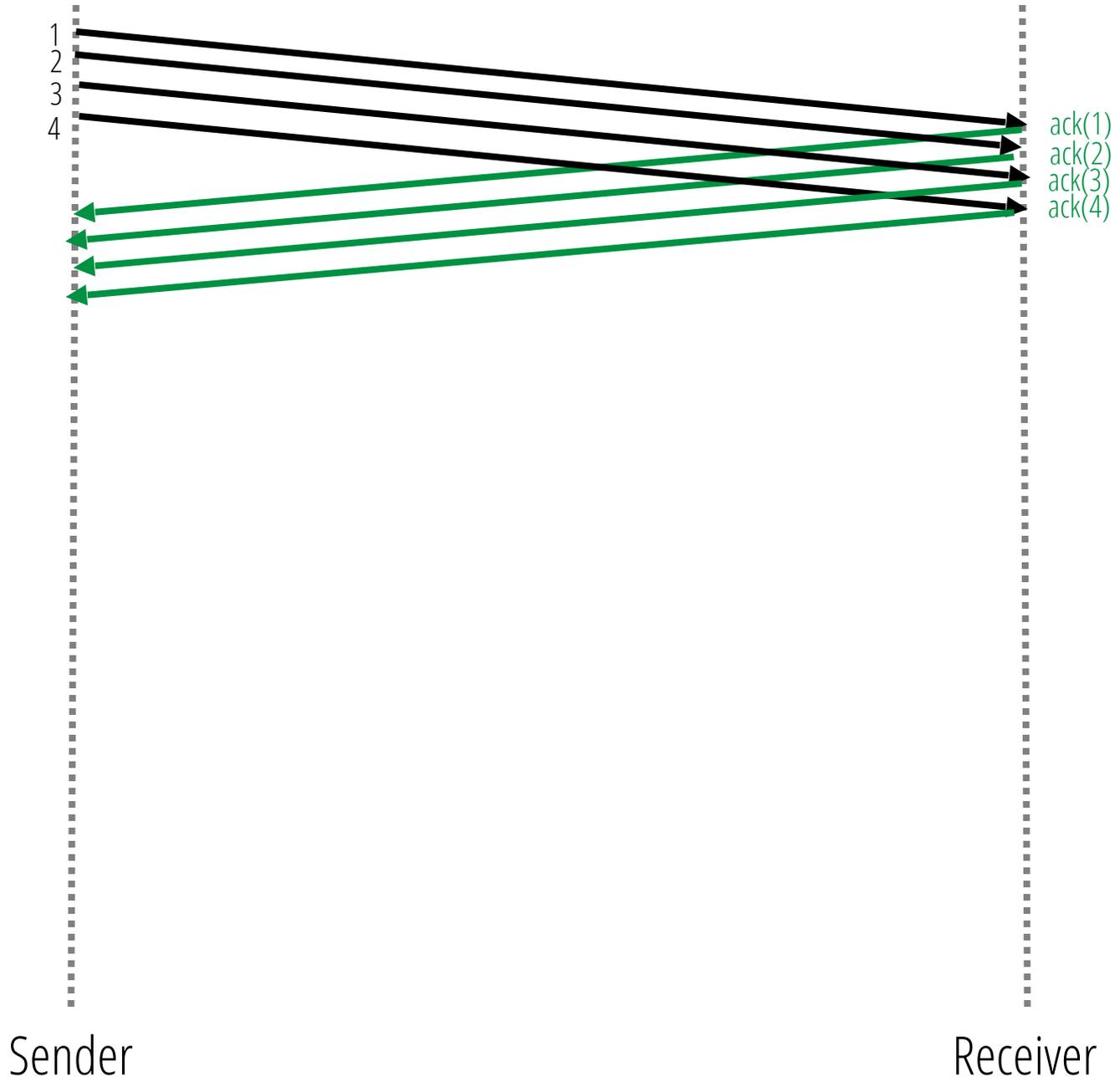
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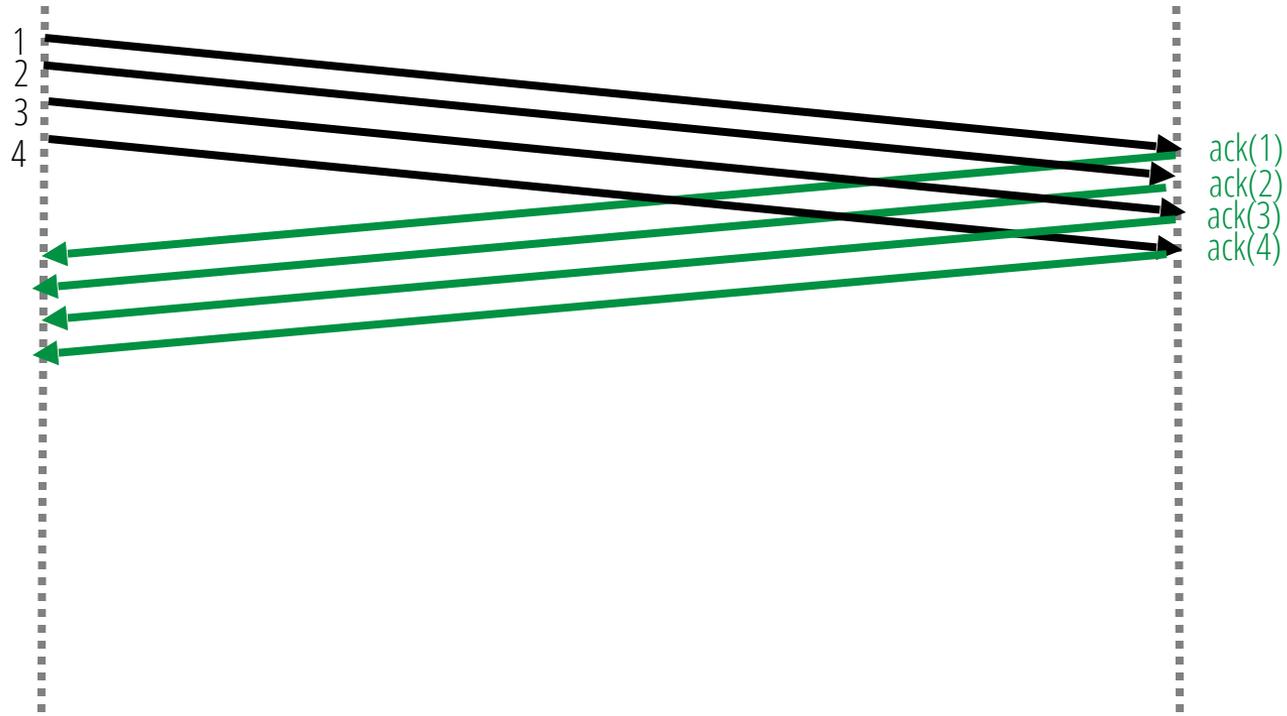
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# The “Stop and Wait” protocol

- Use our single-packet solution repeatedly
  - Wait for packet  $i$  to be acknowledged before sending  $i+1$
- We have a correct reliable delivery protocol!
- Probably the world’s most inefficient one
  - Max throughput  $\sim$  one packet per RTT







Idea: have multiple packets "in flight"

(send additional packets while waiting for ACKs to come in)

Sender

Receiver

# Window-based Algorithms

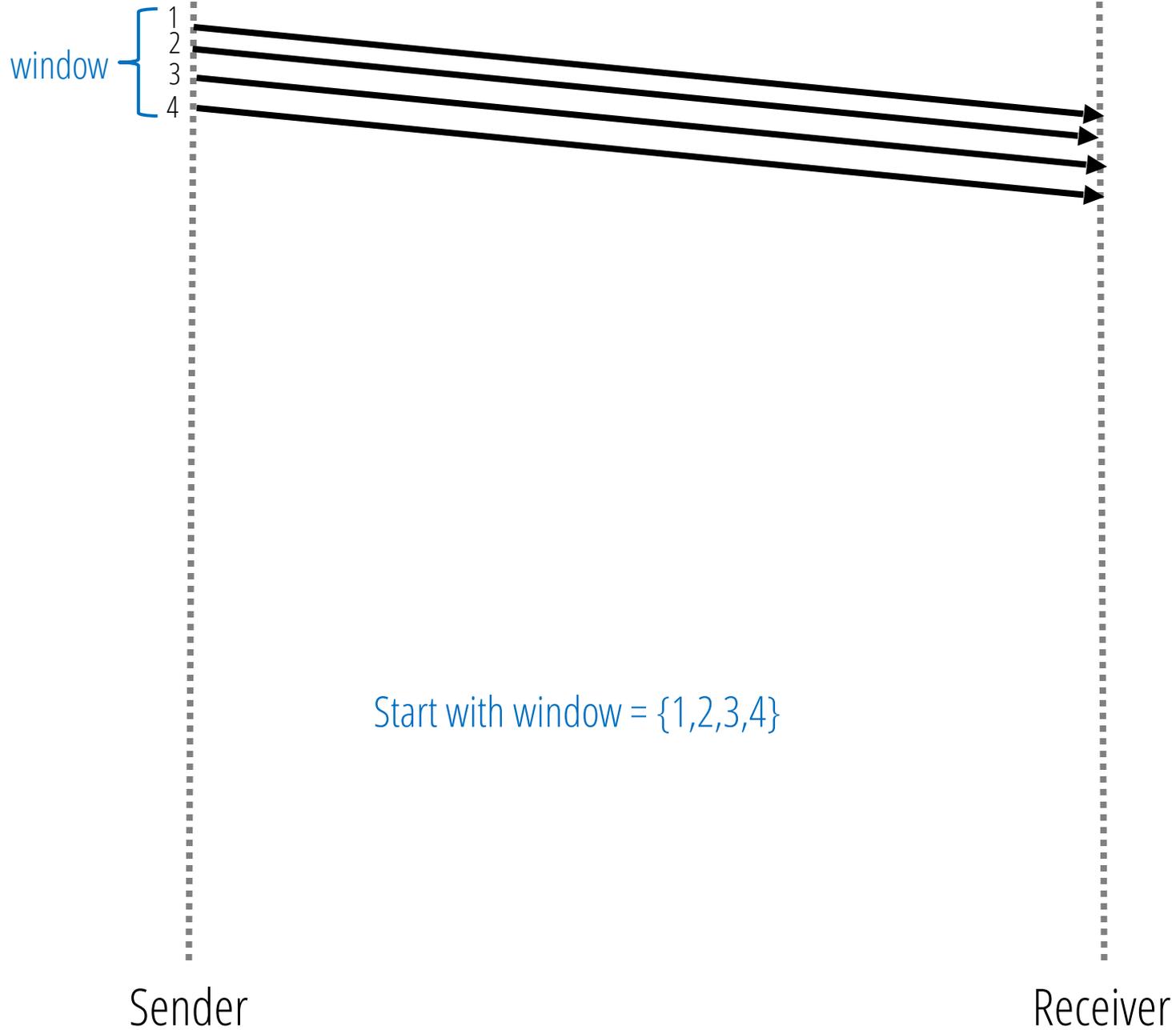
# Window-based Algorithms

- Basic idea: allow **W** packets “in flight” at any time
  - W is the size of the **window**

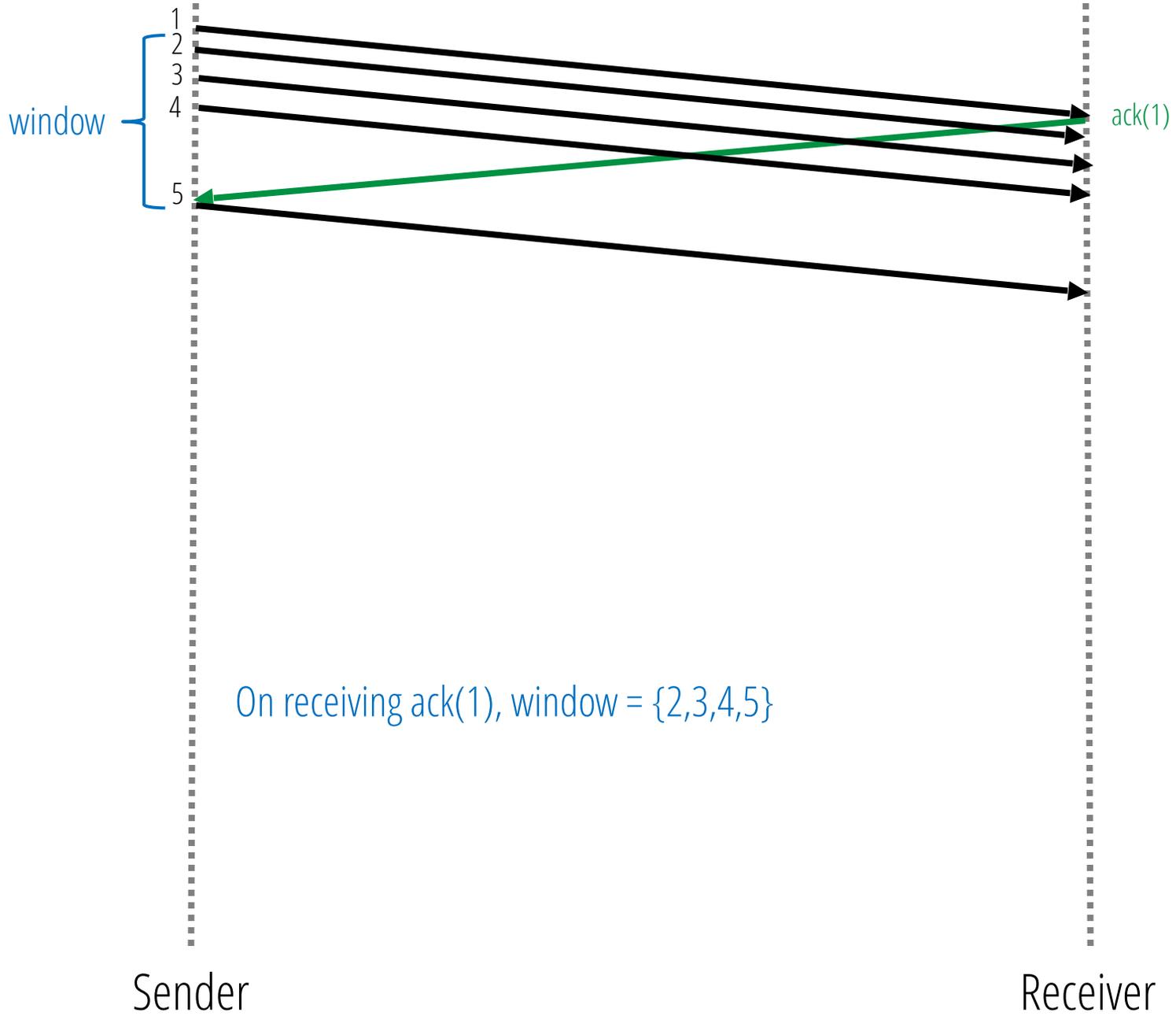
# Window-based Algorithms

- Basic idea: allow **W** packets “in flight” at any time
  - W is the size of the **window**
- Hence, a simple algorithm (at sender)
  - Send W packets
  - When one gets ACK'ed, send the next packet in line

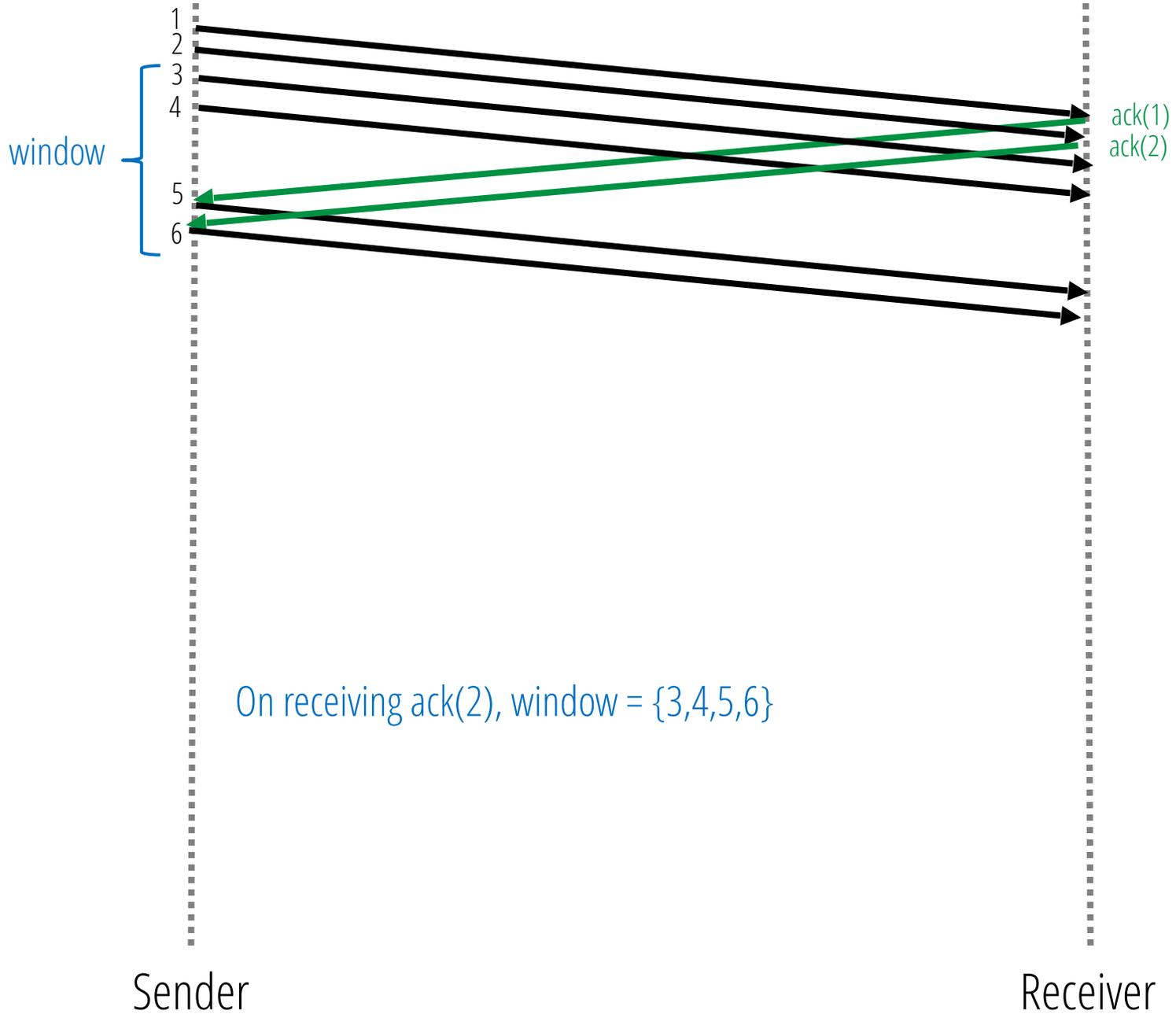
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- Will need +1 design component: sequence numbers!
- We now have all the *necessary* building blocks

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- Will need +1 design component: sequence numbers!
- We now have all the *necessary* building blocks
- Plus one more, for **efficiency (performance)**
  - **Window**

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  - How many in-flight packets do we want?

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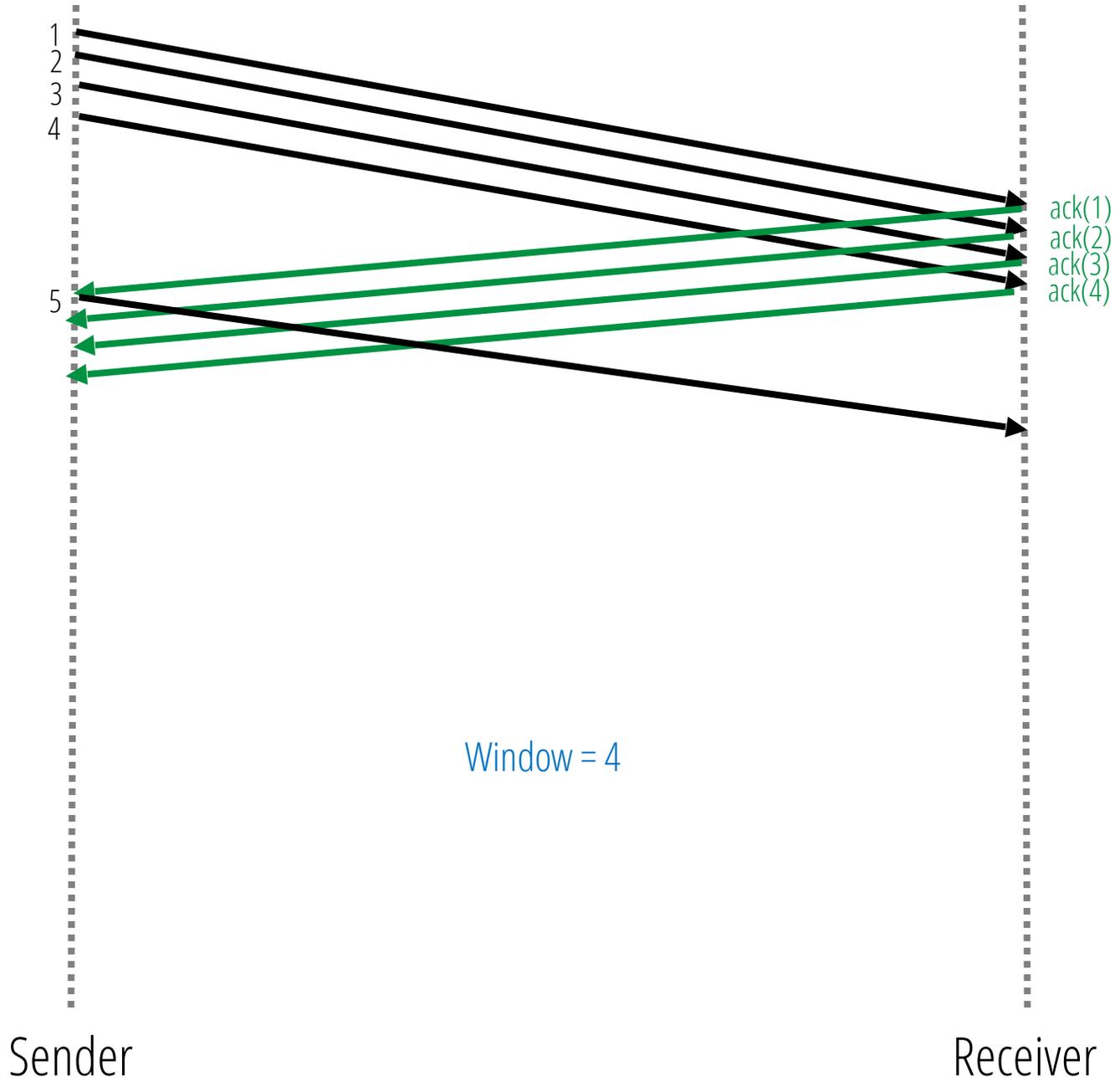
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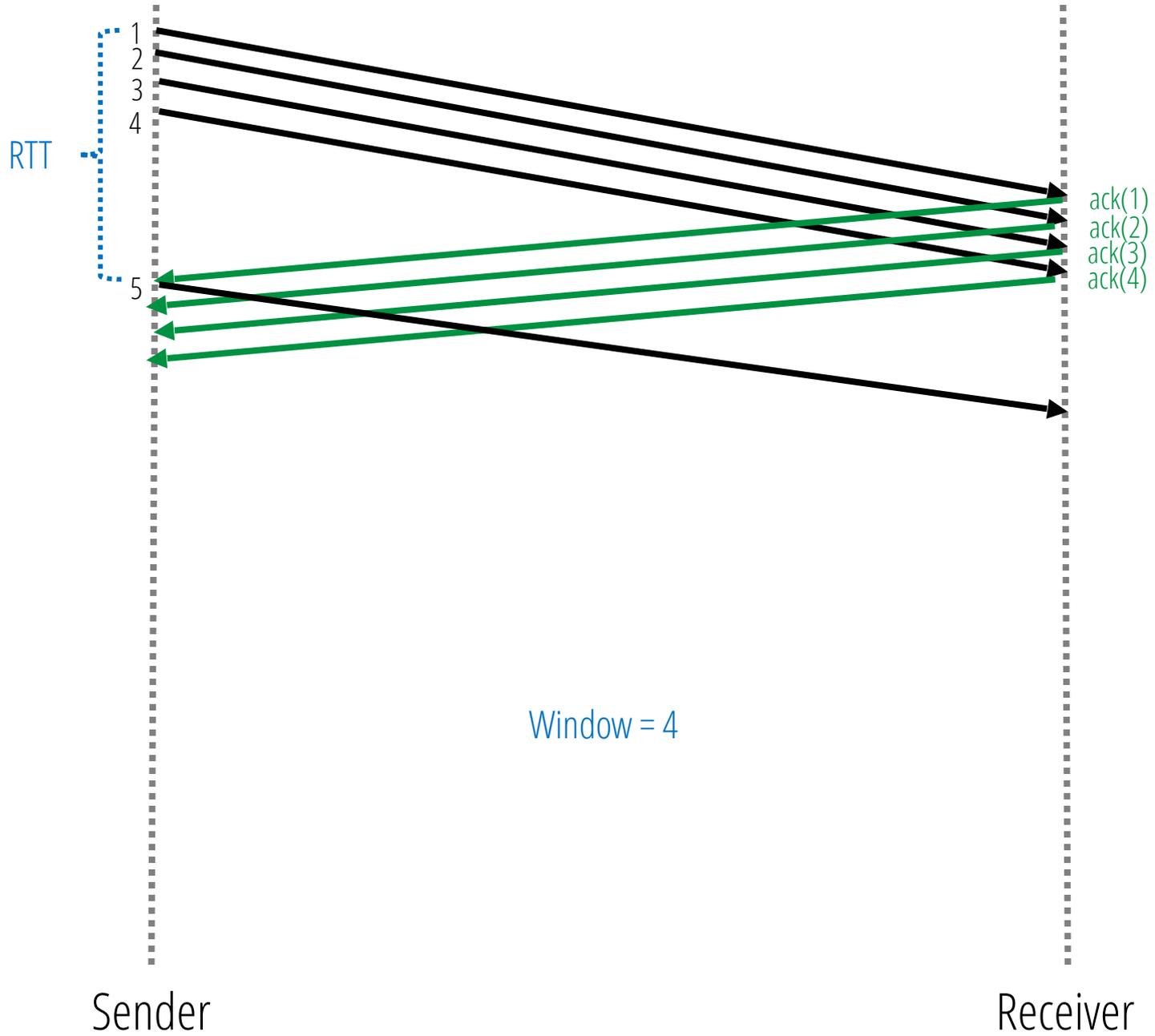
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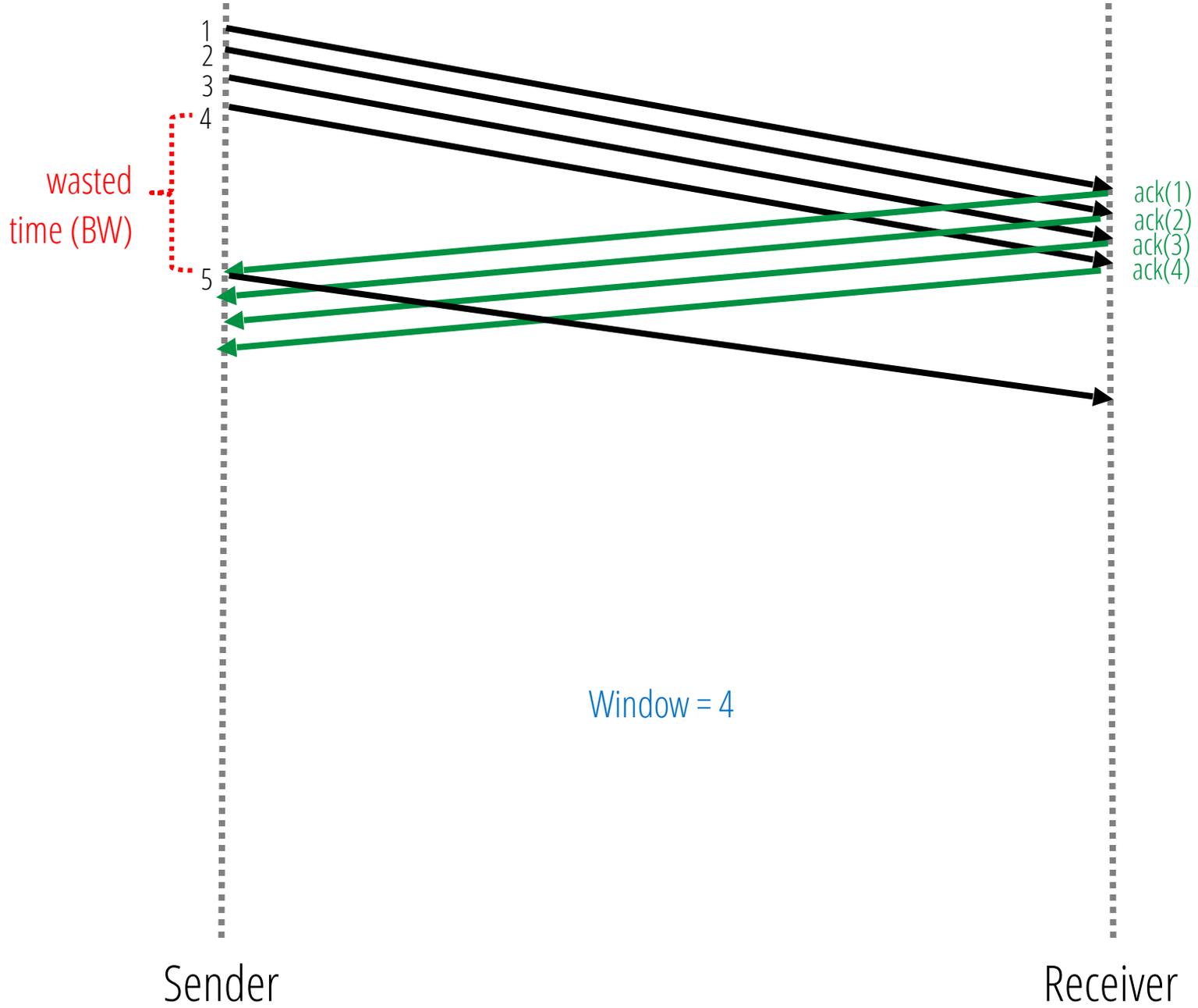
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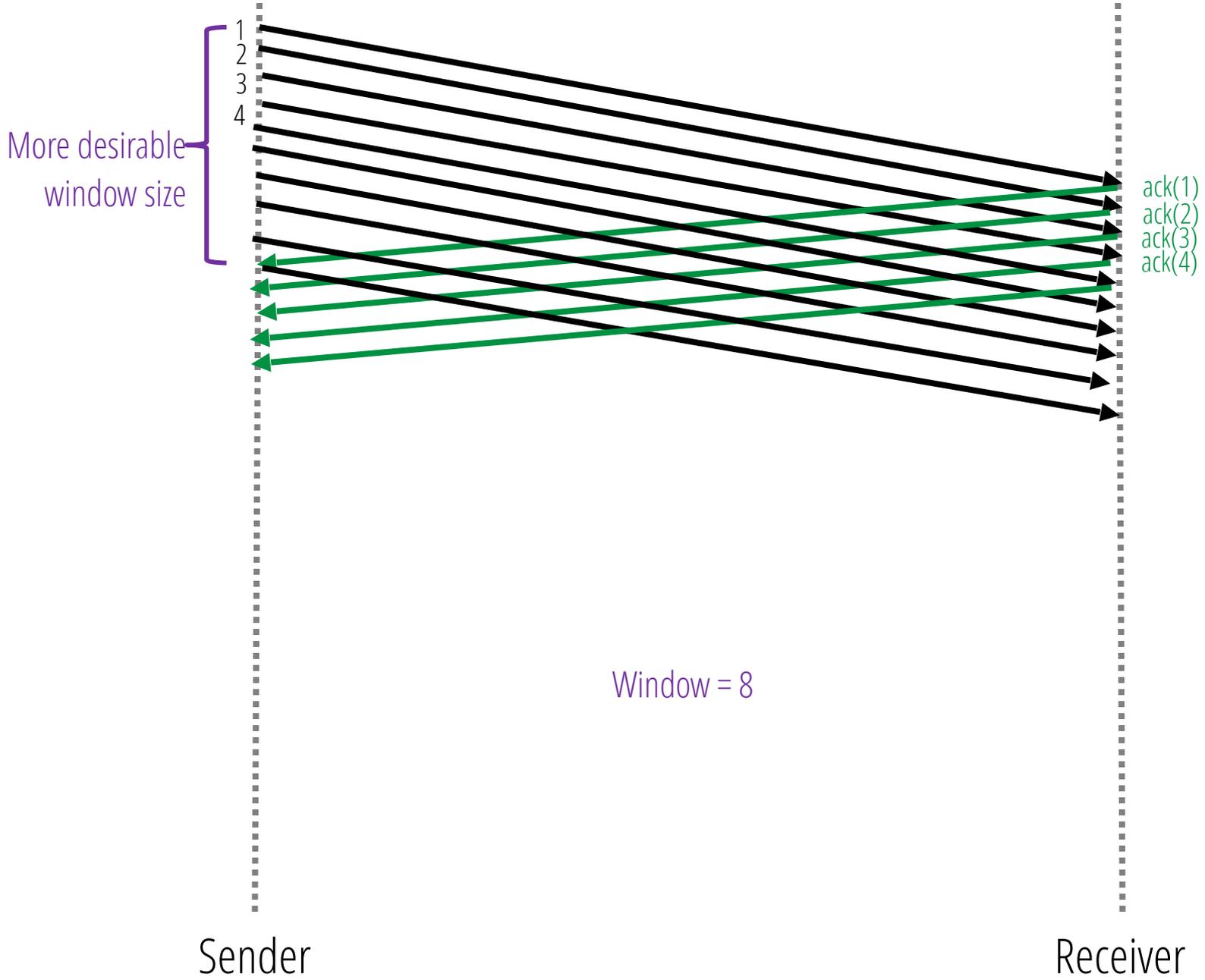
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  - **W should allow sender to transmit for entire RTT**
    - From sending first packet until receive first ACK









# What Does This Mean?

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- Let  $B$  be the minimum (“bottleneck”) link bandwidth along the path
  - Obviously shouldn’t send faster than that
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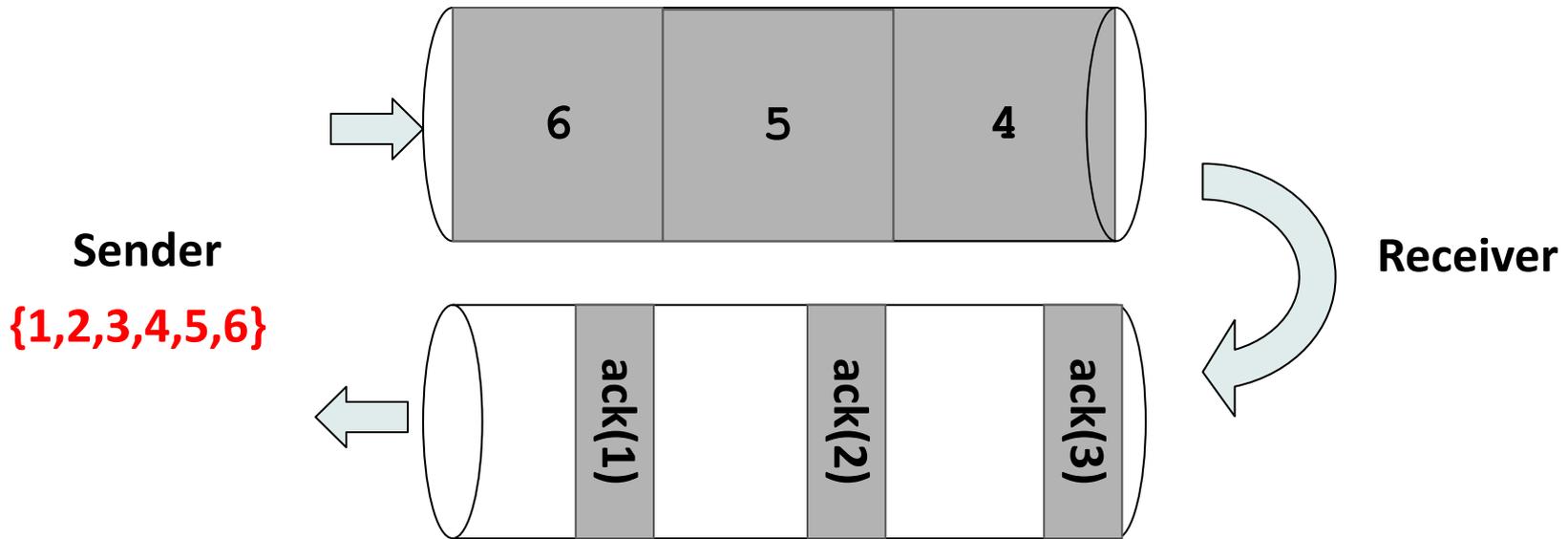
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- **Hence, condition:  $W \times \text{Packet-Size} \sim \text{RTT} \times B$** 
  - E.g., for a path with  $\text{RTT}=1$  second and bottleneck  $B = 8$  Mbits/second, if packet size = 100 Bytes, we want a window size  $W = 10,000$  packets

# Setting $W$ to be one RTT of packets

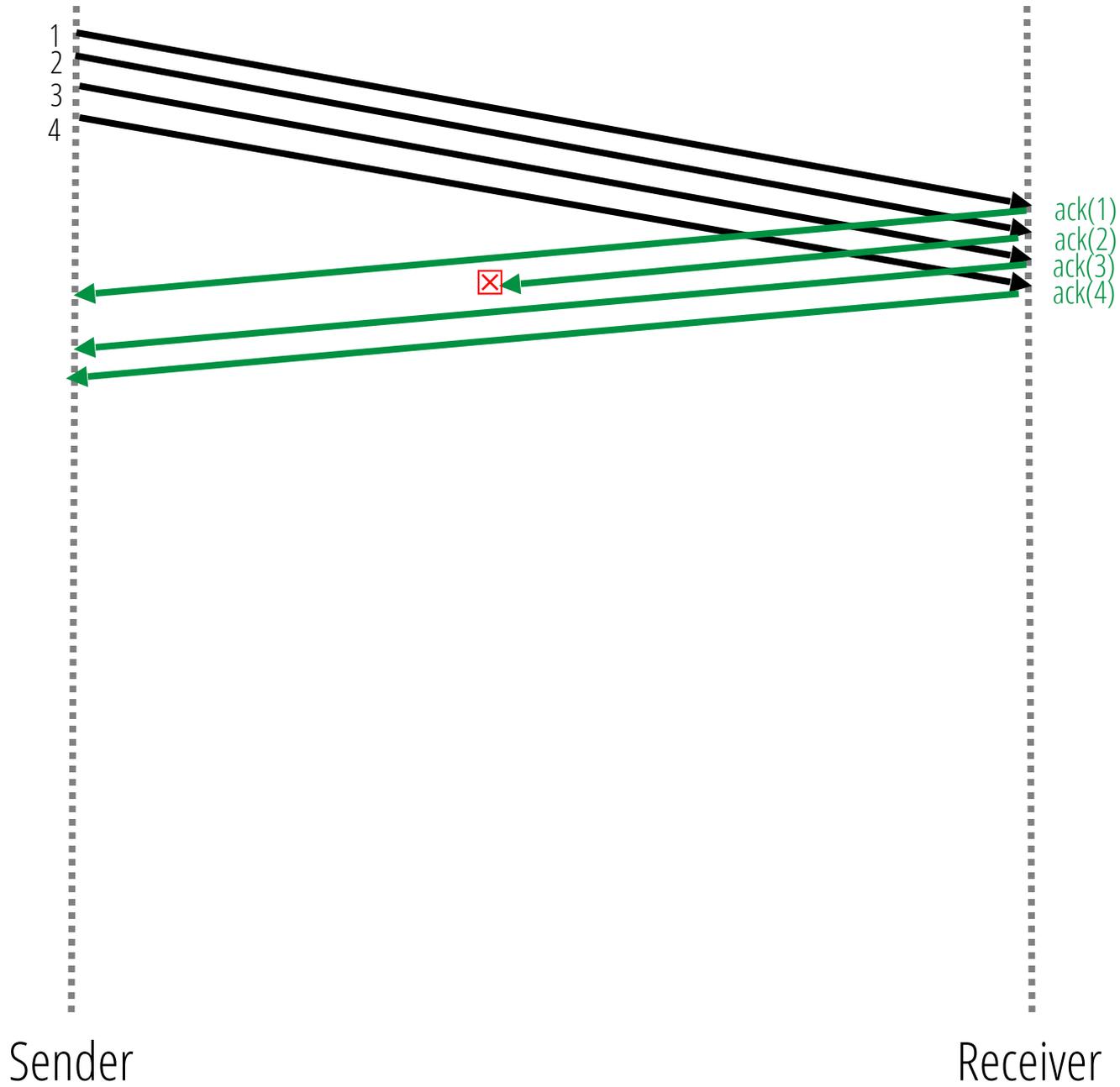


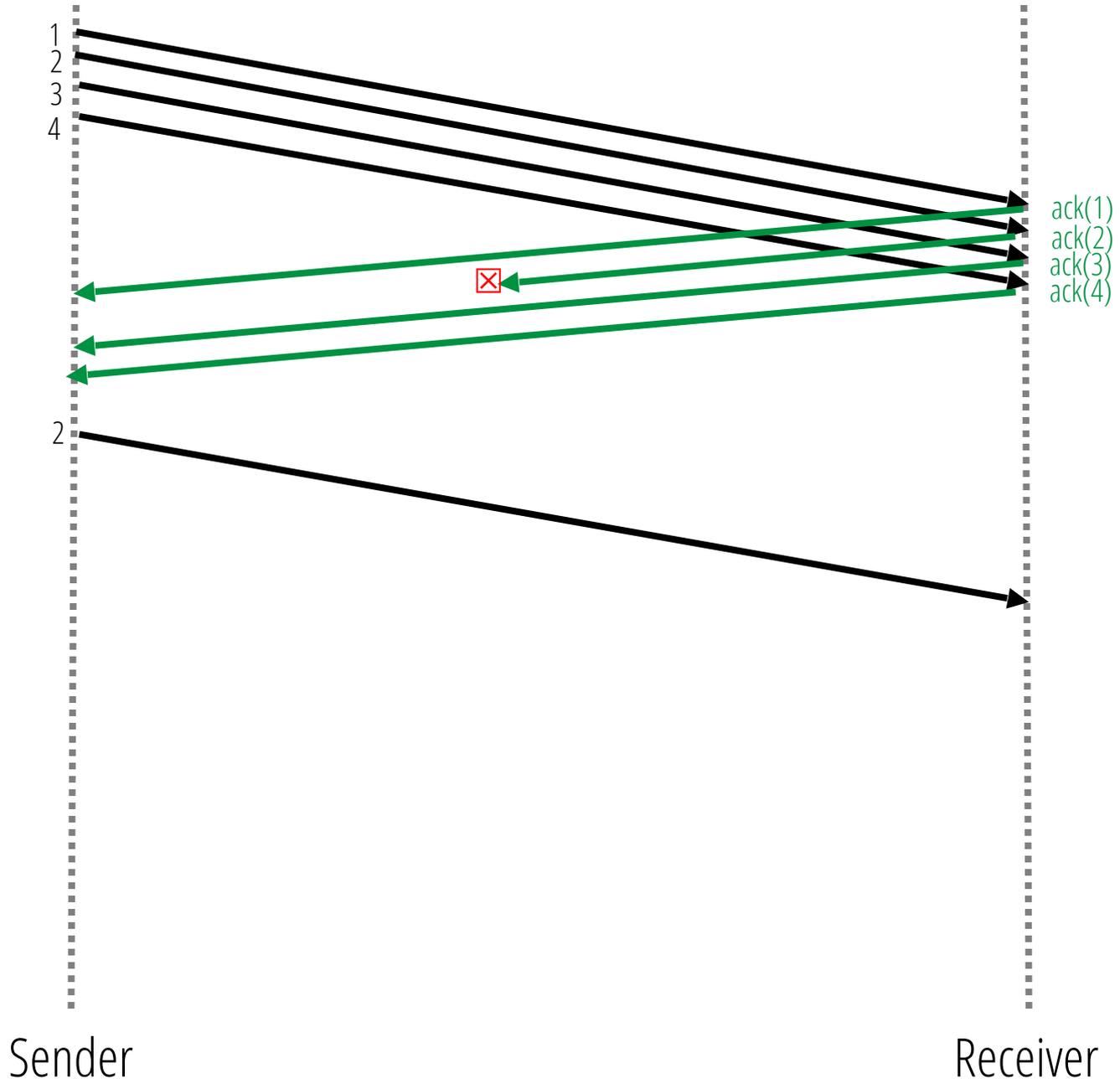
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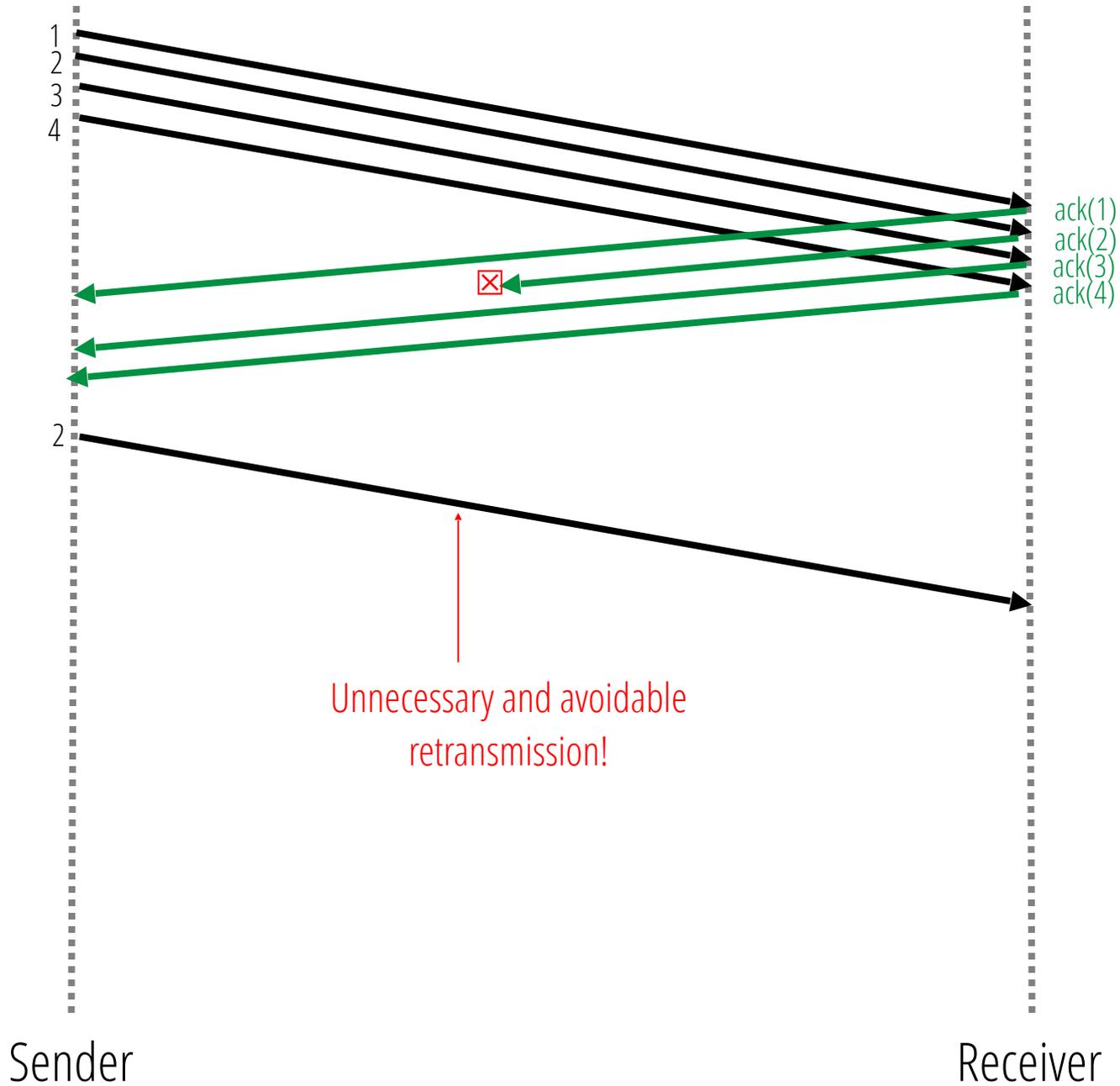
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# ACKs: design options

- **Individual packet ACKs (our design so far)**
  - On receiving packet  $i$ , send  $\text{ack}(i)$

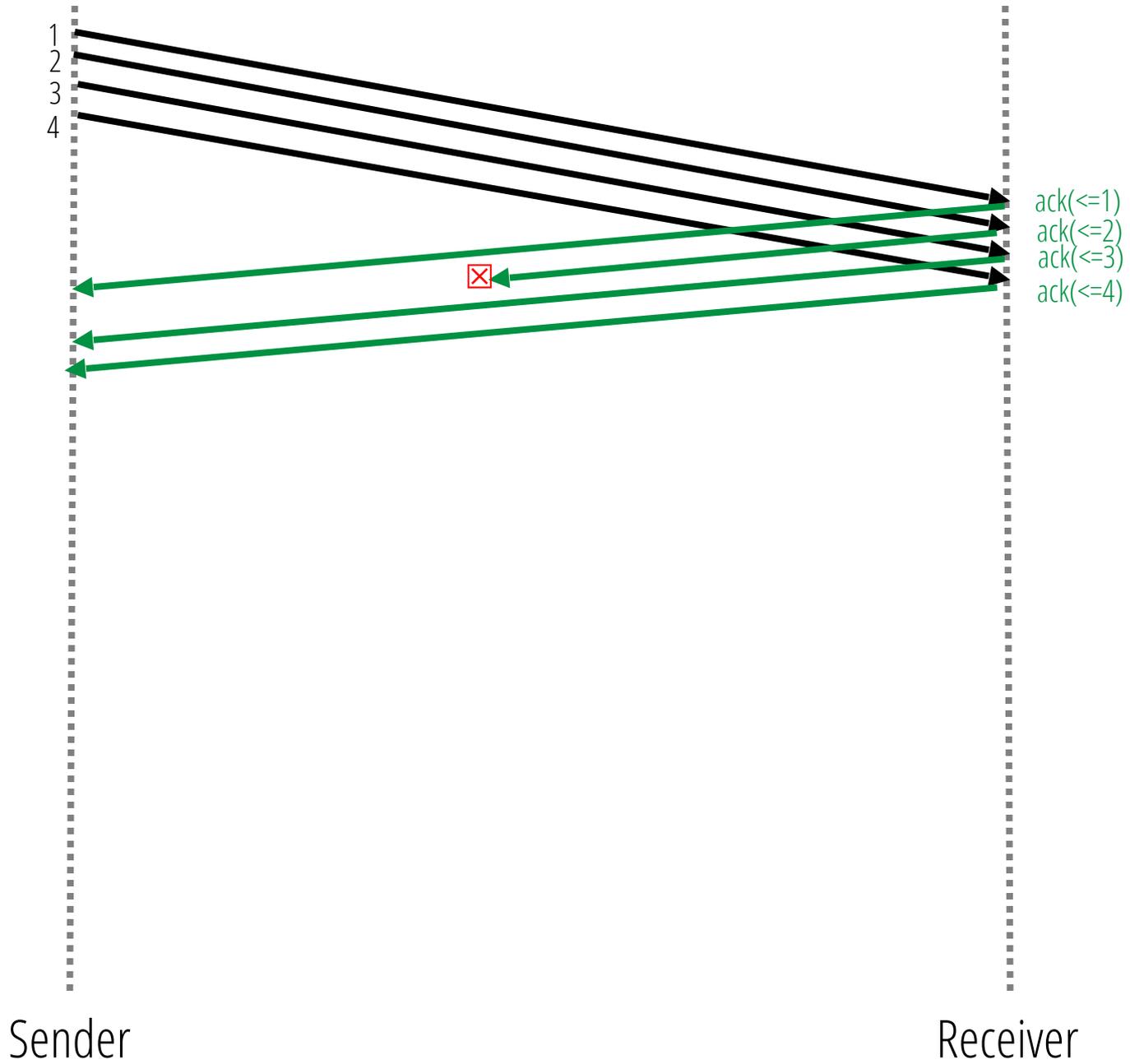




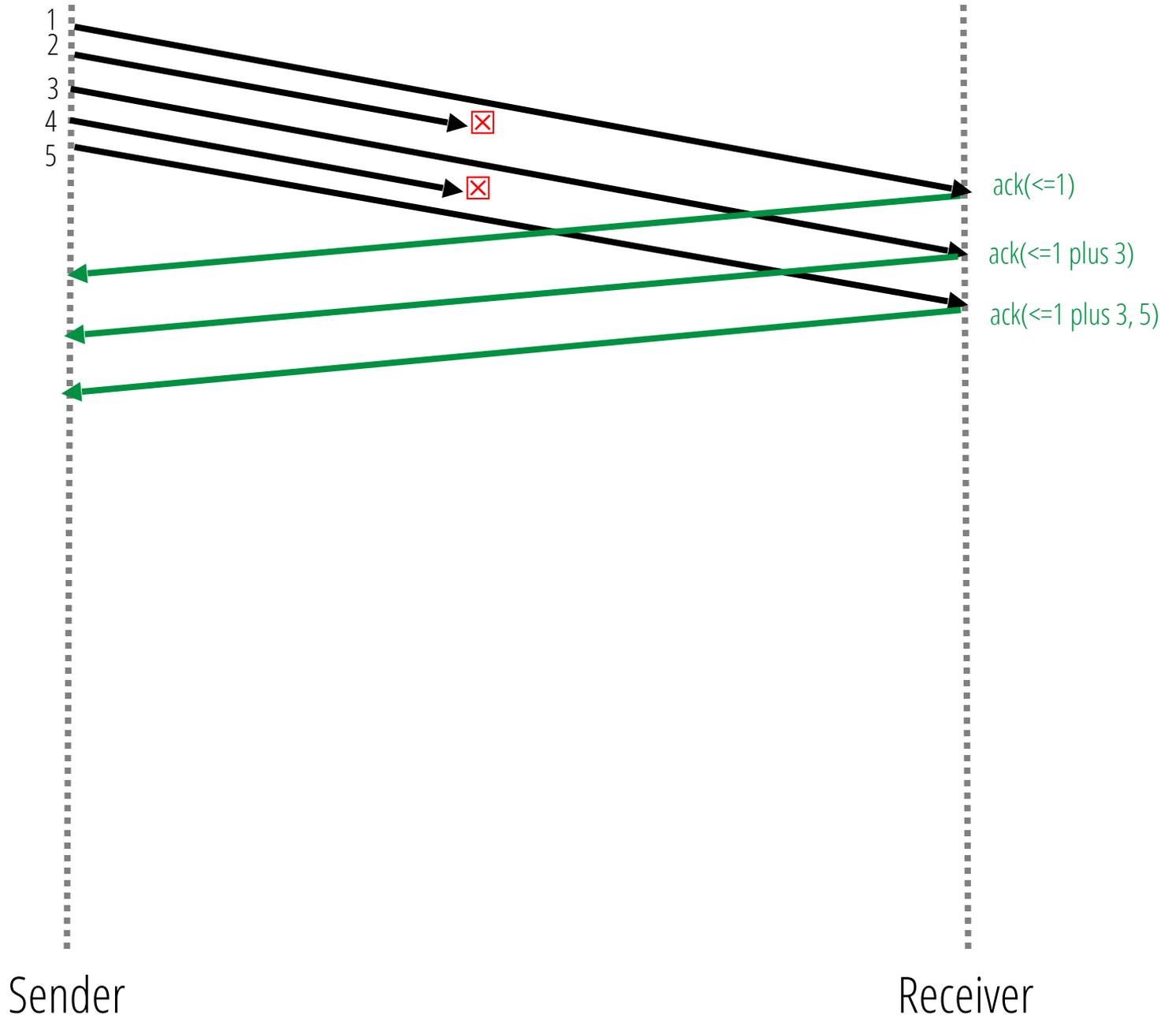


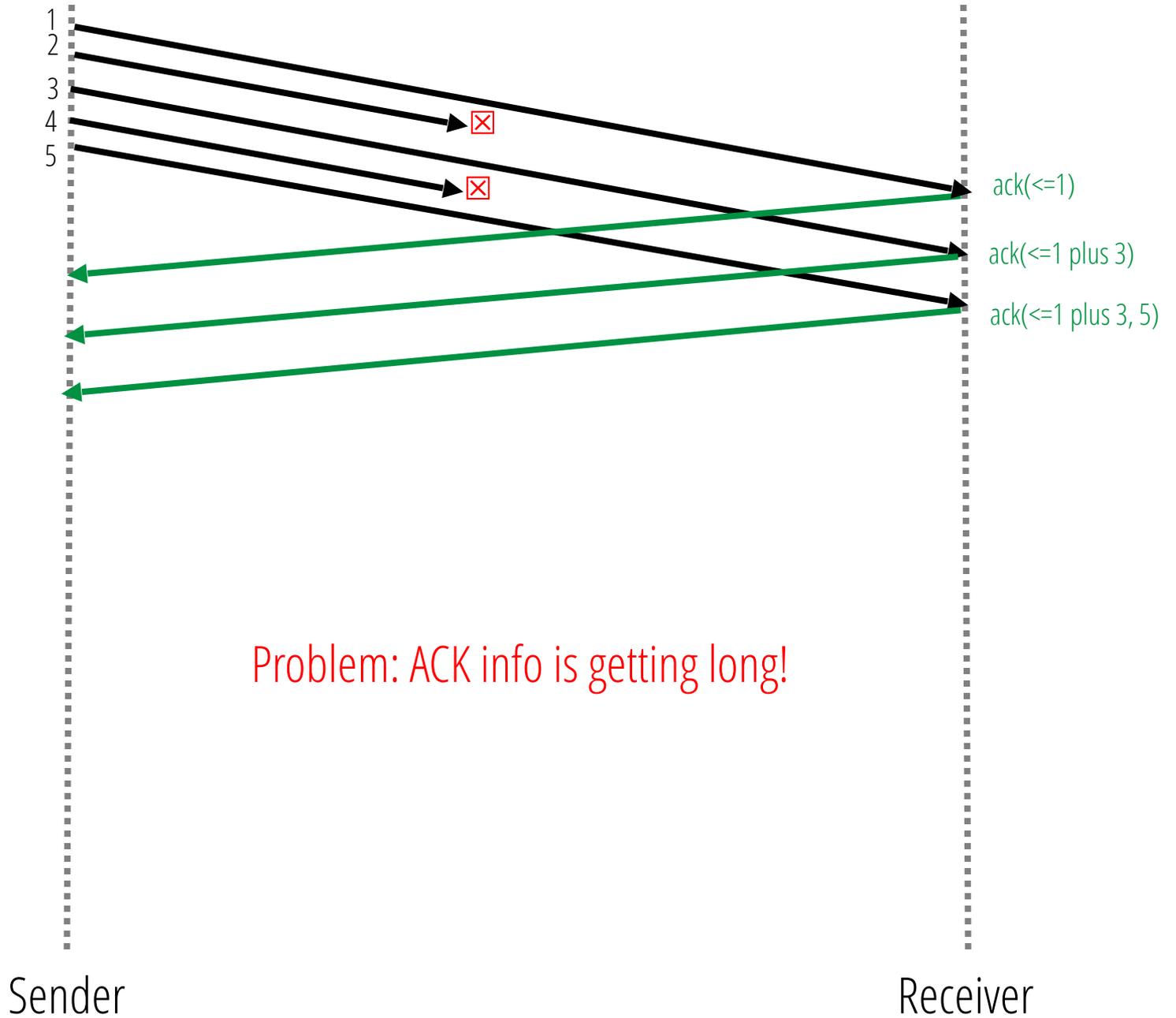
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- Individual packet ACKs (our design so far)
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  - Give highest cumulative ACK plus any additional packets received (*“everything up to #12 and #14, #15”*)



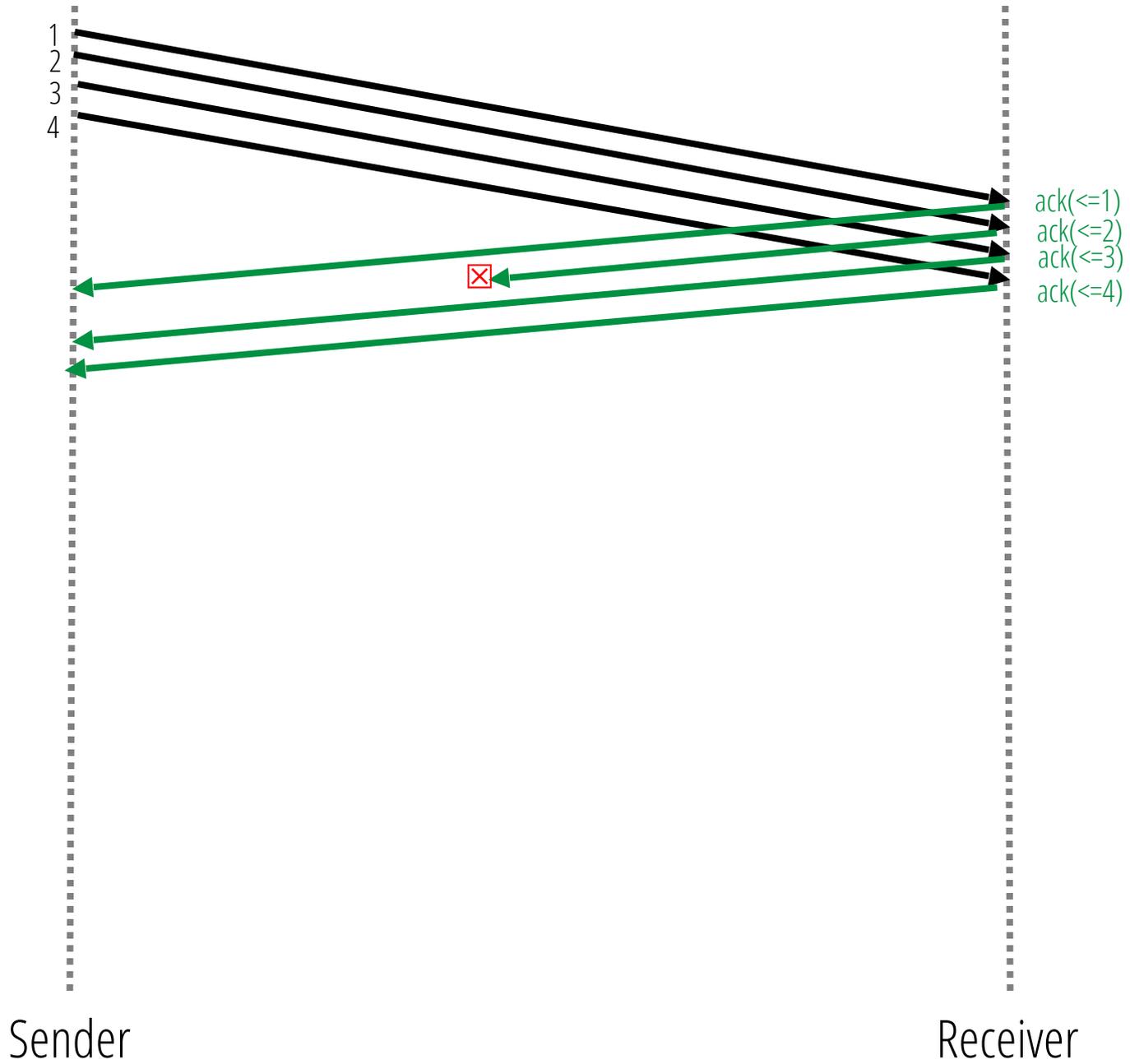


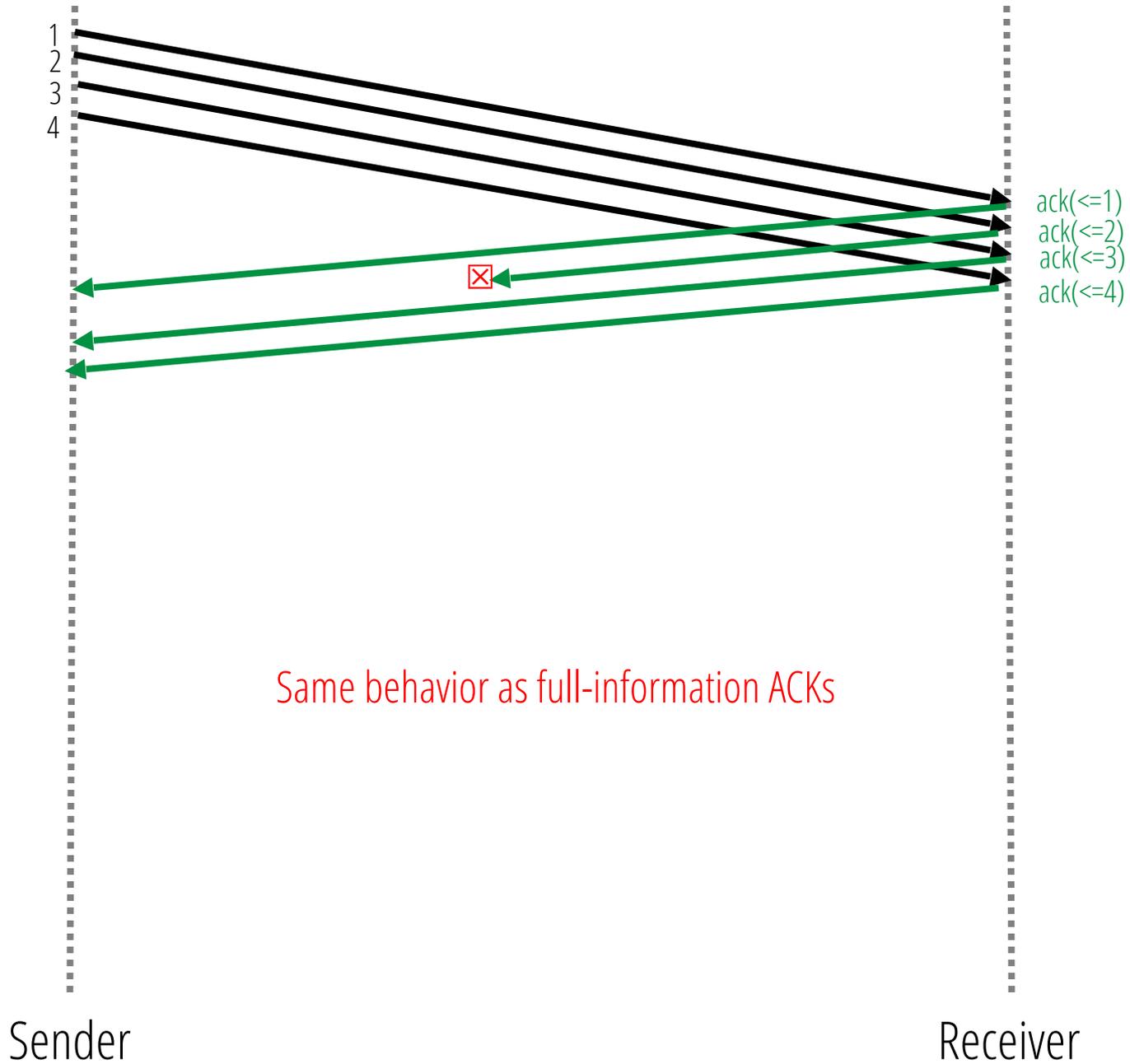


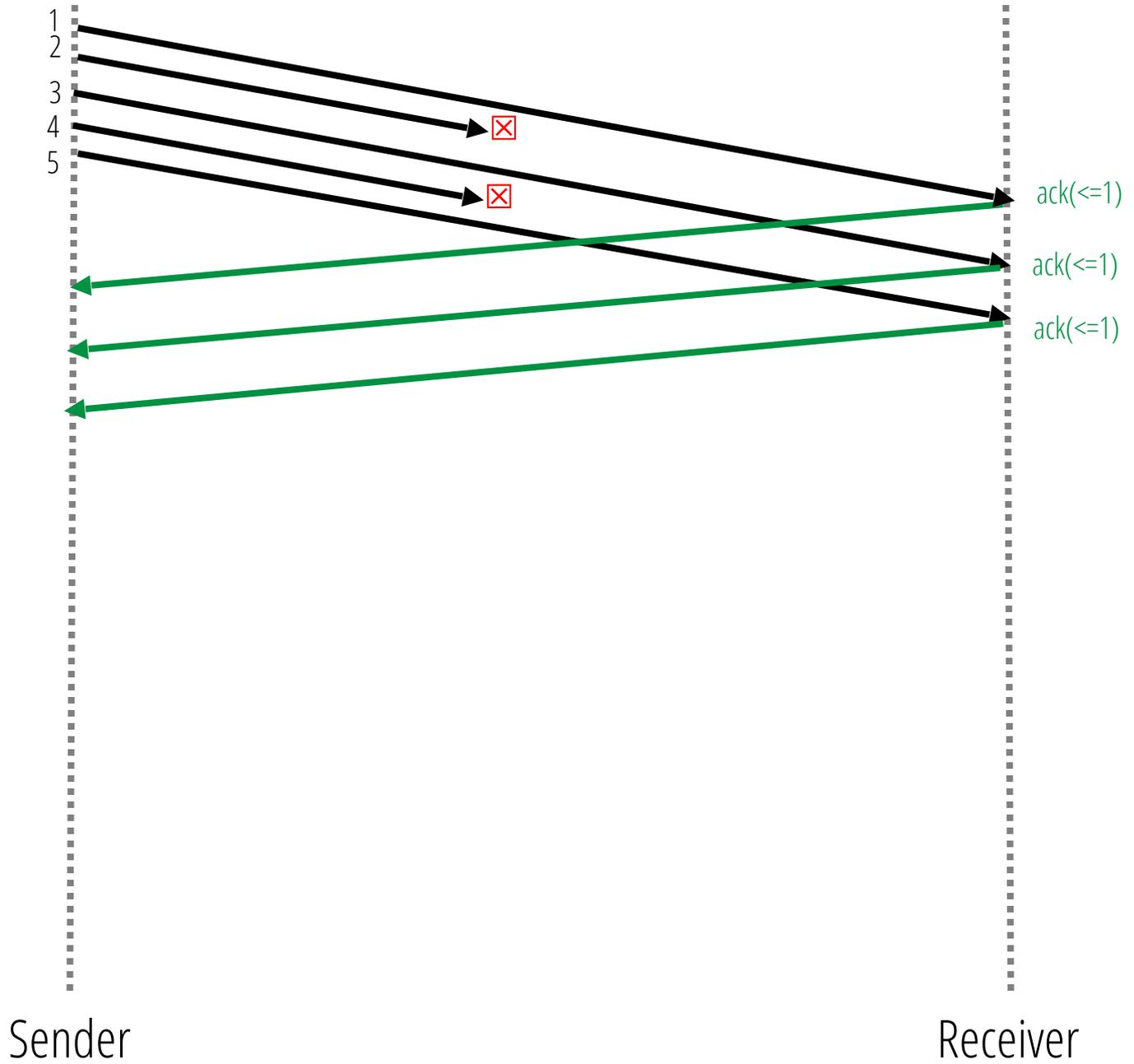


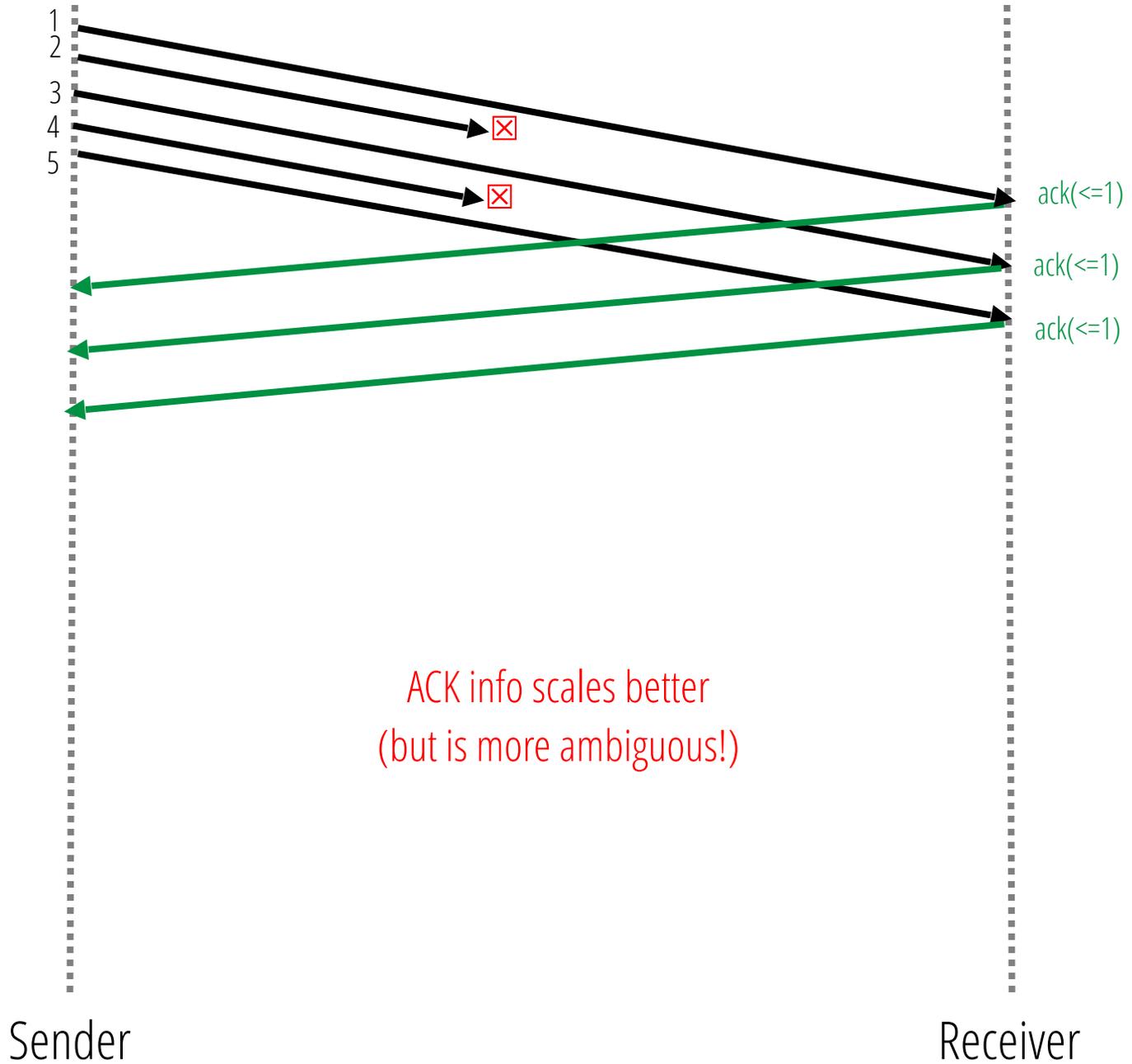
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- Cumulative ACKs
  - ACK the highest sequence number for which all previous packets have been received









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- **Individual**
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- **Full Information**

- Pro: complete info on data packets; more resilient to ACK loss
- Con: Could require sizable overhead in bad cases

- **Cumulative**

- Pro: compact; more resilient to ACK loss (vs. individual ACKs)
- Con: Incomplete info on which data packets arrived

# New Design Considerations

- Window size
  - How many in-flight packets do we want?
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- If packet times out, assume it is lost...
- How else can you detect loss?
- When ACKs for  $k$  “subsequent packets” arrive
  - E.g., only packet 5 is lost, will receive ACKs for 6, 7, ...
  - E.g., if  $k=3$ , retransmit 5 after we receive ACKs for 6, 7, 8
  - Details look a little different for each ACK option (next slides)

# Loss with individual ACKs

- Assume packet 5 is lost, but no others

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 Declare packet 5 lost! (Because received  $k=3$  subsequent ACKs)

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} **Duplicate ACKs**  
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**Duplicate ACKs**  
(dupACKs)

← Packet 5 lost! (Received  $k=3$  dupACKs)

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# Response to loss

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  - Decision is clear with individual and full-info ACKs
  - Decision is clear with cumulative ACKs and a single packet loss
  - But can be ambiguous with cumulative ACKs and multiple losses

# Response with individual ACKs

- Consider a sender with a window size = 6 &  $k=3$ 
  - Packets 1,2 have been ACKed
  - 3-8 are “in flight”

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A horizontal sequence of numbers from 1 to 8. Numbers 1 and 2 are green. Numbers 3 and 5 are red and each is enclosed in a grey circle. Numbers 4, 6, 7, and 8 are red.

- ACK 4 arrives

# Response with individual ACKs

- Consider a sender with a window size = 6 & k=3
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  - 3-8 are “in flight”

1 2 3 4 5 6 7 8 9



- ACK 4 arrives → send 9

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- ACK 4 arrives → send 9
- ACK 6 arrives → send 10
- ACK 7 arrives (3<sup>rd</sup> ACK for subsequent packet)

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- Consider a sender with a window size = 6 & k=3
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- ACK 4 arrives → send 9
- ACK 6 arrives → send 10
- ACK 7 arrives → **resend 3**, send 11
- ACK 8 arrives → **resend 5**, send 12
- ACK 9 arrives → send 13, and so on...

# Response with full-info ACKs

- Similar behavior as with Individual ACKs

# Response with cumulative ACKs

- Consider a sender with a window size = 6 & k=3
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A horizontal sequence of eight numbers from 1 to 8. Numbers 1 and 2 are green. Numbers 3 and 5 are red and each is enclosed in a grey circle. Numbers 4, 6, 7, and 8 are red.

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#duplicate ACKs = 1

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#duplicate ACKs = 2

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# Response with cumulative ACKs

- Consider a sender with a window size = 6 & k=3
  - Packets 1,2 have been ACKed
  - 3-8 are “in flight”

1 2 (3) 4 (5) 6 7 8 9 10

#duplicate ACKs = 3

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1   2   ③   4   ⑤   6   7   8   9   10   11   12...

#duplicate ACKs = 4+

- (for packet 4) ACK 2 → send 9
- (for packet 6) ACK 2 → send 10
- (for packet 7) ACK 2 → resend 3, send 11
- (for packet 8,9,10) ACK 2 → **unclear what packet to resend!**

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- Unfortunately, TCP uses cumulative ACKs...

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  - Checksums
  - ACK/NACKs
  - Timeouts
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  - Checksums
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- And discussed tradeoffs in how to apply them
  - Individual vs. Full vs. Cumulative ACKs
  - Timeout vs. ACK-driven loss detection

# From design options to design

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- Can put together a variety of reliability protocols from our building blocks!
  - We saw one already: Stop-and-Wait
  - Another possibility: “Go-Back-N” (in section)
  - TCP implements yet another (next lecture)

# From design options to design

- Can put together a variety of reliability protocols from our building blocks!
  - We saw one already: Stop-and-Wait
  - Another possibility: “Go-Back-N” (in section)
  - TCP implements yet another (next lecture)
- More important that you know how to design and evaluate a reliability protocol, than that you memorize the details of any one implementation!

# Preview: what does TCP do?

- Uses most of our building blocks w/ a few diffs.
  - Checksums
  - ACKs (no explicit NACKs)
  - “Sliding” Windows
  - Sequence numbers → measured in byte offsets
  - Cumulative ACKs (and counting dupACKs)
  - Option for a form of full-information ACKs (SACK)
  - Timers (w/ timer estimation algorithm)

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- Sender **encodes** the data to be resilient to loss
  - Basic idea: add some redundancy to data / packet stream
  - E.g., take  $k$  packets, encode as  $n$  ( $>k$ ) packets
  - Original packets can be recovered if any  $k'$  of  $n$  packets are received ( $n > k' > k$ )
  - Efficiency depends on  $k'/k$

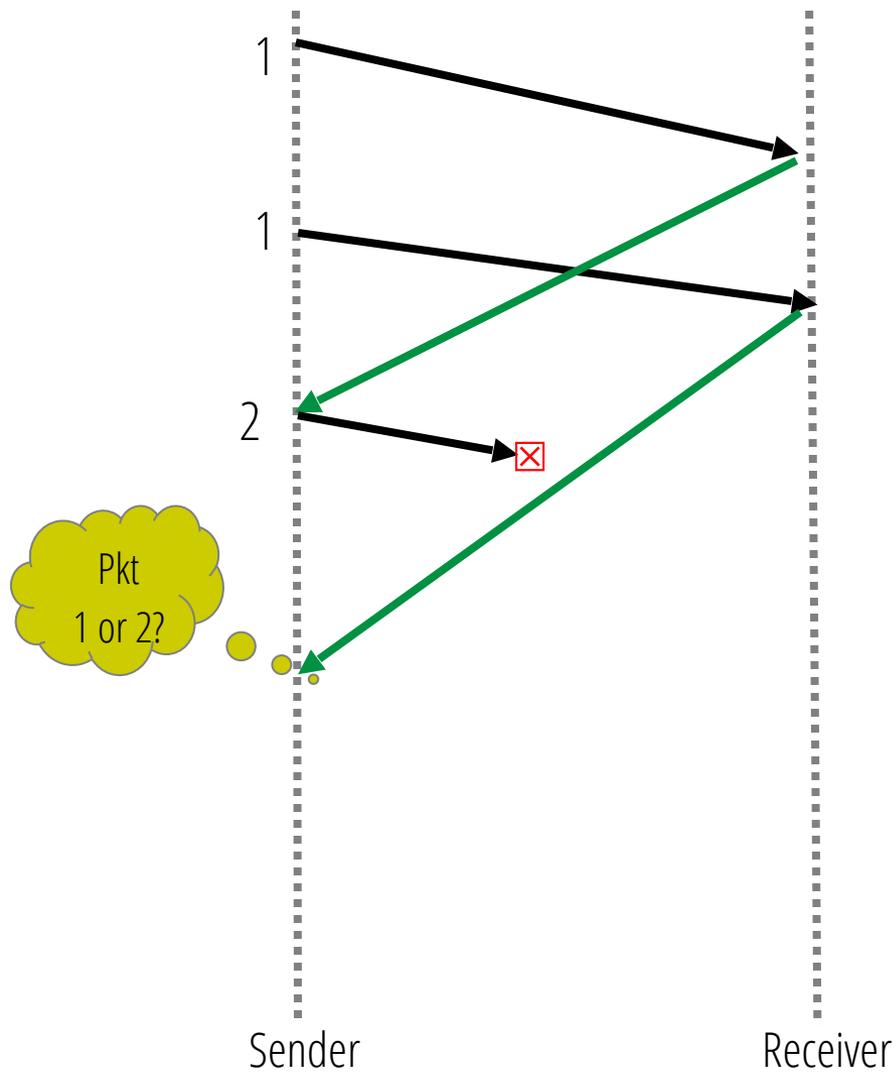
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- Vast literature on coding schemes
  - E.g., fountain codes, raptor codes, ...
- Historically not used very much but that could change...

**Questions?**



Backup#2: We need sequence numbers with stop-and-wait